

On a question of additive number theory

by

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1. Let $A = \{a\}$, $B = \{b\}$, ... denote sets of non-negative integers containing the number zero;

$$\sum_1^k A_\lambda = \left\{ \sum_1^k a_\lambda \right\} \quad (a_\lambda \in A_\lambda, \lambda = 1, 2, \dots, k).$$

Thus $\sum A_\lambda$ consists of all the numbers $a_1 + a_2 + \dots + a_k$ where each a_λ lies in the corresponding A_λ . For a given integer n let $[A]$ denote the number of positive elements of A up to and including n . \bar{A} denotes the set of the integers $\leq n$ which do not belong to A .

It is well known and easy to see that $n \in A + B$ implies $[A] + [B] \leq n - 1$. The corresponding problem for three or more sets does not lead to anything new. For then

$$(1) \quad n \in \sum_1^k A_\lambda$$

implies $n \in A_\lambda + A_\mu$ and thus $[A_\lambda] + [A_\mu] \leq n - 1$; $1 \leq \lambda < \mu \leq k$. Adding these $\frac{1}{2}k(k-1)$ inequalities we readily obtain

$$(2) \quad \sum_1^k [A_\lambda] \leq \frac{1}{2}k(n-1).$$

That (2) cannot be improved can be seen by taking $A_1 = A_2 \dots = A_k =$ set of integers between $[\frac{1}{2}n] + 1$ and $n - 1$ together with 0.

This question becomes more interesting if we require n to be the smallest number not in $\sum A_\lambda$. For $k = 3$ and $n < 15$ one can show⁽¹⁾ that

$$[A_1] + [A_2] + [A_3] \leq n - 1.$$

(1) Written communication from Professor H. B. Mann.

However this estimate becomes false if $n \geq 15$.

Surprisingly enough, (2) is asymptotically correct. Put

$$(3) \quad f_k(n) = \max \sum_1^k [A_\lambda]$$

where A_1, \dots, A_k range through those sets which satisfy (1) and

$$(4) \quad \{1, 2, \dots, n-1\} \subset \sum A_\lambda.$$

Thus $f_2(n) = n-1$. In the present paper we shall prove the existence of two positive constants $\alpha = \alpha_k$ and $\gamma = \gamma_k$ such that

$$(5) \quad \frac{1}{2}kn - \alpha n^{(k-1)/k} < f_k(n) < \frac{1}{2}kn - \gamma n^{(k-1)/k}$$

for every $k > 2$. The first half of (5) will be proved in § 2, the second in § 3.

It would be of interest to obtain an explicit formula for $f_k(n)$ if $k > 2$. In particular it may be true that

$$(6) \quad f_k(n) = \frac{1}{2}kn + (\beta + o(1))n^{(k-1)/k}$$

for some positive constant $\beta = \beta_k$. But we are unable to prove (6), still less to determine β .

2. Let $B_\lambda = \{b_\lambda\}$ denote the set of all integers requiring only the digits 0 and 2^λ in the number system with the basis 2^k ; $\lambda = 0, 1, \dots, k-1$. Thus every integer x permits a unique representation

$$(1) \quad x = \sum_0^{k-1} b_\lambda.$$

Suppose that n has the representation

$$(2) \quad n = \sum_0^{k-1} b_\lambda^0, \quad b_\lambda^0 \in B_\lambda.$$

Obviously one of the b_λ^0 's must be greater than $\frac{1}{2}n$. Renumbering the B_λ 's if necessary, we may assume

$$(3) \quad b_0^0 > \frac{1}{2}n.$$

We obtain the set C_0 by omitting the number b_0^0 from B_0 . Thus

$$n \notin C_0 + \sum_1^{k-1} B_\lambda$$

and every number lies in $C_0 + \sum_1^{k-1} B_\lambda$ except the numbers

$$b_0^0 + \sum_1^{k-1} b_\lambda.$$

We now define

$$(4) \quad C_h = B_h \cup \{b_0^0 + b_1^0 + \dots + b_{h-1}^0 + b_h\}, \quad b_h \neq b_h^0; \quad h = 1, 2, \dots, k-1.$$

Let $x \neq n$; cf. (1) and (2). If $b_0 \neq b_0^0$,

$$x \in C_0 + \sum_1^{k-1} B_\lambda \subset C_0 + \sum_1^{k-1} C_\lambda = \sum_0^{k-1} C_\lambda.$$

If $b_0 = b_0^0$, there is an $h \geq 1$ such that

$$x = \sum_0^{h-1} b_\lambda^0 + \sum_h^{k-1} b_\lambda, \quad b_h \neq b_h^0.$$

Hence

$$x \in C_h + \sum_{h+1}^{k-1} B_\lambda \subset C_h + \sum_{h+1}^{k-1} C_\lambda \subset \sum_0^{k-1} C_\lambda.$$

Thus every number $\neq n$ lies in $\sum_0^{k-1} C_\lambda$.

We next show

$$(5) \quad n \notin \sum_0^{k-1} C_\lambda.$$

Suppose

$$(6) \quad n = \sum_0^{k-1} c_\lambda, \quad c_\lambda \in C_\lambda.$$

Then for each $h > 0$ either $c_h = b_h \in B_h$ or

$$(7) \quad c_h = \sum_0^{h-1} b_\lambda^0 + b_h, \quad b_h \neq b_h^0.$$

Since the representation (2) of n was unique and since $b_0^0 \notin C_0$, the first alternative cannot occur for all $h > 0$. On the other hand (3) shows that (7) cannot occur more than once. Thus (7) will hold for exactly one index $h > 0$. This leads to

$$(8) \quad n = \sum_0^{h-1} b_\lambda + \left(\sum_0^{h-1} b_\lambda^0 + b_h \right) + \sum_{h+1}^{k-1} b_\lambda, \quad b_h \neq b_h^0.$$

Comparing (8) with (2) we obtain

$$(9) \quad \sum_h^{k-1} b_h^0 = \sum_0^{h-1} b_\lambda + b_h + \sum_{h+1}^{k-1} b_\lambda, \quad b_h \neq b_h^0.$$

The representation of the number (9) being unique, we obtain in particular $b_h^0 = b_h$, a contradiction. This proves (5).

Define

$$(10) \quad D_h = \sum_{\substack{0 \\ \lambda \neq h}}^{k-1} C_\lambda, \quad h = 0, 1, \dots, k-1$$

and let A_λ be the union of C_λ with the set of all the numbers

$$n - \bar{d}_\lambda > \frac{1}{2}n, \quad \bar{d}_\lambda \in \bar{D}_\lambda.$$

Then

$$n \notin \sum_0^{k-1} A_\lambda.$$

Thus n remains the only number not in $\sum_0^{k-1} A_\lambda$.

It remains to estimate $\sum_0^{k-1} [A_\lambda]$. Let $2^{km} < n \leq 2^{k(m+1)}$. Then

$$[B_\lambda] < 2^{m+1} = 2 \cdot 2^m < 2n^{1/k}, \quad \lambda = 0, 1, \dots, k-1.$$

Therefore

$$[C_0] < 2n^{1/k}; \quad [C_\lambda] < 4n^{1/k} \quad \text{if} \quad 0 < \lambda \leq k-1.$$

Thus

$$\left[\sum_1^{k-1} C_\lambda \right] \leq \prod_1^{k-1} [C_\lambda] < 4^{k-1} n^{(k-1)/k}$$

and

$$\left[\sum_{\substack{0 \\ \lambda \neq h}}^{k-1} C_\lambda \right] \leq \prod_{\substack{0 \\ \lambda \neq h}}^{k-1} [C_\lambda] < \frac{1}{2} \cdot 4^{k-1} n^{(k-1)/k}, \quad h = 1, \dots, k-1.$$

Hence

$$[A_0] > \frac{1}{2}n - 4^{k-1} n^{(k-1)/k}, \quad [A_h] > \frac{1}{2}n - \frac{1}{2} \cdot 4^{k-1} n^{(k-1)/k}, \quad h = 1, \dots, k-1,$$

and

$$\sum_0^{k-1} [A_\lambda] > \frac{1}{2}kn - (k+1)2^{2k-3} n^{(k-1)/k}.$$

This proves the first part of our result with $\alpha = (k+1)2^{2k-3}$.

3. Let $n > 0$ and $k > 2$ be fixed. Let

$$(1) \quad n \notin \sum_1^k A_\lambda,$$

$$(2) \quad \{1, 2, \dots, n-1\} \subset \sum_1^k A_\lambda.$$

In this section we construct an absolute positive constant γ_k such that

$$(3) \quad \sum_1^k [A_\lambda] \leq \frac{1}{2}kn - \gamma_k n^{(k-1)/k}.$$

Without loss of generality we may assume

$$(4) \quad [A_1] \geq [A_2] \geq \dots \geq [A_k].$$

Let $\gamma > 0$ be given. From now on we assume

$$(5) \quad \sum_1^k [A_\lambda] > \frac{1}{2}kn - \gamma n^{(k-1)/k}.$$

LEMMA 1.

$$(6) \quad [A_1] < \frac{n}{2} + \frac{\gamma}{k-2} n^{(k-1)/k},$$

$$(7) \quad [A_{\lambda-1}] \geq [A_\lambda] > \frac{n}{2} - \frac{k-3+\lambda}{(k-2)(k-\lambda+1)} \gamma n^{(k-1)/k}, \quad \lambda = 2, \dots, k.$$

Proof. Since $n \notin A_1 + A_\lambda$, we have $[A_\lambda] < n - [A_1]$. Thus (5) implies

$$\frac{1}{2}kn - \gamma n^{(k-1)/k} < [A_1] + (k-1)(n - [A_1]).$$

This yields (6). Also by (4), (5) and (6)

$$\begin{aligned} \frac{1}{2}kn - \gamma n^{(k-1)/k} &< (\lambda-1)[A_1] + (k-\lambda+1)[A_\lambda] \\ &< (\lambda-1) \left(\frac{n}{2} + \frac{\gamma}{k-2} n^{(k-1)/k} \right) + (k-\lambda+1)[A_\lambda]. \end{aligned}$$

This implies (7).

We now define

$$(8) \quad B_i = \sum_{\substack{\lambda=1 \\ \lambda \neq i}}^k A_\lambda, \quad i = 1, 2, \dots, k.$$

Thus

$$(9) \quad \sum_1^k A_\lambda = A_i + B_i, \quad i = 1, 2, \dots, k.$$

LEMMA 2.

(10)

$$\frac{n}{2} - \frac{\gamma}{k-2} n^{(k-1)/k} < [B_i] < \begin{cases} \frac{n}{2} + \frac{\gamma}{k-2} n^{(k-1)/k} & \text{if } i = 1, \\ \frac{n}{2} + \frac{k+i-3}{(k-2)(k-i+1)} \gamma n^{(k-1)/k} & \text{if } 1 < i \leq k. \end{cases}$$

Proof. B_i contains either A_1 , or A_2 . Thus the first estimate follows immediately from (7) with $\lambda = 2$.

By (9), $n \notin A_i + B_i$. Hence $[B_i] < n - [A_i]$ and (7) also yields the second inequality.

LEMMA 3.

$$(11) \quad \left. \begin{array}{l} [B_1 \cap \bar{A}_\mu] \\ [B_\mu \cap \bar{A}_1] \end{array} \right\} < \frac{1}{k-2} \left(1 + \frac{k+\mu-3}{k-\mu+1} \right) \gamma n^{(k-1)/k}; \quad \mu = 2, \dots, k.$$

Proof. If $\lambda \neq \mu$, $A_\mu \subset B_\lambda$. Thus $[B_\lambda \cap \bar{A}_\mu] = [B_\lambda] - [A_\mu]$ and (11) is a corollary of Lemmas 1 and 2.

LEMMA 4.

$$(12) \quad [B_1 \cup B_2 \cup \dots \cup B_k] < \frac{1}{2}n + 3k\gamma n^{(k-1)/k}.$$

Proof. If x lies in $B_1 \cup B_2 \cup \dots \cup B_k$, $n-x$ lies in $\bar{A}_1 \cup \dots \cup \bar{A}_k$. Hence

$$(13) \quad \begin{aligned} [B_1 \cup B_2 \cup \dots \cup B_k] &\leq [\bar{A}_1 \cup \bar{A}_2 \cup \dots \cup \bar{A}_k] \\ &= [\bar{A}_k] + [A_k \cap (\bar{A}_1 \cup \bar{A}_2 \cup \dots \cup \bar{A}_{k-1})] \\ &\leq [\bar{A}_k] + [A_k \cap \bar{A}_1] + \sum_2^{k-1} [A_k \cap \bar{A}_\mu] \\ &\leq [\bar{A}_k] + [B_2 \cap \bar{A}_1] + \sum_2^{k-1} [B_1 \cap \bar{A}_\mu]. \end{aligned}$$

Now by (7) and (11)

$$[\bar{A}_k] = n - [A_k] < \frac{n}{2} + \frac{2k-3}{k-2} \gamma n^{(k-1)/k} \leq \frac{n}{2} + 3\gamma n^{(k-1)/k},$$

$$[B_2 \cap \bar{A}_1] < \frac{2}{k-2} \gamma n^{(k-1)/k} \leq 2\gamma n^{(k-1)/k},$$

and

$$[B_1 \cap \bar{A}_\mu] < \frac{1}{k-2} \left(1 + \frac{2k-4}{2} \right) \gamma n^{(k-1)/k} \leq 2\gamma n^{(k-1)/k}$$

if $2 \leq \mu \leq k-1$. Thus (13) yields (12).

Let C denote the set of those elements of $\sum_1^k A_\lambda$ which lie in none of the B_λ . Lemma 4 implies

LEMMA 5.

$$(14) \quad [C] > \frac{1}{2}n - 3k\gamma n^{(k-1)/k}.$$

For each $c \in C$ we choose a canonical representation

$$(15) \quad c = \sum_1^k a_\lambda, \quad a_\lambda \in A_\lambda,$$

in the following way: First a_1 is chosen maximally among all the representations of c . If a_1, \dots, a_λ have been fixed, $a_{\lambda+1}$ will be maximal among all the representations of c which use $a_1 + a_2 + \dots + a_\lambda$.

LEMMA 6. *Let*

$$(16) \quad c' = \sum a'_\lambda \in C, \quad a'_\lambda \in A_\lambda$$

be the canonical representation of c' . Let

$$1 \leq \lambda_1 < \lambda_2 < \dots < \lambda_h \leq k$$

and suppose

$$(17) \quad \sum_1^h a_{\lambda_\mu} = \sum_1^h a'_{\lambda_\mu}.$$

Then

$$(18) \quad a_{\lambda_\mu} = a'_{\lambda_\mu}, \quad \mu = 1, 2, \dots, h.$$

Proof. Substituting (17) in (15) we obtain another representation of c . Since a_{λ_1} was maximal, we have $a_{\lambda_1} \geq a'_{\lambda_1}$. Similarly, (17) and (16) imply $a'_{\lambda_1} \geq a_{\lambda_1}$. Thus $a_{\lambda_1} = a'_{\lambda_1}$ and (18) follows by induction.

LEMMA 7. *Let $1 \leq l \leq k$. The number of elements b_i occurring in the representation of elements $c = a_i + b_i$ of C is less than*

$$2 \frac{k-1}{k-2} \gamma n^{(k-1)/k} \leq 4\gamma n^{(k-1)/k}.$$

This remark is obvious. If b_i occurs in the representation of numbers of C , b_i cannot occur in any A_μ with $\mu \neq i$. Hence the number of these b_i 's is $\leq [B_i \cap \bar{A}_\mu]$. Choosing $\mu = 1$ if $i > 1$ and μ arbitrarily if $i = 1$, we obtain our estimate from (11).

We now construct a sequence of subsets

$$C = D_0 \supset D_1 \supset D_2 \supset \dots \supset D_{k-1}$$

of C in the following fashion: Let $\delta > 0$ be given. D_h consists of those elements

$$(19) \quad c^* = \sum_1^k a_\lambda^* = b_\mu^* + a_\mu^* \quad (a_\lambda^* \in A_\lambda, \lambda = 1, \dots, k)$$

of D_{h-1} such that for every $i > h$ there are not less than $\delta 2^{1-h} n^{1/k}$ elements of D_{h-1} of the form $b_i^* + a_i$ ($h = 1, \dots, k$).

LEMMA 8.

$$(20) \quad [D_0 \cap \bar{D}_1] < 4(k-1)\gamma\delta n.$$

Proof. Let C_i denote the set of those numbers (19) of D_0 such that there are fewer than $\delta n^{1/k}$ elements of D_0 of the form $b_i^* + a_i$ ($i = 2, \dots, k$). Thus

$$D_0 \cap \bar{D}_1 = \bigcup_2^k C_i.$$

Let $1 < i \leq k$ be fixed. By Lemma 7 there are less than $4\gamma n^{(k-1)/k}$ numbers b_i occurring in the representation of elements $c = a_i + b_i$ of C . In particular there are fewer than $4\gamma n^{(k-1)/k}$ numbers b_i^* . Each of them occurs in fewer than $\delta n^{1/k}$ elements of C_i and each $c^* \in C_i$ has a representation $c^* = b_i^* + a_i^*$. Hence

$$[C_i] < 4\gamma n^{(k-1)/k} \cdot \delta n^{1/k} = 4\gamma\delta n$$

and

$$[D_0 \cap \bar{D}_1] \leq \sum_2^k [C_i] < 4(k-1)\gamma\delta n.$$

LEMMA 9.

$$(21) \quad [D_h \cap \bar{D}_{h+1}] < (k-h-1)[D_{h-1} \cap \bar{D}_h], \quad h = 1, 2, \dots, k-2.$$

Proof. Let C_i denote the set of those elements (19) of $D_h \cap \bar{D}_{h+1}$ such that there are fewer than $\delta 2^{-h} n^{1/k}$ elements of D_h of the form $b_i^* + a_i$ ($i = h+2, \dots, k$). Thus

$$D_h \cap \bar{D}_{h+1} = \bigcup_{h+2}^k C_i.$$

Let i be fixed; $h+1 < i \leq k$. If b_i^* occurs in the representation of some $c^* \in C_i$, there are not less than $\delta 2^{1-h} n^{1/k}$ elements of D_{h-1} of the form $b_i^* + a_i$ while fewer than $\delta 2^{-h} n^{1/k}$ of them belong to D_h . Hence more than $\delta 2^{-h} n^{1/k}$ of them will lie in $D_{h-1} \cap \bar{D}_h$. The number of these b_i^* is therefore less than

$$[D_{h-1} \cap \bar{D}_h] / (\delta 2^{-h} n^{1/k}).$$

Each of these b_i^* 's gives rise to less than $\delta 2^{-h} n^{1/k}$ elements of C_i . Conversely each element of C_i has a representation $c^* = b_i^* + a_i$. Hence

$$[C_i] < \delta 2^{-h} n^{1/k} ([D_{h-1} \cap \bar{D}_h] / (\delta 2^{-h} n^{1/k})) = [D_{h-1} \cap \bar{D}_h].$$

This yields (21).

LEMMA 10. Let $0 < h \leq k-1$ be given,

$$(22) \quad c^* = \sum a_\lambda^* = b_i^* + a_i^* \in D_h.$$

Let i_1, \dots, i_h be any h -tuple of distinct indices satisfying $i_\lambda > \lambda$; $\lambda = 1, 2, \dots, h$. Then there are at least

$$\delta^h 2^{-\binom{h}{2}} n^{h/k}$$

numbers

$$(23) \quad \left(c^* - \sum_1^h a_{i_\lambda}^* \right) + \sum_1^h a_{i_\lambda} \in C.$$

Proof. For $h = 1$ our assertion follows from the definition of D_1 . Suppose it is proved for $h-1$ and assume (22). From the definition of D_h there are at least $\delta 2^{1-h} n^{1/k}$ numbers a_{i_h} such that $b_{i_h}^* + a_{i_h} \in D_{h-1}$. By induction assumption there are to each of them not less than

$$\delta^{h-1} 2^{-\binom{h-1}{2}} n^{(h-1)/k}$$

numbers

$$\left(b_{i_h}^* + a_{i_h} - \sum_1^{h-1} a_{i_\lambda}^* \right) + \sum_1^{h-1} a_{i_\lambda} = \left(c^* - \sum_1^h a_{i_\lambda}^* \right) + \sum_1^h a_{i_\lambda} \in C.$$

Altogether we have at least

$$(\delta 2^{1-h} n^{1/k}) (\delta^{h-1} 2^{-\binom{h-1}{2}} n^{(h-1)/k}) = \delta^h 2^{-\binom{h}{2}} n^{h/k}$$

numbers (23). By Lemma 6 they are mutually distinct.

LEMMA 11. Let

$$(24) \quad \delta = \sqrt[k-1]{4\gamma 2^{k/2-1}}.$$

Then D_{k-1} is empty.

Proof. The case $h = k-1$ of Lemma 10 yields: If there is a number $c^* = \sum a_i^* \in D_{k-1}$, then there are at least

$$\delta^{k-1} 2^{-\binom{k-1}{2}} n^{(k-1)/k}$$

elements $a_1^* + b_1$ of C . By Lemma 7 fewer than $4\gamma n^{(k-1)/k}$ numbers b_1 can occur. Thus

$$\delta^{k-1} 2^{-\binom{k-1}{2}} n^{(k-1)/k} < 4\gamma n^{(k-1)/k}.$$

This contradicts (24).

LEMMA 12. *Let*

$$(25) \quad \gamma_k = \gamma = \frac{1}{2^{k/2+4}} \cdot \frac{1}{(k-1)!}.$$

Define δ through (24). Then

$$(1 - 8e(k-1)! \gamma \delta) n^{1/k} > 6k\gamma$$

for every n .

Proof. Since $\sqrt[k-1]{4\gamma} < 1$, we have

$$\begin{aligned} 8e(k-1)! \gamma \delta + 6k\gamma &< 8e(k-1)! 2^{k/2-1} \gamma + 8(4-e)(k-1)! 2^{k/2-1} \gamma \\ &= 2^{k/2+4} (k-1)! \gamma = 1. \end{aligned}$$

Hence

$$(1 - 8e(k-1)! \gamma \delta) n^{1/k} \geq 1 - 8e(k-1)! \gamma \delta > 6k\gamma.$$

We are now ready to show that the constant (25) satisfies (3).

Lemmas 8 and 9 imply by induction

$$[D_h \cap \bar{D}_{h+1}] < 4 \cdot \frac{(k-1)!}{(k-h-2)!} \gamma \delta n, \quad h = 0, 1, \dots, k-2.$$

Thus by Lemmas 5 and 11

$$\begin{aligned} \frac{1}{2} n - 3k\gamma n^{(k-1)/k} &< [C] = \sum_0^{k-2} [D_h \cap \bar{D}_{h+1}] \\ &< 4(k-1)! \gamma \delta n \sum_0^{k-2} \frac{1}{(k-h-2)!} \\ &< 4e(k-1)! \gamma \delta n. \end{aligned}$$

Hence

$$(1 - 8e(k-1)! \gamma \delta) n^{1/k} < 6k\gamma.$$

Thus Lemma 12 shows that our assumption (5) leads to a contradiction if γ is chosen according to (25).

4. If n is a given integer and if S and $C = \{c\}$ are sets of non-negative integers, the set $S - C$ consists of all the integers $x \geq 0$ such that $x + c \in S$ for every c with $x + c \leq n$.

Let $h > 1$,

$$n \notin S, \quad 0 \in A_\lambda \quad (\lambda = 1, 2, \dots, h)$$

and let

$$S - \sum_1^h A_\lambda = \{0\} \quad \left(\text{thus } \sum_1^h A_\lambda \subset S \right).$$

Then there are two positive constants $\gamma_1 = \gamma_1(h)$ and $\gamma_2 = \gamma_2(h)$ which are independent of n, S, A_1, \dots, A_h such that always

$$\sum_1^h [A_\lambda] < [S] + \frac{1}{2}(h-1)n - \gamma_1 n^{h/(h+1)}$$

and that for a suitable $(h+1)$ -tuple A_1, \dots, A_h, S

$$\sum_1^h [A_\lambda] > [S] + \frac{1}{2}(h-1)n - \gamma_2 n^{h/(h+1)}.$$

These results follow at once from the preceding sections if we put $h = k-1$ and choose for A_k the set of all the numbers of the form $n - \bar{s}$ where $0 \leq \bar{s} \leq n$, $\bar{s} \notin S$.

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