

First countable countably compactifications of first countable spaces

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Definition

A space X is called an **M-space** if there is a countable collection of **open covers** $\{\mathcal{U}_n : n \in \omega\}$ of X , such that:

- (i) $\mathcal{U}_{n+1}$ **star-refines** $\mathcal{U}_n$, for all n .
- (ii) If $x_n \in St(x, \mathcal{U}_n)$, for all n , then the set $\{x_n : n \in \omega\}$ has an accumulation point.

(the accumulation point in (ii) need not be the fixed x , or we would get a developable space)

Conjecture [Nagata]

Every M -space is homeomorphic to a **closed subspace** of the **product of a countably compact space and a metric space**.

Definition (Morita)

A space X is **countably-compactifiable** if it has a **countably-compactification**, i.e. there exists a **countably compact** space Y such that

- (1) X is a dense subspace of Y ,
- (2) every **countably compact closed** subset of X is **closed** in Y .

Y is a **countably-compactification** of a dense subspace X iff every **countably compact closed** subset of X is **closed** in Y .

- A countably compact space Y is not necessarily a countable compactification of a dense subspace X : $Y = \omega_1 + 1$ and $X = \omega_1$.

Morita's reformulation

Y is a **countably-compactification** of a dense subspace X iff every **countably compact closed** subset of X is **closed** in Y .

Proposition

A countably compact **first countable** space Y is a countable compactification of a dense subspace X .

Fact

A countably compact subspace A of a first countable space Y is closed.

Morita's reformulation

Theorem (Morita)

An ***M-space*** satisfies ***Nagata's conjecture*** if and only if it is ***countably-compactifiable***.

Reformulated Conjecture

Every ***M-space*** is countably-compactifiable.

Theorem (Burke and van Douwen, and A. Kato, independently)

*There is a normal, 0-dimensional, locally compact, separable, **first countable M -space** X which does not have a countably-compactification.*

Definition

A first-countable space Y is said to be a **maximal first-countable extension** of a space X provided X is a dense subspace of Y and Y is closed in any first countable space $Z \supset Y$.

Theorem (T. Terada and J. Teresawa)

There are first-countable spaces without maximal first-countable extensions.

Extensions

A first-countable space Y is a **maximal first-countable extension** of a space $X \subset Y$ iff $\overline{X}^Z = Y$ for each first countable space $Z \supset Y$.

Proposition

A **countably compact first countable** space Y is a **maximal first countable extension** of any dense subspace X .

Fact

A countably compact subspace Y of a first countable space Z is closed.

An easy example

Proposition

A Ψ -space X does not have a first-countable countably compact extension.

Theorem

If $\mathfrak{b} = \mathfrak{s} = \mathfrak{c}$ then every **first countable regular space** of cardinality $< \mathfrak{c}$ can be **embedded**, as a dense subspace, into a **first countable countably compact regular space**.

Corollary

If **Martin's Axiom** holds then Nagata's conjecture holds for every **first countable regular space** X of cardinality $< \mathfrak{c}$, i.e. if X is an **M -space**, then X is homeomorphic to a **closed subspace of the product of a countably compact space and a metric space**. Moreover, X has **maximal first-countable extension**.

M -spaces in generic extensions

Theorem

There is a **c.c.c. poset** of size 2^ω such that every **first countable regular space X from the ground model** can be **embedded**, as a dense subspace, into a **first countable countably compact regular space Y from the generic extension**, and so X has a **countably-compactification** in the generic extension.

Corollary

There is a c.c.c. poset P of size 2^ω such that for every first countable regular space X from the ground model V **Nagata's conjecture holds for X in V^P** , i.e. the following holds in V^P : if X is an **M -space**, then X is homeomorphic to a closed subspace of the **product of a countably compact space and a metric space**.

Moreover, X has **maximal first-countable extension** in V^P .

Extension of 0-dimensional spaces

Lemma

There is a c.c.c poset Q with the following property. If X is a first countable 0-dimensional space **from the ground model V** , and for each $x \in X$ the family $\{U(x, n) : n < \omega\}$ is a clopen neighbourhood base of x

then **in the generic extension V^Q** there is a first countable 0-dimensional space $Y \supset X$ and for each $y \in Y$ there is a clopen neighbourhood base $\{U'(y, n) : n < \omega\}$ of y such that

- (i) **every $A \in [X]^\omega \cap V$ has an accumulation point in Y .**
- (ii) $U'(x, n) \cap X = U(x, n)$ for $x \in X$ and $n < \omega$,
- (iii) if $U(x, n) \cap U(y, m) = \emptyset$ then $U'(x, n) \cap U'(y, m) = \emptyset$,
- (iv) if $U(x, n) \subset U(y, m)$ then $U'(x, n) \subset U'(y, m)$,

Proof of the extension lemma

$V \models \mathcal{U} = \{U(x, n) : n < \omega, x \in X\}$ is a clopen nbhd. base.
 $V^Q \models \text{Pick } \mathcal{C} \subset [X]^\omega \text{ and } \forall C \in \mathcal{C} \text{ add } x_C \text{ to } X \text{ s.t. } C \rightarrow x_C \text{ in } Y.$

Step 1: $V^{\text{Cohen}} \models \exists \mathcal{A} \subset [X]^\omega \text{ A.D. refining } [X]^\omega \cap V.$

$\mathcal{B} = \{A \in \mathcal{A} : A \text{ is closed discrete in } X\}.$

Step 2: Let $\mathcal{V}$ be a non-trivial ultrafilter on ω , $R_{\mathcal{V}}$ introduces a pseudo-intersection of $\mathcal{V}$.

$V^{\text{Cohen} * R_{\mathcal{V}}} \models \forall B \in \mathcal{B} \exists C_B \in [B]^\omega \forall U \in \mathcal{U} C_B \cap U \text{ or } C_B \setminus U \text{ is finite.}$

Let $\mathcal{C} = \{C_B : B \in \mathcal{B}\}$

Step 3: $Y = X \cup \{x_C : C \in \mathcal{C}\}.$

$U'(x, n) = U(x, n) \cup \{x_C : C \subset^* U(x, n)\}.$

$V^{\text{Cohen} * R_{\mathcal{V}} * \text{Hechler}} \models U'(x_C, n) = \{x_C\} \cup \bigcup \{U'(C(m), d(m)) : m \geq n\}.$

$Q = \text{Cohen} * R_{\mathcal{V}} * \text{Hechler}.$

$Q = \text{Cohen} * \boxed{R_{\mathcal{V}}} * \text{Hechler}.$

A theorem on almost disjoint refinements

Theorem (Brendle; Balcar and Pazak)

If W is an extension of the ground model V which contains a new real then there is an almost disjoint family $\mathcal{A}$ in W which refines $[\omega]^\omega \cap V$.