# EMPTY SIMPLICES IN EUCLIDEAN SPACE

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ABSTRACT. Let  $P = \{p_1, p_2, \dots, p_n\}$  be an independent point-set in  $\mathbb{R}^d$  (i.e., there are no d+1 on a hyperplane). A simplex determined by d+1 different points of P is called empty if it contains no point of P in its interior. Denote the number of empty simplices in P by  $f_d(P)$ . Katchalski and Meir pointed out that  $f_d(P) \ge \binom{n-1}{d}$ . Here a random construction  $P_n$  is given with  $f_d(P_n) < K(d)\binom{n}{d}$ , where K(d) is a constant depending only on d. Several related questions are investigated.

1. **Introduction**. We call a set P of n points ( $n \ge d + 1$ ) in the d-dimensional Euclidean space  $\mathbb{R}^d$  independent if P contains no d + 1 on a hyperplane. We call a simplex determined by d + 1 different points of P empty if the simplex contains no point of P in its interior and denote the number of empty simplices of P by  $f_d(P)$ , or briefly f(P).

Katchalski and Meir [11] asked the following question: Given an independent set P of n points in  $\mathbb{R}^d$ , what can one say about the values of f(P)? If P consists of the vertices of a convex polytope, then clearly  $f(P) = \binom{n}{d+1}$ . So the interesting question is to find a lower bound for f(P). Define

$$f_d(n) = \min\{f(P): |P| = n, P \subset \mathbb{R}^d \text{ independent}\}.$$

They proved that there exists a constant K > 0 such that for all  $n \ge 3$ ,

$$(1) \qquad \qquad \binom{n-1}{2} \leq f_2(n) \leq Kn^2,$$

and in general, for every independent  $P \subset \mathbb{R}^d$ , |P| = n

(2) 
$$\binom{n-1}{d} \le f_d(P).$$

(The case d = 1 has no importance, obviously  $f_1(P) = n - 1$ .) The aim of this paper is to give bounds for  $f_d(n)$  and to consider several related questions.

Received by the editors April 24, 1986.

This work was finished when both authors were on leave from the Mathematical Institute of the Hungarian Academy of Sciences, 1364 Budapest, P.O.B. 127, Hungary.

AMS Subject Classification (1980): Primary 52A37, Secondary 10K30.

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Our paper is organized as follows. In section 2 we state the upper bound for  $f_d(n)$ . Section 3 contains the results about the number of empty k-gons in the plane. In section 4 we deal with a related question: how many points are needed to pin the interiors of the empty simplices? Finally sections 5-12 contain the proofs.

A preliminary version of this work was presented in the 2nd Austrian Geometric Conference in Salzburg, 1985.

### 2 Random constructions.

THEOREM 2.1. Let  $A \subset R^d$  be a convex, bounded set with nonempty interior. Choose the points  $p_1, \ldots, p_n$  randomly and independently from A with uniform distribution. Then we have for the expected value of f(P)

$$E(\# \text{ empty simplices in } P) \leq K\binom{n}{d}$$
.

Here K is very large:

$$K = 2^{\binom{d}{2}} d! d^{d^2} \pi^{(d-1)/2} \left[ \Gamma \left( \frac{d}{2} + 1 \right) \right]^{-1} \left( \prod_{i=1}^{d-1} \Gamma \left( \frac{i}{2} + 1 \right) \right)^2 < (2d)^{2d^2}$$

but independent of the shape of A! It is very likely that this value can be decreased, e.g., when A is a ball we can prove  $K < d^{d^2}$ .

COROLLARY 2.2. 
$$f_d(n) < d^{d^2}\binom{n}{d}$$
.

The example of Katchalski and Meir gives in (1) that K < 200. Corollary 2.2 yields  $K \le 16$ . The following random construction gives a much better upper bound. Let  $I_1, I_2, \ldots, I_n$  be parallel unit intervals on the plane,  $I_i = \{(x, y): x = i, 0 \le y \le 1\}$ . Choose the point  $p_i$  randomly from  $I_i$  with uniform distribution. Let  $P_n = \{p_1, \ldots, p_n\}$ . Then

THEOREM 2.3. 
$$E(f_2(P_n)) = 2n^2 + 0(n \log n)$$
.

On the other hand we have

THEOREM 2.4. Let  $P \subset \mathbb{R}^2$  be an independent point-set with |P| = n. Then

$$n^2 - 0(n \log n) \le f_2(P).$$

We have to remark here that G. Purdy [13] announced  $f_2(n) = 0(n^2)$  without proof. H. Harborth [8] pointed out that  $f_2(n) = n^2 - 5n + 7$  for n = 3, 4, 5, 6, 7, 8, 9 but not for n = 10 because  $f_2(10) = 58$ .

3. Empty polygons on the plane. More than 50 years ago Erdös and Szekeres [5] proved that for every integer  $k \ge 3$  there exists an integer n(k) with the following property: If  $P \subset R^2$ ,  $|P| \ge n(k)$  and P is independent, then there exists a subset  $A \subset P$  such that |A| = k and conv A is a convex k-gon.

We call a k-subset A of P empty if conv A contains no point of P in its interior. Erdös [4] asked whether the following sharpening of the Erdös-Szekeres theorem is

true. Is there an N(k) such that if  $|P| \ge N(k)$ .  $P \subset R^2$  independent, then there exists an empty k-gon with vertex set  $A \subset P$ . He pointed out that N(4) = 5 (= n(4)) and [8] proved that N(5) = 10 (while n(5) = 9). A proof of the existence of N(k) was presented at a combinatorial conference in 1978 but it turned out to be wrong. This is no wonder because Horton [9] proved that N(7) does not exist. The question about the existence of N(6) is still open; a recent example of Fabella and O'Rourke [6] shows twenty-two independent points in the plane without an empty hexagon.

EXAMPLE 3.1. (Horton [9]). (This is a squashed version of the well-known van der Corput sequence.) We will define by induction a pointset Q(n) where n is a power of 2. In Q(n) each point has positive integer coordinates and the set of the first coordinates is just  $\{1, 2, \ldots, n\}$ . To start with let  $Q(1) = \{(1, 1)\}$  and  $Q(2) = \{(1, 1), (2, 2)\}$ . When Q(n) is defined, set

$$Q(2n) = \{(2x - 1, y): (x, y) \in Q(n)\} \cup \{(2x, y + d_n): (x, y) \in Q(n)\}$$

where  $d_n$  is a large number, e.g.,  $d_n = 3^n$  will do.

Now denote by  $f^k(P)$  the number of empty k-gons in P and let  $f^k(n) = \min\{f^k(P): P \subset R^2 \text{ independent, } |P| = n\}$ . So  $f^3(n)$  is just  $f_2(n)$  defined in the previous section. Though  $f^k(P)$  can be as large as  $\binom{n}{k}$ , Example 3.1 shows the following estimations.

THEOREM 3.2. When n is a power of 2, then

$$(3) f^3(n) \le 2n^2$$

$$(4) f^4(n) \le 3n^2$$

$$(5) f^5(n) \le 2n^2$$

$$(6) f^6(n) \le \frac{1}{2}n^2$$

(7) 
$$f^k(n) = 0 \quad for \quad k \ge 7.$$

We remark that the random example of Theorem 2.3 gives a quadratic upper bound on  $f^k(n)$ , too. The only lower bounds we can prove are

THEOREM 3.3.

(8) 
$$f^{4}(n) \ge \frac{1}{4}n^{2} - O(n), \qquad f^{5}(n) \ge \left\lfloor \frac{n}{10} \right\rfloor.$$

The second inequality here is implied by N(5) = 10.

4. The covering number of simplices. Let P be an independent set of points in  $\mathbb{R}^d$ . We say that  $Q \subset \mathbb{R}^d$  is a cover of the simplices of P if for every (d+1)-tuple  $\{p_1, \ldots, p_{d+1} \subset P \text{ there exists a } q \in Q \text{ with } q \in \text{int conv}\{p_1, \ldots, p_{d+1}\}$ . Denote by g(P) the minimum cardinality of a cover and let  $g_d(n) = \max\{g(P): P \subset \mathbb{R}^d, |P| = n\}$ . Katchalsky and Meir [11] proved that  $g_2(n) = 2n - 5$  and  $g_3(n) \leq (n-1)^2$ .

Actually they proved

$$g_2(P) = 2|P| = (\# \text{ vertices of conv } P) - 2.$$

Though such an exact result seems to be elusive in higher dimensions, we can determine the asymptotic value of  $g_d(n)$ .

THEOREM 4.1.

$$g_d(n) = \begin{cases} 2\binom{n}{d/2} + 0(n^{d/2-1}) & \text{if } d \text{ is even} \\ \binom{n}{\lfloor d/2 \rfloor} + 0(n^{\lfloor d/2 \rfloor}) & \text{if } d \text{ is odd} \end{cases}$$

holds for any fixed d when  $n \to \infty$ .

COROLLARY 4.2.  $g_3(n) = \binom{n}{2} + 0(n)$ .

The constructions and proofs will be given in section 11.

The high value of  $g_d(n)$  is a bit surprising (at least for the authors), because it was proved in [2] and [1] that there exists a positive constant c(d) (c(2) = 2/9,  $c(d) > d^{-d}$ ) with the following property. For any pointset  $P \subset R^d$ , |P| = n there exists a point contained in at least  $c(d)\binom{n}{d+1}$  simplices of P.

5. The distribution of volumes of random simplices. Consider a bounded convex set  $A \subset R^d$  with Vol(A) > 0. Choose randomly and independently the points  $p_1, \ldots, p_{d+1}$  from A with uniform distribution.

LEMMA 5.1. There exists a C = C(d) > 0 such that for every 0 < v < 1, h > 0

$$Prob(v < Vol(p_1, \dots, p_{d+1})/Vol(A) < v + h) < Ch$$

where  $Vol(p_1, \ldots, p_{d+1})$  is a shorthand for  $Vol(conv\{p_1, \ldots, p_{d+1}\})$ .

PROOF. A theorem of Fritz John [10] says that there exist two concentrical and homothetic ellipsoids  $E_1$  and  $E_2$  with  $E_1 \subset A \subset E_2$  and  $E_2 \subset dE_1$ . As an affine transformation does not change the value of  $Vol(p_1, \ldots, p_{d+1})/Vol(A)$  we may assume that  $E_1$  and  $E_2$  are balls of radius  $r_1$  and  $r_2$  and  $r_2 \leq dr_1$ . Define  $w_d$  to be the volume of the d-dimensional unit ball, i.e.,

$$w_d = \pi^{d/2} \Big( \Gamma \Big( \frac{d}{2} + 1 \Big) \Big)^{-1}.$$

Let 0 < t < t + a and denote the Euclidean distance between  $aff(p_1, \ldots, p_i)$  and  $p_{i+1}$  by  $D_i$ . Then

$$Prob(t < D_i < t + a) \le \frac{w_{i-1}r_2^{i-1}}{Vol(A)} (w_{d+1-i}(t+a)^{d+1-i} - w_{d+1-i}t^{d+1-i})$$

holds for every i = 1, ..., d; the right hand side is the volume of the difference of two cylinders. Hence we have

$$Prob(t < D_i < t + a) \le \frac{a}{r_2} \left(\frac{t}{r_2}\right)^{d-i} \frac{(d+1-i)w_{d+1-i}w_{i-1}}{w_d} \frac{w_d r_2^d}{\text{vol}(A)} + 0\left(\left(\frac{a}{r_2}\right)^2\right) < \frac{a}{r_2} \left(\frac{t}{r_2}\right)^{d-i} 2^d d^{d+1} \left(1 + 0\left(\frac{a}{r_2}\right)\right).$$

The choice of  $p_i$  and  $p_j$  is independent so we have

(9) 
$$\operatorname{Prob}(t_{i} < D_{i} < t_{i} + a \text{ holds for } i = 1, \dots, d)$$

$$\leq \left(\frac{a}{r_{2}}\right)^{d} \left(\frac{t_{1}}{r_{2}}\right)^{d-1} \left(\frac{t_{2}}{r_{2}}\right)^{d-2} \cdot \dots \cdot \left(\frac{t_{d-1}}{r_{2}}\right) 2^{d^{2}} d^{d^{2}+d} \left(1 + 0\left(\frac{a}{r_{2}}\right)\right).$$

Now Vol $(p_1, ..., p_{d+1}) = (d!)^{-1}D_1 \cdot D_2 \cdot ... \cdot D_d$ . Hence (9) yields

(10) 
$$\operatorname{Prob}(v < \operatorname{Vol}(p_1, \dots, p_{d+1}) / \operatorname{Vol}(A) < v + h)$$

$$\leq \int_{x_1 = 0}^{2} \dots \int_{x_d = 0}^{2} x_1^{d-1} x_2^{d-2} \dots x_{d-1} 2^{d^2} d^{d^2 + d} dx_1 dx_2 \dots dx_d$$

where the integration is taken for  $(x_1, \ldots, x_d)$  with

$$v \cdot Vol(A) < r_2^d x_1 \dots x_d (d!)^{-1} < (v + h) Vol(A).$$

**Because** 

$$0 \le x_d - d! v r_2^{-d} \cdot \text{Vol } A/(x_1 \dots x_{d-1}) \le h d! (\text{Vol } A/r_2^d)/(x_1 \dots x_{d-1})$$

we have

$$\int dx_d = hd! (\operatorname{Vol} A/r_2^d)/(x_1 \dots x_{d-1}).$$

Hence the right-hand-side of (10) equals

$$\left[ (2^{d^2} d^{d^2+d}) d! \frac{\text{Vol } A}{r_2^d} \right] h \int_{0 \le x_1 \le 2} \dots \int_{0 \le x_{d-1} \le 2} x_1^{d-2} \dots x_{d-2}^1 dx_1 \dots dx_{d-1} 
= (2^{\binom{d}{2}}/(d-1)!) \cdot C_0 h < (2d)^{2d^2} h,$$

where  $C_0$  is the coefficient in square brackets.

6. **Proof of Theorem 2.1**. For given  $p_1, \ldots, p_{d+1}$  choose the points  $p_{d+2}, \ldots, p_n$  randomly. Define  $\mu(v) = \text{Prob}(\text{Vol}(p_1, \ldots, p_{d+1}) < v)$ . Obviously we have

Prob
$$(p_1, \dots, p_{d+1} \text{ is empty}) = \int_{0 \le v \le 1} (1 - v)^{n-d-1} d\mu(v)$$
  

$$\leq \int_{0 \le v \le 1} (1 - v)^{n-d-1} C dv = C/(n - d).$$

Hence

$$E(f(P)) \le {n \choose d+1} \frac{C}{n-d} = \frac{C}{d+1} {n \choose d}.$$

7. **Proof of Theorem 2.3**. Consider the points A = (i, x), B = (i + a, y), and C = (i + k, z) where  $k = a + b \ge 3$ . Let m = |y - x + (a/k)(z - x)|, i.e., the distance between B and  $I_{i+a} \cap [AC]$ . Choose randomly a point  $p_j$  on  $I_j$ ,  $(i < j < i + k, j \ne i + a)$ . Then

Prob(ABC is an empty triangle)

$$= \left(1 - \frac{m}{a}\right) \left(1 - 2\frac{m}{a}\right) \dots \left(1 - (a - 1)\frac{m}{a}\right) \left(1 - (b - 1)\frac{m}{b}\right) \dots \left(1 - \frac{m}{b}\right)$$

$$\leq \exp\left[-\frac{m}{a} - 2\frac{m}{a} - \dots - (a - 1)\frac{m}{a} - (b - 1)\frac{m}{b} - \dots - 2\frac{m}{b} - \frac{m}{b}\right]$$

$$= \exp\left(-\left(\frac{a}{2}\right)\frac{m}{a} - \left(\frac{b}{2}\right)\frac{m}{b}\right) = \exp(-(k - 2)m/2).$$

Now choose the points  $p_i$  ( $1 \le i \le n$ ) randomly. We obtain

$$Prob(p_i p_{i+a} p_{i+k} \text{ is empty}) \le \int_{0 < x < 1} \int_{0 < y < 1} \int_{0 < z < 1} \exp(-(k-2)m/2) dx dy dz$$

$$\le 2 \int_{0 \le m \le 1/2} \exp(-(k-2)m/2) dm \le 4/(k-2).$$

Hence we have

$$E(f(P)) \le n - 1 + \sum_{1 \le i \le n} \sum_{3 \le k \le n - i} \sum_{1 \le a \le k} 4/(k - 2)$$

$$= n - 1 + \sum_{3 \le k \le n} (n - k + 1) \frac{4(k - 1)}{k - 2}$$

$$= n - 1 + \sum_{3 \le k \le n} (n - k + 1) 4/(k - 2) + 4 \sum_{3 \le k \le n} (n - k + 1)$$

$$= 0(n \log n) + 2n^{2}.$$

## 8. A lemma on graphs.

LEMMA 8.1. Let G be a graph on the vertices  $\{1, 2, ..., n\}$ . Suppose that there exist no four vertices  $i < j < k < \ell$  such that (i, k),  $(i, \ell)$ , and  $(j, \ell) \in E(G)$ . Then

$$|E(G)| \le 3n \lceil \log_2 n \rceil.$$

PROOF. Let  $E(G) = E(G_1) \cup \ldots E(G_i) \cup \ldots$  where  $1 \le i \le \lceil \log_2 n \rceil$  and  $E(G_i) = \{(u, v): 1 \le u \le v \le n, 2^{i-1} \le v - u < 2^i, (u, v) \in E(G)\}$ . Split  $E(G_i)$  into three parts U, D and T:

$$U = \{(u, v): (u, v) \in E(G_i) \text{ and } \exists w \text{ such that } u < w < v \\ \text{and } (w, v) \in E(G_i)\}$$
$$D = \{(u, v): (u, v) \in E(G_i) \text{ and } \exists w \text{ such that } u < w < v \\ \text{and } (u, w) \in E(G_i)\}$$

and  $T = E(G_i) - U - D$ .

Clearly  $U \cap D = \emptyset$ , U, D and T do not contain a circuit. Hence their cardinality is at most n - 1.

We note that (11) can be improved to  $[n \log_2 n]$ , and there exists a graph  $G^n$  with  $|E(G)| \ge n(\log_2 n - 2)$  which fulfills the constraints of Lemma 8.1.

9. **Proof of Theorem 2.4**. Consider the points  $p_1, \ldots, p_n \in \mathbb{R}^2$  and an arbitrary line  $e \in \mathbb{R}^2$ . Let  $q_i$  be the projection of  $p_i$  on e. We can choose e such that  $q_i \neq q_j$ . We can suppose that  $q_i$  lays between  $q_{i-1}$  and  $q_{i+1}$  (eventually reordering the indices).

Let  $G_u$  and  $G_d$  be two graphs on vertices  $\{q_1, \ldots, q_n\}$  such that

$$E(G_u) = \{q_i q_j: \text{ every } p_k \text{ for } i < k < j \text{ is below the } [p_i p_j] \text{ and only (at most)}$$
  
one  $p_i p_k p_j$  triangle is empty $\}$ 

$$E(G_d) = \{(q_i q_j): \text{ every } p_k \text{ for } i < k < j \text{ is above the } [p_i p_j] \text{ and only (at most)}$$
  
one of the triangles  $p_i p_k p_j$  is empty $\}$ .

It is easy to see that  $G_u$  and  $G_d$  fulfills the constraints of Lemma 8.1. Indeed, suppose on contrary  $(q_iq_k), (q_iq_\ell), (q_jq_\ell) \in E(G_u)$ . Then one can find an j',  $i < j' \le j$  and a k',  $k \le k' < \ell$  such that the triangles  $p_ip_j, p_\ell$  and  $p_ip_k, p_\ell$  are empty, contradicting  $p_ip_\ell \in E(G_u)$ . Hence

$$f(P) = \sum_{1 \le i < j \le n} \#(\text{empty triangles with vertices } p_i p_k p_j, \ i < k < j)$$

$$\geq 2\binom{n}{2} - |E(G_u)| - |E(G_d)| = n^2 - 0(n \log n).$$

10. **Proof of 3.2.** Let P be a pointset in the plane, consider  $u_1$ ,  $u_2 \in P$  with  $u_1 = (x_1, y_1)$ ,  $u_2 = (x_2, y_2)$ . We say that the line segment  $[u_1, u_2]$  connecting  $u_1$  and  $u_2$  is empty from below if the interior of the "infinite triangle" with vertices  $u_1, u_2, (\frac{x_1 + x_2}{2}, -\infty)$  contains no point of P. Emptiness from above is defined analogously. Denote by  $h_2^-(P)$  and  $h_2^+(P)$ , respectively the number of segments in P empty from below and above.

Consider Q(2n) from Example 3.1. Q(2n) splits in a natural way into two parts:  $Q^+(n)$  and  $Q^-(n)$  where  $Q^+(n) = \{(2x, y + d_n): (x, y) \in Q(n)\}$  and  $Q^-(n) = \{(2x - 1, y); (x, y) \in Q(n)\}$ . The next two statements are obvious.

(12) If  $u_1, u_2 \in Q(2n)$  and  $[u_1, u_2]$  is empty from below in Q(2n) then either  $u_1, u_2 \in Q^-(n)$  or  $u_1 \in Q^-(n)$  and  $u_2 \in Q^+(n)$  and  $|x_1 - x_2| = 1$  or  $u_1 \in Q^+(n)$  and  $|x_2 \in Q^-(n)|$  and  $|x_1 - x_2| = 1$ .

(13) 
$$h_2^-(Q(2n)) = h_2^-(Q^-(n)) + 2n - 1.$$

Using induction (13) implies that

(14) 
$$h_2^-(Q(n)) < 2n$$
.

Q(n) is centrally symmetric and so

$$(15) h_2^+(Q(n)) < 2n.$$

Now call a triple  $(u_1, u_2, u_3) \in Q(n)$  empty from below if all the three line segments  $[u_1u_2]$ ,  $[u_1u_3]$ ,  $[u_2u_3]$  are empty from below and denote by  $h_3^-(Q(n))$  the number of triples of Q(n), that are empty from below. Clearly,

$$h_3^-(Q(2n)) = h_3^-(Q^-(n)) + n - 1$$

hence by induction

$$h_3^-(Q(n)) \leq n$$
.

To prove  $(3), (4), \ldots, (7)$  we can use induction and the facts established about  $h_2^+, h_2^-, h_3^+$  and  $h_3^-$ . For instance, we can estimate  $f^4(Q(2n))$  in the following way:

$$f^{4}(Q(2n)) = f^{4}(Q^{+}(n)) + h_{3}^{+}(Q^{+}(n))n + h_{2}^{-}(Q^{+}(n))h_{2}^{+}(Q^{-}(n)) + nh_{3}^{+}(Q^{-}(n)) + f^{4}(Q^{-}(n)) < 2f^{4}(Q(n)) + 6n^{2}.$$

which shows that  $f^4(Q(2n)) \leq 12n^2$ .

The proofs of (3), (5), (6) are similar.

11. **Proof of 3.3.** Consider an arbitrary n-element set P in the plane, and assume no three points of P are on a line.

LEMMA 11.1. Suppose u, v, a,  $b \in P$  and the segments [uv] and [ab] intersect (in an interior point). Then there exist a',  $b' \in P$  such that uva'b' is an empty quadrilaterial with diagonal [uv].

PROOF. Trivial: if the *uva* triangle is empty then take a' = a if not let  $a' \in P$  be the nearest to  $\lfloor uv \rfloor$  point from the interior of the triangle uva.

Now define a graph G with vertex set P. A pair  $\{u, v\} \subset P$  is an edge of G if [uv] is *not* a diagonal of any convex empty quadrilateral of P. By the above Lemma G must be a planar graph hence the number of its edges is at most 3n - 6. All other pairs are contained in an empty quadrilateral hence  $f^4(P) \ge \frac{1}{2}(\binom{n}{2} - (3n - 6))$ .

12. **Proof of 4.1**. First we give the upper bound. Our main tool is Radon's theorem [3] which we need in the following form.

LEMMA 12.1. Let  $x_1, \ldots, x_{d+1} \in R^d$  be the vertices of a simplex S and let L be a line not parallel to any one of the facets of S. Then there exists a line L' parallel to L such that  $L' \cap S = [ab]$  and  $a \in relint\ F_a$  and  $b \in relint\ F_b$  with  $F_a$  and  $F_b$  disjoint faces of S.

PROOF. Consider the projection of  $x_1, \ldots, x_{d+1}$  onto the subspace orthogonal to L and apply Radon's theorem in that subspace.

We use the lemma in the following way. Pick a line L not parallel to any affine subspace spanned by at most d points of P. Choose  $\epsilon > 0$  small enough and let v be

a vector parallel to L and  $||v|| = \epsilon$ . We define a covering system Q as follows:

$$Q = \left\{ v + \frac{1}{t} \sum_{x \in X} x : t \le \frac{d+1}{2}, X \subset P, |X| = t \right\}$$

when d is odd, and

$$Q = \left\{ \delta v + \frac{1}{t} \sum_{x \in X} x : \delta = \pm 1, t \leq \frac{d}{2}, X \subset P, |X| = t \right\}.$$

when d is even.

Now we give a construction for the lower bound. Let  $p(i) = (i, i^2, ..., i^d) \in \mathbb{R}^d$ , i = 1, ..., n and set  $P = \{p(i): i = 1, ..., n\}$ . P is the set of vertices of the cyclic polytope [7, 12]. We will use certain properties of the cyclic polytope without explanation. Consider first the case when d is odd. Define

$$\mathcal{F} = \left\{ \{i_1, \dots, i_{d+1}\} \subset \{1, \dots, n\} \mid i_{\alpha} < i_{\alpha+1} \quad \text{for} \quad 1 \le \alpha \le d \quad \text{and} \right.$$
$$i_{2\beta} = i_{2\beta-1} + 1 \quad \text{for} \quad 1 \le \beta \le \frac{d+1}{2} \right\}$$

So the members of the family  $\mathcal{F}$  are unions of segments of  $\{1, 2, ..., n\}$  of even length. Clearly

$$|\mathcal{F}| = \left(\frac{n}{d+1}\right) - 0(n^{(d-1)/2}).$$

We claim that the simplices  $\operatorname{conv}\{p(i): i \in F\}$ ,  $F \in \mathcal{F}$  are pairwise disjoint. Let  $F_1, F_2 \in \mathcal{F}$  with  $F_1 = \{i_1, \dots, i_{d+1}\}$ ,  $F_2 = \{j_1, \dots, j_{d+1}\}$  and let k be the minimal element of the symmetric difference  $F_1 \Delta F_2$ ,  $k \in F_1$ , say. Clearly  $k = i_{2\alpha - 1}$ , i.e., its order in  $F_1$  is odd. Consider the hyperplane H passing through the vertices  $\{p(i): i \in F_1 - \{k\}\}$ . We claim that H separates  $\operatorname{conv} F_1$  and  $\operatorname{conv} F_2$ . The equation of H is

$$H(x_1, x_2, \dots, x_d) = \det \begin{vmatrix} 1 & x_1 & x_d \\ 1 & i_1 & i_1^d \\ & \ddots & \\ 1 & \vdots & \ddots & \\ & \vdots & \vdots & \vdots \\ 1 & i_{d+1} \cdots i_{d+1}^d \end{vmatrix} = 0$$

where the row corresponding to k is missing. Set  $f(t) = H(t, t^2, ..., t^d)$ , this is a polynomial in t of degree d. Then  $f(i_s) = 0$  for  $i_s \neq k$ , i.e., its roots are exactly  $\{i_1, ..., i_{d+1}\}\setminus\{k\}$ . Let, say f(k) > 0. Then the sign of f(t) is negative for every integer t > k except for those with  $t = i_s$ . So  $H(x) \ge 0$  for  $x \in \{p(i): i \in F_1\}$  and  $H(x) \le 0$  for  $x \in \{p(i): i \in F_2\}$ . Thus we obtained  $|\mathcal{F}|$  pairwise disjoint simplices. To cover them requires at least that many points so  $g_d(n) \ge |\mathcal{F}|$ .

The case d is even is similar. We define

$$Q = \{p(i): i = 1, 2, \dots, n-2\} \cup \{v, -v\}$$

where v is in general position with respect to p(i) and ||v|| is large enough. This means that each facet of  $\pi = \text{conv}\{p(i): i = 1, \ldots, n-2\}$  is visible from either v or -v. As it is well-known [7, 12],  $\pi$  has  $\binom{n}{d/2} + 0(n^{d/2-1})$  facets  $F_1, \ldots, F_s$ . Now in the following set of simplices no two have a common interior point:

$$\{\operatorname{conv}(F_i \cup \{v\}): F_i \text{ is visible from } v\}$$

$$\cup \{\operatorname{conv}(F_i \cup \{v\}): F_i \text{ is visible from } -v\}$$

$$\cup \{\operatorname{conv}\{p(i_1), \dots, p(i_{d+1}): 1 \le i_1 < i_2 < \dots < i_d < i_{d+1} = n-2,$$

$$i_{2\beta} = i_{2\beta-1} + 1 \quad \text{for} \quad \beta = 1, \dots, d/2\}.$$

This set of simplices shows that the simplices of Q cannot be covered by less than  $2\binom{n}{d/2} + 0(n^{d/2-1})$  points. Details are left to the reader.

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