

Rainbow Colourings

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Logic Colloquium 2008

The beginnings

- **Ramsey theory:** find large homogeneous sets
- monochromatic sets
- **anti Ramsey theory:** find large inhomogeneous sets
- polychromatic sets, rainbow sets
- first anti Ramsey theorems : Rado, 1973.
- polychromatic Ramsey, rainbow Ramsey

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Let $f : [X]^n \rightarrow \lambda$.

$Y \subset X$ is an ***f*-rainbow** iff $f \upharpoonright [Y]^n$ is 1–1.

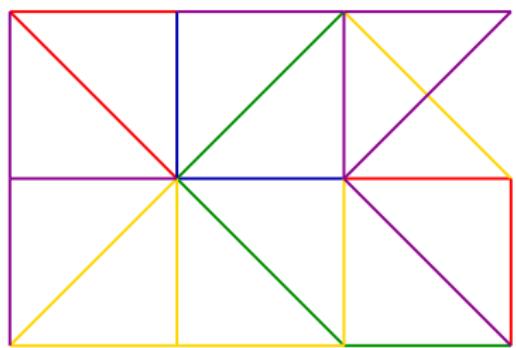
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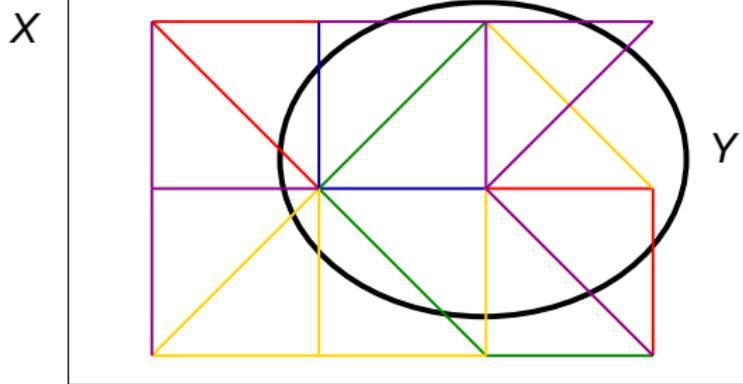


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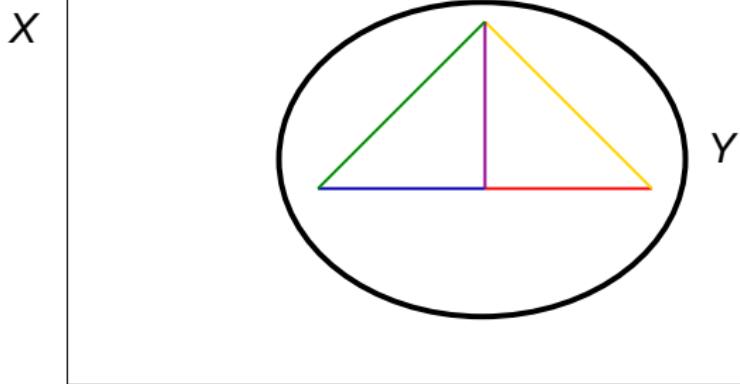


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Assume that $f : [\omega_1]^2 \rightarrow 3$ establishes $\omega_1 \not\rightarrow [\omega_1]_3^2$.
Does there exist an ***f-rainbow triangle***?

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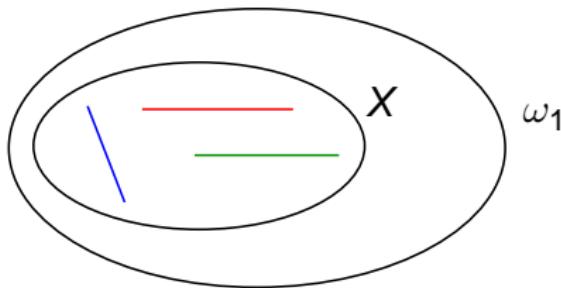
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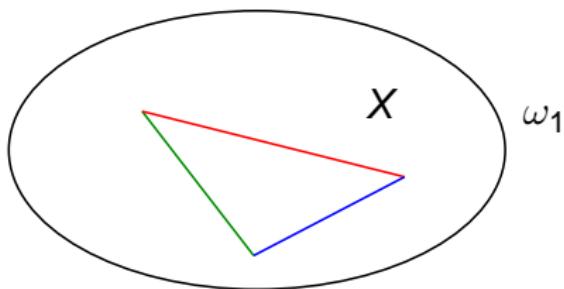


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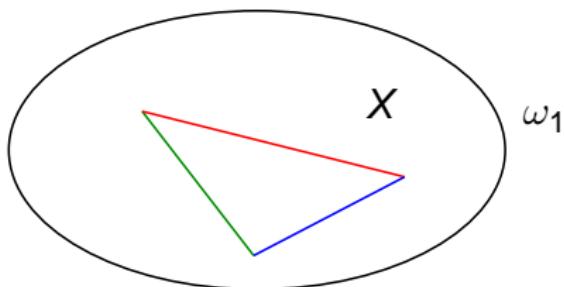


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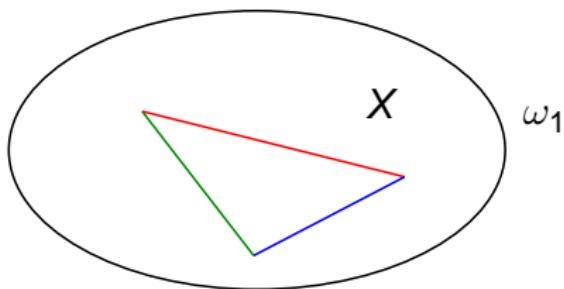
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If $f \vdash \omega_1 \not\rightarrow [(\omega, \omega_1)]_3^2$ then there is a **rainbow triangle**.

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Let $d : [X]^2 \rightarrow \lambda$, $f : [Y]^2 \rightarrow \lambda$.

$\langle d, f \rangle$ is a **rainbow triangle** if $\forall x \in X \exists y \in Y$ such that

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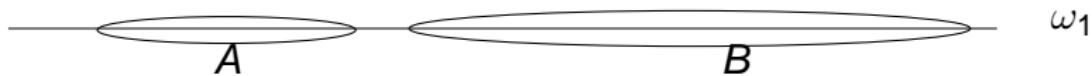
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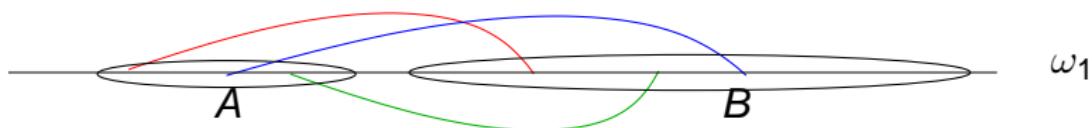
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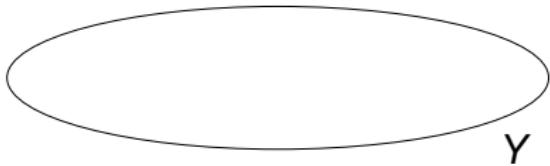
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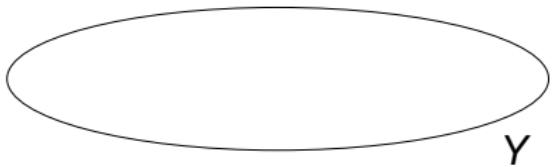
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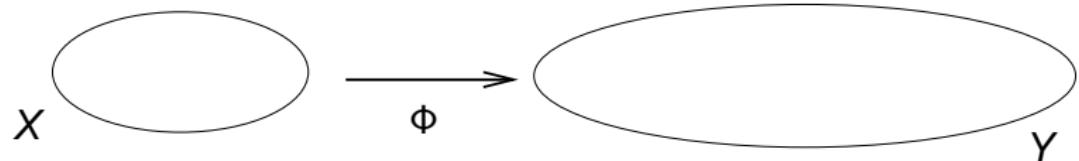
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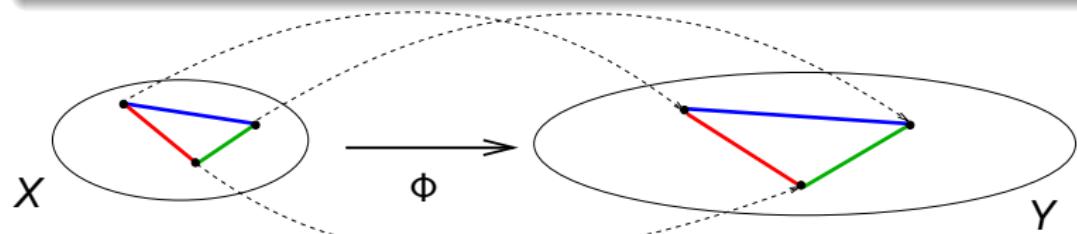
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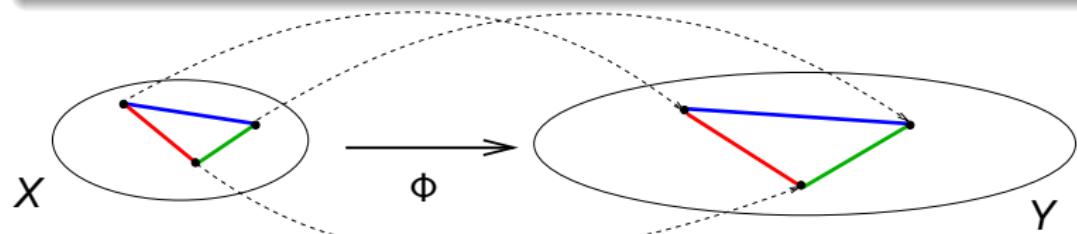
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If $f \vdash \omega_1 \not\rightarrow [(\omega, \omega_1)]_{\omega_1}^2$ then f realizes each function $d : [\omega]^2 \rightarrow \omega_1$.

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If $f \vdash \omega_1 \not\rightarrow [(\omega, \omega_1)]_{\omega_1}^2$, then f realizes each function $d : [\omega]^2 \rightarrow \omega_1$.

Theorem (Shelah, 1975)

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Proof: CH $\implies \exists f \vdash \omega_1 \not\rightarrow [\omega_1]_\omega^2$, no f -rainbow triangle

First try:

- By transfinite induction on $\alpha < \omega_1$ define $f(\xi, \alpha)$ for $\xi < \alpha$.
- First challenge: $\omega_1 \not\rightarrow [\omega_1]_\omega^2$
 - Define $f(\xi, \alpha)$ for $\xi < \alpha$ such that $\{f(\xi, \alpha) : \xi < \alpha\}$ is a rainbow set.
 - Show that $\{f(\xi, \omega_1) : \xi < \omega_1\}$ is a rainbow set.
- Second challenge: no f -rainbow triangle

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- Second try: Fix the colouring first! (choose $x, y \in \omega^\omega$)
- $\Delta(x, y) = \min\{n : x(n) \neq y(n)\}$.
- $h : \omega \rightarrow \omega$ s.t. $h^{-1}\{k\}$ is infinite for each $k \in \omega$.
- $f(x, y) = h(\Delta(x, y))$.

Proof: CH $\implies \exists f \vdash \omega_1 \not\rightarrow [\omega_1]_\omega^2$, no f -rainbow triangle

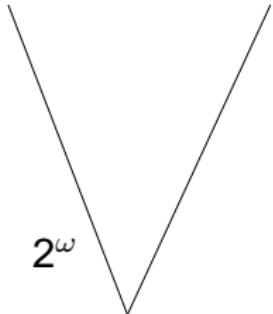
• **Second try: Fix the colouring first!** Colour $[2^\omega]^2$ as follows:

- $\Delta(x, y) = \min\{n : x(n) \neq y(n)\}$. No Δ -rainbow triangle
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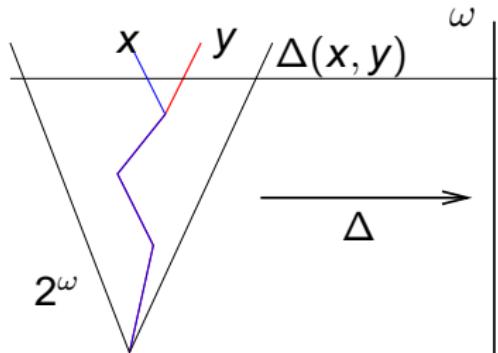
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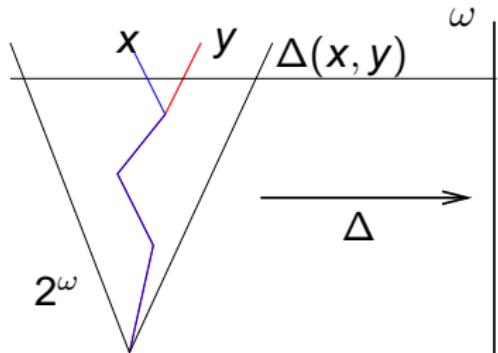
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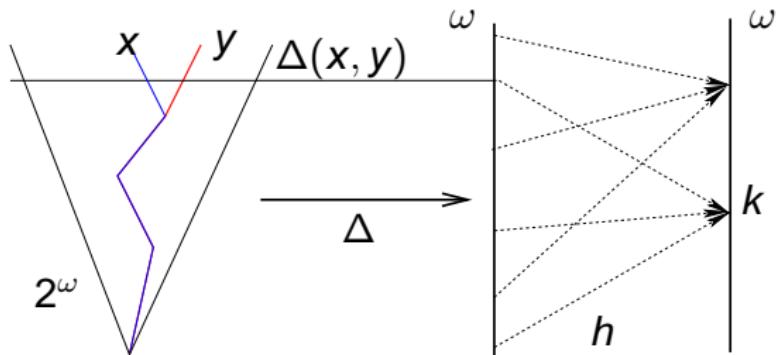
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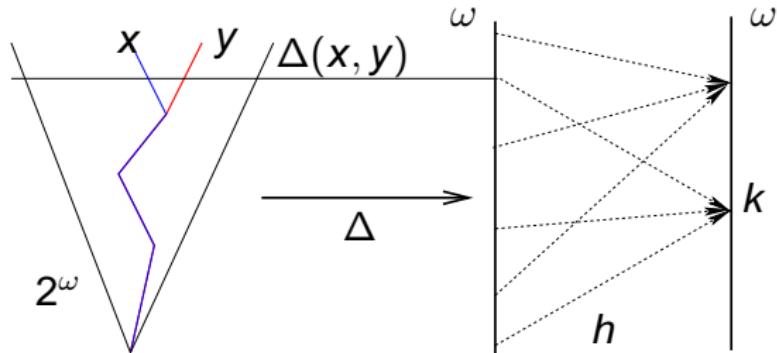
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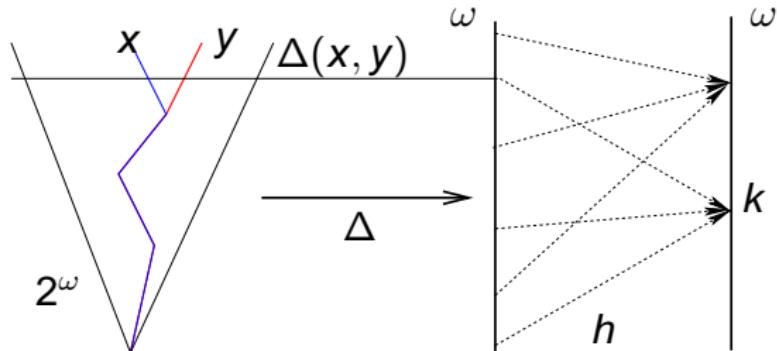
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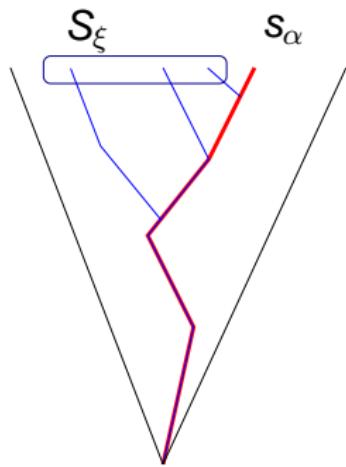
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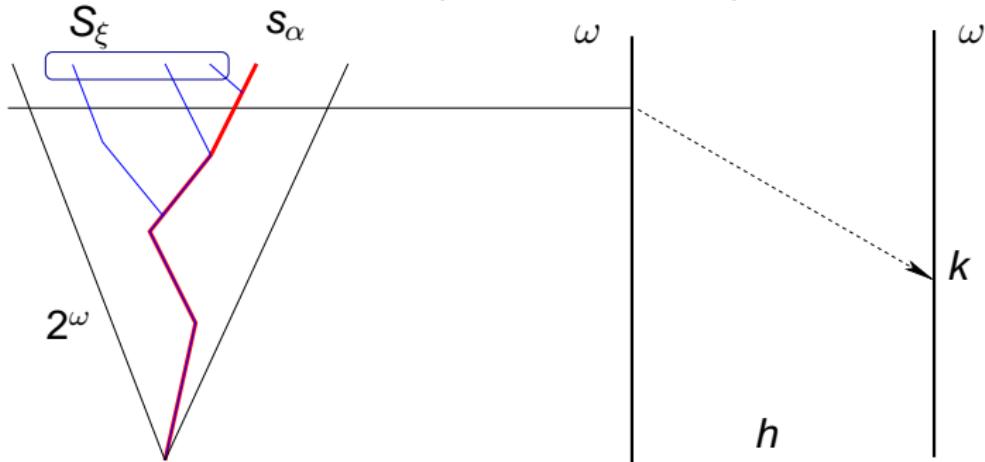
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Summary

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Fact: If $f \vdash \omega_1 \not\rightarrow [(\omega, \omega_1)]_{\omega_1}^2$, then f realizes each function $d : [\omega]^2 \rightarrow \omega_1$.

$f \vdash \omega_1 \not\rightarrow [(\omega, \omega_1)]_{\omega_1}^2$ iff $\forall A \in [\omega_1]^\omega \forall B \in [\omega_1]^{\omega_1} f''[A, B] = \omega_1$.

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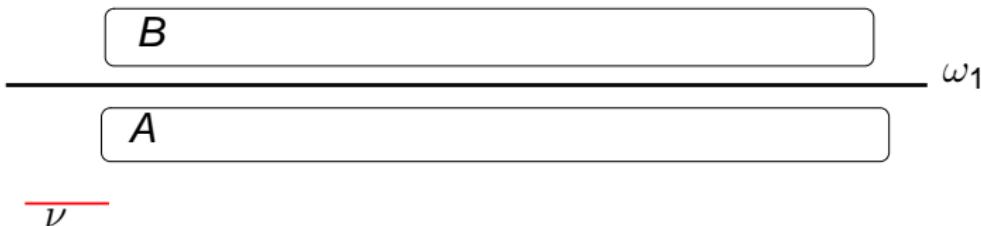
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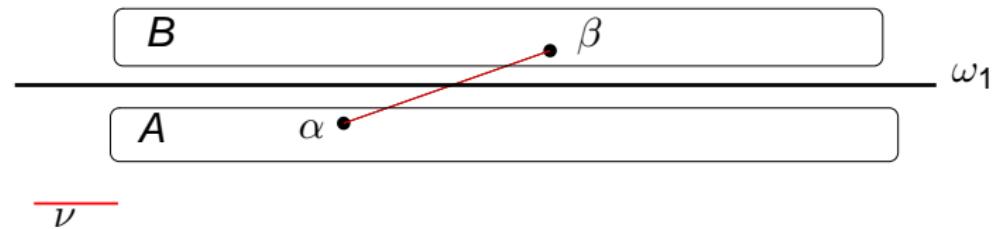
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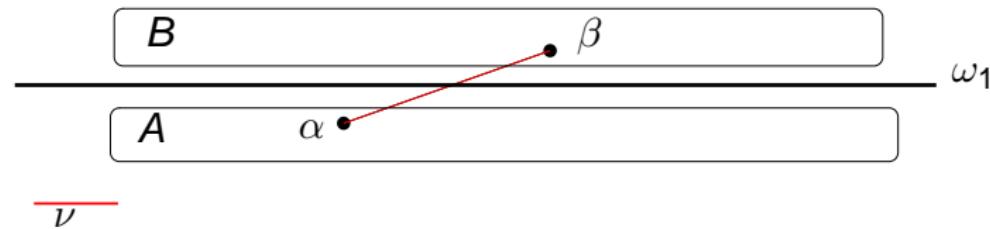
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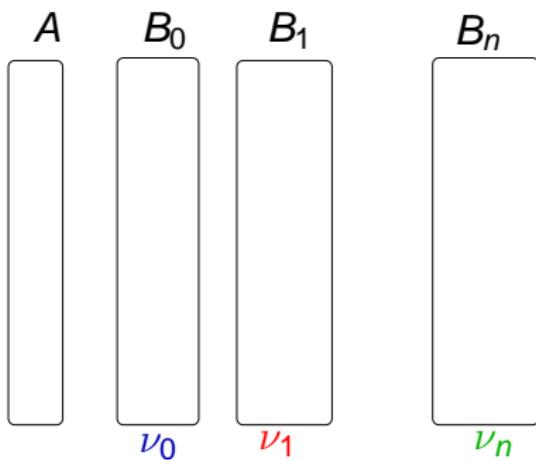
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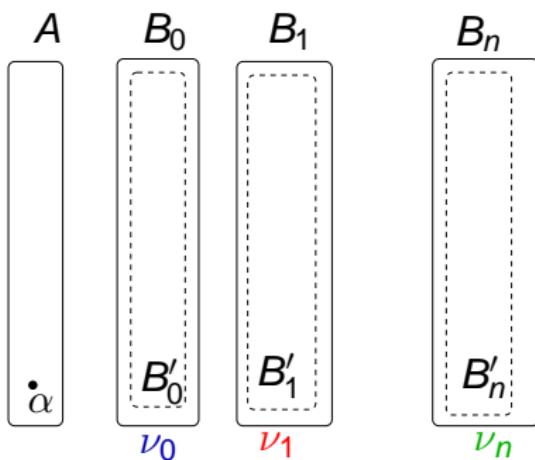


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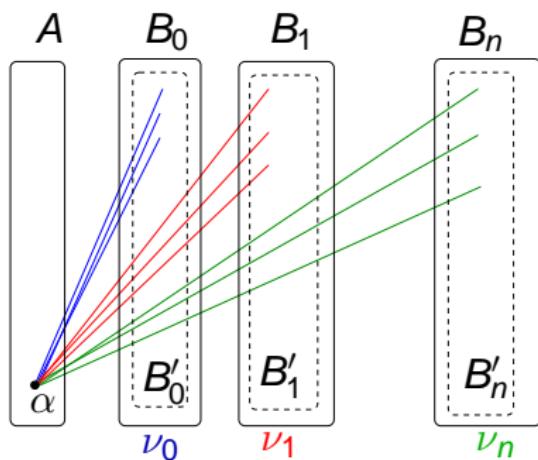


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Summary

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A

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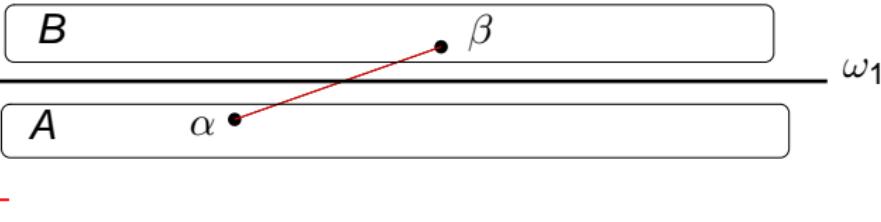
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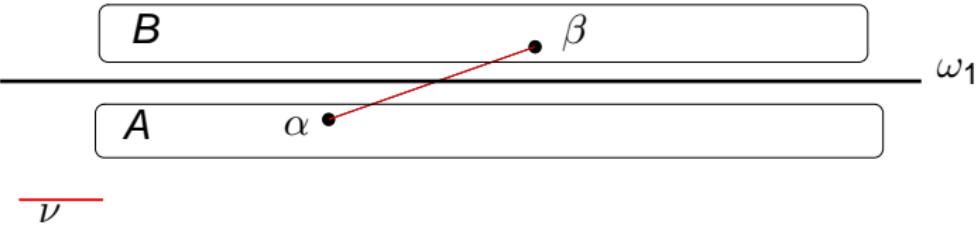
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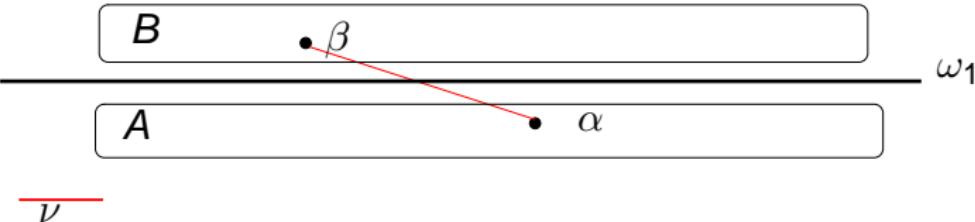
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- Let $g : [\omega_1]^2 \rightarrow 2$ be a Sierpinski colouring: $\{\alpha, \beta \in \omega_1 : g(\alpha, \beta) = 1\}$ is uncountable.
- Let $e : [5]^2 \rightarrow 2$ be the "pentagon without diagonals": $e(\alpha, \beta) = 1$ iff $\alpha \equiv \beta + 1 \pmod 5$
 - (*) $e \not\Rightarrow g$.
 - (†) $\forall A, B \in [\omega_1]^{\omega_1} \exists c \in \{1, 2, 3, 4\} \forall \alpha \in A \exists \beta \in B \ e(\alpha, \beta) = c$

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- Let $\textcolor{red}{g} : [\omega_1]^2 \rightarrow 2$ be a Sierpinski colouring: $\{r_\alpha : \alpha < \omega_1\} \subset \mathbb{R}$; for $\alpha < \beta < \omega_1$ let $\textcolor{blue}{g}(\alpha, \beta) = 1$ iff $r_\alpha < r_\beta$.
- Let $\textcolor{red}{e} : [5]^2 \rightarrow 2$ be the “pentagon without diagonals”: $e(\alpha, \beta) = 1$ iff $\alpha \equiv \beta + 1 \pmod{5}$

(*) $e \not\Rightarrow g$.

(†) $\forall A, B \in [\omega_1]^{\omega_1} \exists A' \in [A]^{\omega_1} \exists B' \in [B]^{\omega_1} \exists i < 2$
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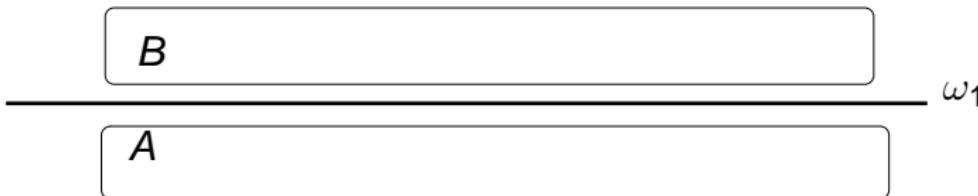
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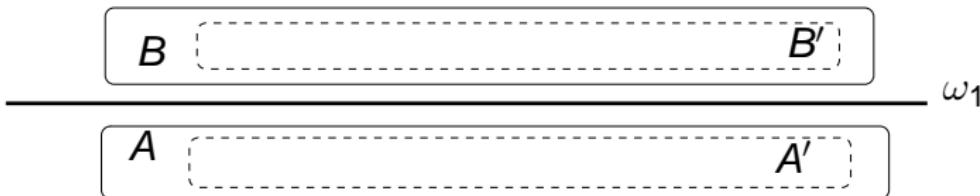


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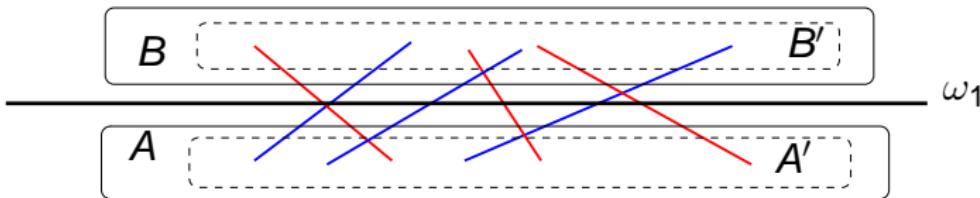


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Recent results

[Hajnal] $\exists f \vdash \omega_1 \not\rightarrow [(\omega_1, \omega_1)]_{10}^2$ s.t. $d \Rightarrow f$ for some rainbow $d : [5]^2 \rightarrow 10$.

Theorem (Hajnal)

If $f \vdash \omega_1 \not\rightarrow [(\omega_1, \omega_1)]_\omega^2$ then there exists an **infinite f -rainbow set**.

Summary

[Hajnal] $\exists f \vdash \omega_1 \not\rightarrow [(\omega_1, \omega_1)]_{10}^2$ s.t. $d \Rightarrow f$ for some rainbow $d : [5]^2 \rightarrow 10$.

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Shelah:

$\text{CH} \implies \exists f \vdash \omega_1 \not\rightarrow [\omega_1]_\omega^2$, no f -rainbow triangle.

$\diamond \implies \exists g \vdash \omega_1 \not\rightarrow [\omega_1]_{\omega_1}^2$, no g -rainbow triangle.

Theorem (Hajnal)

$\exists f \vdash \omega_1 \not\rightarrow [\omega_1]_6^2$ and $\exists d : [4]^2 \rightarrow 6$ rainbow s.t. $d \not\Rightarrow f$.

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Summary

Stepping up: colourings of ω_2

Negative theorems

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Stepping up: colourings of ω_2

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Hajnal: $\exists f \vdash \omega_1 \not\rightarrow [(\omega_1, \omega_1)]_{10}^2$ s.t. $d \not\Rightarrow f$ for some rainbow $d : [5]^2 \rightarrow 10$.

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Stepping up: colourings of ω_2

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If $f \vdash \omega_1 \not\rightarrow [(\omega, \omega_1)]_{\omega_1}^2$ then f realizes each function $d : [\omega]^2 \rightarrow \omega_1$.

Assume $f \vdash \omega_2 \not\rightarrow [(\omega_1, \omega_2)]_2^2$. Does f realize each function $d : [\omega_1]^2 \rightarrow 2$?

Theorem (Shelah, 1975)

- (a) If $f \vdash \omega_2 \not\rightarrow [(\omega_1; \omega_2)]_2^2$ then $V^{Fn(\omega, 2)} \models f \vdash \omega_2 \not\rightarrow [(\omega_1; \omega_2)]_2^2$.
- (b) In $V^{Fn(\omega, 2)}$ there is a colouring $c : [\omega_1]^2 \rightarrow 2$ such that $c \not\Rightarrow f$ for any $f \in V$.
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Problem (Erdős, Hajnal, 1978)

Assume GCH and $f \vdash \omega_2 \not\rightarrow (\omega_1 + \omega)_2^2$. Does f realize each function $f : [\omega_1]^2 \rightarrow 2$?

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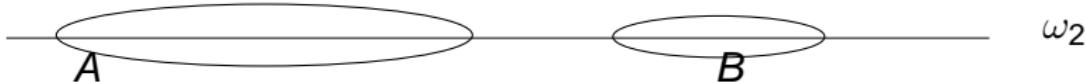
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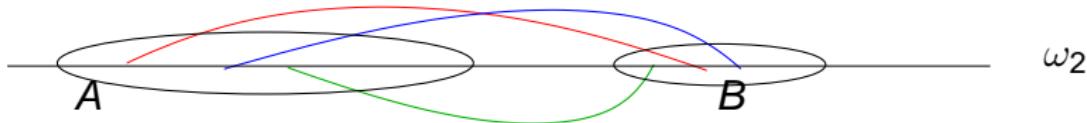
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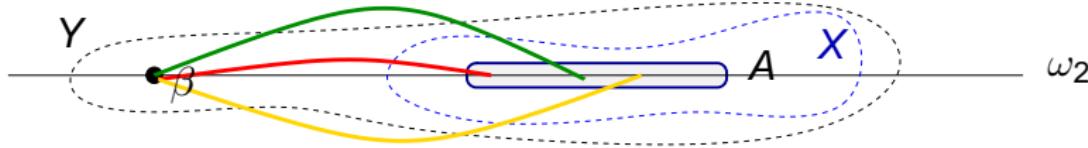
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Assume GCH and $f \vdash \omega_2 \not\rightarrow [(\omega_1; \omega)]_{\omega_1}^2$. Does f realize every $c : [\omega_1]^2 \rightarrow 2$?

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Rainbow on ω_2

Problem (Hajnal)

Assume $GCH + f \vdash \omega_2 \not\rightarrow [(\omega_1; \omega)]_{\omega_1}^2$. Does there exist an uncountable f -rainbow set?

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It is consistent that GCH holds and there is a function $f : [\omega_2]^2 \rightarrow \omega_1$ such that

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First try:

- Assume CH. Define $\mathcal{P} = \langle P, \preceq \rangle$ σ -complete, ω_2 -c.c.
- Underlying set: $\langle X, c, \mathcal{A}, \xi \rangle$

$$\begin{aligned} P &= \{f \in \mathbb{R}^{\omega_1} : f \text{ is } \omega_2\text{-c.c.}\} \\ &\quad \text{and } f \leq g \iff f \subseteq g \text{ and } f \text{ is } \omega_2\text{-c.c.} \end{aligned}$$

- Ordering: $\langle Y, d, B, \zeta \rangle \preceq \langle X, c, \mathcal{A}, \xi \rangle$ iff

$$\begin{aligned} Y &= \{x \in X : \text{dom}(x) \text{ is } \omega_1\text{-c.c.}\} \\ &\quad \text{and } d(x, y) = \min\{\alpha \in \omega_1 : x(\alpha) \neq y(\alpha)\} \text{ for all } x, y \in Y \\ &\quad \text{and } B = \{B_\alpha : \alpha \in \omega_1\} \text{ where } B_\alpha = \{x \in Y : x(\alpha) \neq \emptyset\} \end{aligned}$$

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 - $X = \omega_1 \times \omega_1$
 - $c = \{\omega_1\}$
 - $\mathcal{A} = \{A_\alpha : \alpha < \omega_1\}$
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- Ordering: $\langle Y, d, B, \zeta \rangle \preceq \langle X, c, \mathcal{A}, \xi \rangle$ iff
 - $Y \subseteq X$
 - $d \subseteq d_X$
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Colouring of 2^{ω_1}

It is consistent that GCH holds and $\exists f \vdash \omega_2 \not\rightarrow [(\omega_1; \omega)]_{\omega_1}^2$ s.t. there is no uncountable f -rainbow.

Theorem

*It is consistent that CH holds, 2^{ω_1} is arbitrarily large and $\exists g \vdash 2^{\omega_1} \not\rightarrow [(\omega_1, \omega_2)]_{\omega_1}^2$ s. t. there is **no uncountable g -rainbow** subset of 2^{ω_1} .*

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The \rightarrow^* relation

- $f : [X]^n \rightarrow C$ is **κ -bounded** iff $|f^{-1}\{c\}| \leq \kappa$ for each $c \in C$.
- $\lambda \rightarrow^* (\alpha)_{\kappa-\text{bdd}}^n$ iff for every κ -bounded colouring of $[\lambda]^n$ there is a **rainbow set of order type α** ,
- $\rightarrow^{\text{poly}}$

Theorem (Galvin)

$\lambda \rightarrow (\alpha)_k^n$ implies $\lambda \rightarrow^* (\alpha)_{k-\text{bdd}}^n$.

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The \rightarrow^* relation

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- $\lambda \rightarrow^* (\alpha)_{\kappa-\text{bdd}}^n$ iff for every κ -bounded colouring of $[\lambda]^n$ there is a **rainbow set of order type α** ,
- $\rightarrow^{\text{poly}}$

Theorem (Galvin)

$\lambda \rightarrow (\alpha)_k^n$ implies $\lambda \rightarrow^* (\alpha)_{k-\text{bdd}}^n$.

$\lambda \rightarrow (\alpha)_\kappa^n$ implies $\lambda \rightarrow^* (\alpha)_{\kappa-\text{bdd}}^n$

Proof:

- Let $f : [\lambda]^n \rightarrow \lambda$ be κ -bounded
- There is a function $g : [\lambda]^n \rightarrow \kappa$ such that: if $f(A) = f(B)$ then $g(A) \neq g(B)$
- If $Y \subset \lambda$ is g -monochromatic then Y is f -rainbow

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Basic theorems on \rightarrow^*

$\lambda \rightarrow (\alpha)_k^n$ implies $\lambda \rightarrow^* (\alpha)_{k-\text{bdd}}^n$.

Corollary(Galvin)

$\omega_1 \rightarrow^* (\alpha)_{2-\text{bdd}}^2$ for $\alpha < \omega_1$.

Theorem (Galvin)

CH implies that $\omega_1 \not\rightarrow^ (\omega_1)_{2-\text{bdd}}^2$.*

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Under Martin's Axiom

If $c : [\omega_1]^2 \rightarrow \omega_1$ is 2-bounded then T.F.A.E.

- $c \vdash \omega_1 \not\rightarrow^* (\omega_1)_{2-\text{bdd}}^2$
- there is no uncountable c -rainbow

Theorem (Abraham, Cummings, Smyth)

*It is consistent that there is a function $c : [\omega_1]^2 \rightarrow \omega_1$ which **c.c.c-indestructibly** establishes $\omega_1 \not\rightarrow^* (\omega_1)_{2-\text{bdd}}^2$.*

If CH holds and there is a Suslin-tree then there is a function $c' : [\omega_1]^2 \rightarrow 2$ and there is a c.c.c poset Q such that

- (a) $V \models$ there is no uncountable c' -rainbow set,
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If GCH holds then for each $k \in \omega$ there is a k -bounded colouring

$f : [\omega_1]^2 \rightarrow \omega_1$ and there are two c.c.c posets P and Q such that

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$V^Q \models$ " ω_1 is the **union of countably many f -rainbow sets**".

- f is k -bounded,
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A black box theorem

Based on some results of Abraham and Todorcevic.

$$\text{Fn}_m(\omega_1, K) = \{s : s \text{ is a function, } \text{dom}(s) \in [\omega_1]^m, \text{ran}(s) \subset K\}$$

$\langle s_\alpha : \alpha < \omega_1 \rangle \subset \text{Fn}_m(\omega_1, K)$ is **dom-disjoint** iff $\text{dom}(s_\alpha) \cap \text{dom}(s_\beta) = \emptyset$

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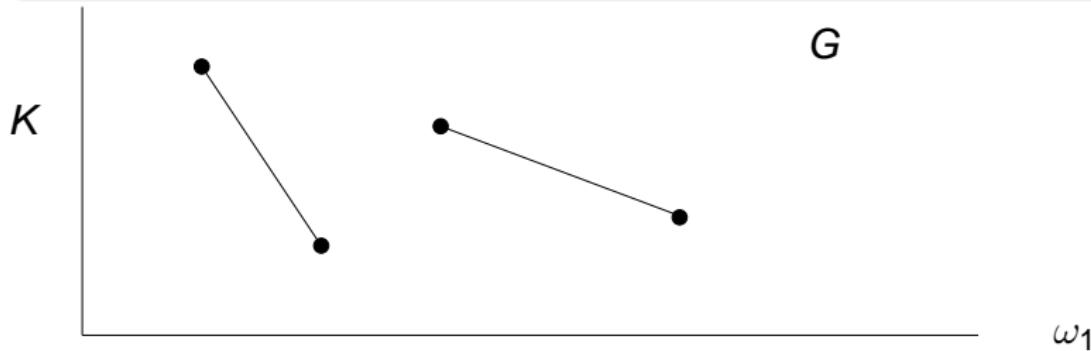
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Definition

Let G be a graph on $\omega_1 \times K$, $m \in \omega$. We say that G is ***m-solid*** if given any **dom-disjoint sequence** $\langle s_\alpha : \alpha < \omega_1 \rangle \subset \text{Fn}_m(\omega_1, K)$ there are $\alpha < \beta < \omega_1$ such that

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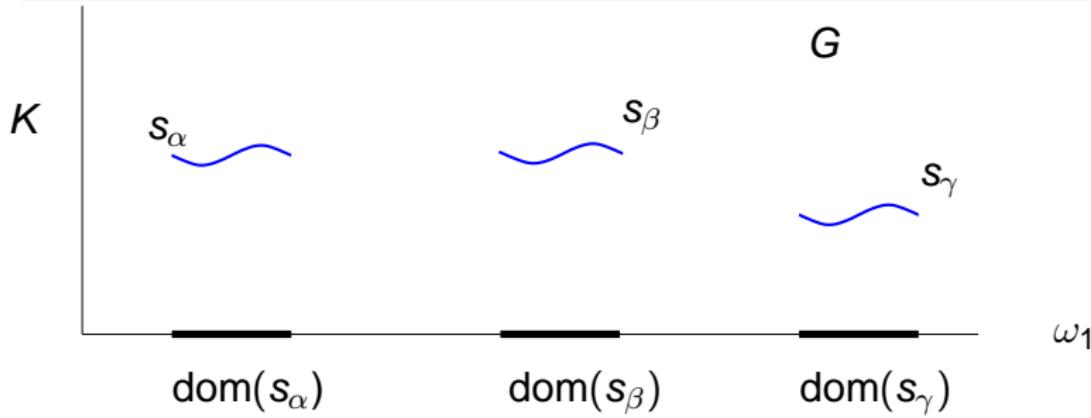
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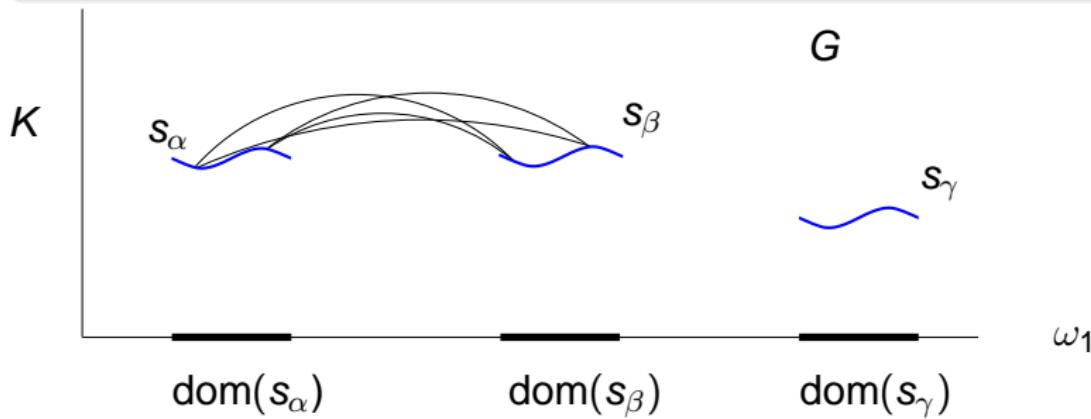
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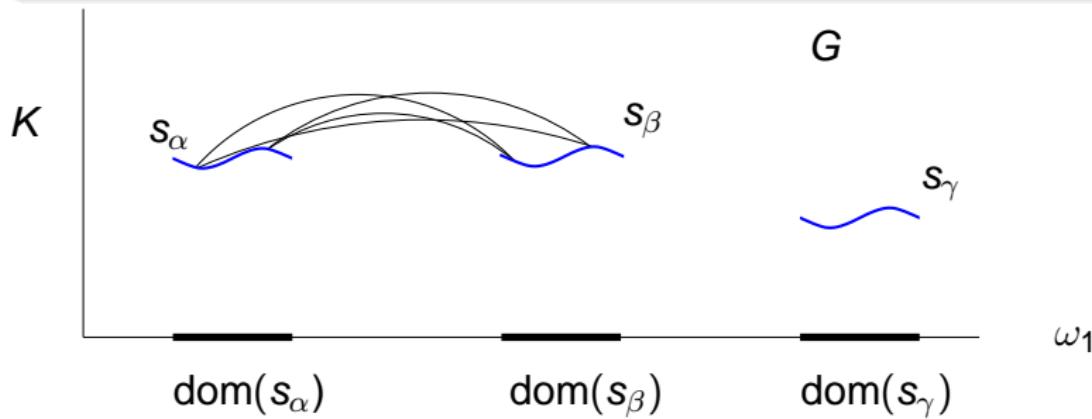
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Summary

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Summary

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| ZFC | $\omega_1 \not\rightarrow [(\omega, \omega_1)]_{\omega_1}^2$ | \implies | $\forall d : [\omega]^2 \rightarrow \omega_1$ |
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Summary

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|------------|--|---------------|---|
| ZFC | $\omega_1 \not\rightarrow [(\omega, \omega_1)]_{\omega_1}^2$ | \Rightarrow | $\forall d : [\omega]^2 \rightarrow \omega_1$ |
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| | | | |
| CH | $\omega_1 \not\rightarrow [\omega_1]_{\omega}^2$ | \wedge | no rainbow K_3 |
| \diamond | $\omega_1 \not\rightarrow [\omega_1]_{\omega_1}^2$ | \wedge | no rainbow K_3 |
| | | | |

Summary

| | | | |
|------------|--|---------------|---|
| ZFC | $\omega_1 \not\rightarrow [(\omega, \omega_1)]_{\omega_1}^2$ | \Rightarrow | $\forall d : [\omega]^2 \rightarrow \omega_1$ |
| ZFC | $\omega_1 \not\rightarrow [(\omega_1; \omega_1)]_{\omega_1}^2$ | \Rightarrow | $\forall d : [\omega]^2 \rightarrow \omega_1$ |
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| CH | $\omega_1 \not\rightarrow [\omega_1]_{\omega}^2$ | \wedge | no rainbow K_3 |
| \diamond | $\omega_1 \not\rightarrow [\omega_1]_{\omega_1}^2$ | \wedge | no rainbow K_3 |
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Summary

| | | | |
|------------|--|---------------|---|
| ZFC | $\omega_1 \not\rightarrow [(\omega, \omega_1)]_{\omega_1}^2$ | \Rightarrow | $\forall d : [\omega]^2 \rightarrow \omega_1$ |
| ZFC | $\omega_1 \not\rightarrow [(\omega_1; \omega_1)]_{\omega_1}^2$ | \Rightarrow | $\forall d : [\omega]^2 \rightarrow \omega_1$ |
| ZFC | $\omega_1 \not\rightarrow [(\omega_1, \omega_1)]_{\omega_1}^2$ | \Rightarrow | $\forall d : [3]^2 \rightarrow \omega_1$ |
| ZFC | $\omega_1 \not\rightarrow [(\omega_1, \omega_1)]_{10}^2$ | \wedge | not univ for K_5 -rainbows |
| ZFC | $\omega_1 \not\rightarrow [(\omega_1, \omega_1)]_{\omega_1}^2$ | \Rightarrow | \exists infinite rainbow |
| CH | $\omega_1 \not\rightarrow [\omega_1]_{\omega}^2$ | \wedge | no rainbow K_3 |
| \diamond | $\omega_1 \not\rightarrow [\omega_1]_{\omega_1}^2$ | \wedge | no rainbow K_3 |
| | | | |

Summary

| | | | |
|------------|--|---------------|---|
| ZFC | $\omega_1 \not\rightarrow [(\omega, \omega_1)]_{\omega_1}^2$ | \Rightarrow | $\forall d : [\omega]^2 \rightarrow \omega_1$ |
| ZFC | $\omega_1 \not\rightarrow [(\omega_1; \omega_1)]_{\omega_1}^2$ | \Rightarrow | $\forall d : [\omega]^2 \rightarrow \omega_1$ |
| ZFC | $\omega_1 \not\rightarrow [(\omega_1, \omega_1)]_{\omega_1}^2$ | \Rightarrow | $\forall d : [3]^2 \rightarrow \omega_1$ |
| ZFC | $\omega_1 \not\rightarrow [(\omega_1, \omega_1)]_{10}^2$ | \wedge | not univ for K_5 -rainbows |
| ZFC | $\omega_1 \not\rightarrow [(\omega_1, \omega_1)]_{\omega_1}^2$ | \Rightarrow | \exists infinite rainbow |
| CH | $\omega_1 \not\rightarrow [\omega_1]_{\omega}^2$ | \wedge | no rainbow K_3 |
| \diamond | $\omega_1 \not\rightarrow [\omega_1]_{\omega_1}^2$ | \wedge | no rainbow K_3 |
| ZFC | $\omega_1 \not\rightarrow [\omega_1]_6^2$ | \wedge | not univ for K_4 -rainbows |