



---

Helly's Theorem with Volumes

Author(s): I. Barany, M. Katchalski and Janos Pach

Source: *The American Mathematical Monthly*, Vol. 91, No. 6 (Jun. - Jul., 1984), pp. 362-365

Published by: [Mathematical Association of America](#)

Stable URL: <http://www.jstor.org/stable/2322144>

Accessed: 18/10/2013 11:17

---

Your use of the JSTOR archive indicates your acceptance of the Terms & Conditions of Use, available at <http://www.jstor.org/page/info/about/policies/terms.jsp>

JSTOR is a not-for-profit service that helps scholars, researchers, and students discover, use, and build upon a wide range of content in a trusted digital archive. We use information technology and tools to increase productivity and facilitate new forms of scholarship. For more information about JSTOR, please contact support@jstor.org.



*Mathematical Association of America* is collaborating with JSTOR to digitize, preserve and extend access to *The American Mathematical Monthly*.

<http://www.jstor.org>

$a_{n+1} + b_{n+1} + c_{n+1}$  for all  $n$  or

$$(5) \quad d_n = a_n + b_n + c_n \quad \text{for } n \geq 1.$$

Since “ $\sim$ ” preserves opposition, the elements opposite the equal  $d_{n+1}$  and  $\delta$  must be the same, and we have  $b_{n+1} = \beta = c_n - b_n$ . Finally, for  $n \geq 1$  it follows from (5) that  $\gamma = d_n - c_n = a_n + b_n > b_n - a_n = \alpha$ , and therefore it must be that the smaller of  $\alpha, \gamma$  is  $a_{n+1}$  and the larger  $c_{n+1}$ :  $a_{n+1} = b_n - a_n$  and  $c_{n+1} = a_n + b_n$ . Thus

$$(a_{n+1}, b_{n+1}, c_{n+1}, d_{n+1}) = (b_n - a_n, c_n - b_n, a_n + b_n, d_n - a_n),$$

and we have  $h_{n+1} = Th_n$  for  $n \geq 1$  where  $h_n = (a_n, b_n, c_n) \in \mathbb{C}^3$  and

$$T = \begin{pmatrix} -1 & 1 & 0 \\ 0 & -1 & 1 \\ 1 & 1 & 0 \end{pmatrix}.$$

By (4)  $d_1 > d_2 > \dots > d_n > c_n > b_n > a_n$  and therefore

$$(6) \quad \|T^n h_1\|^2 = a_{n+1}^2 + b_{n+1}^2 + c_{n+1}^2 < 3d_1^2$$

for all  $n$ .

Computing the characteristic polynomial of  $T$ , we obtain  $p(x) = x^3 + 2x^2 - 2$ . We saw that  $p$  has exactly one real root,  $\lambda_0$ , and  $0 < \lambda_0 < 1$ . Denote by  $\lambda_1, \lambda_2$  the complex roots of  $p$ ; then  $\lambda_2 = \bar{\lambda}_1$  and, since the constant member of  $p$  is  $-2$ , thus  $2 = \lambda_0 \lambda_1 \lambda_2 = \lambda_0 |\lambda_1|^2$ , from which it follows that  $|\lambda_1| = |\lambda_2| > 1$ , for  $0 < \lambda_0 < 1$ .

Let  $w_0, w_1, w_2$  be the eigenvectors of  $T$  in  $\mathbb{C}^3$  corresponding to the eigenvalues  $\lambda_0, \lambda_1, \lambda_2$ , respectively; we can choose  $w_0 = (1, 1 + \lambda_0, (1 + \lambda_0)^2)$ . Since the  $\lambda_i$  are different, the  $w_i$  are independent, and therefore there exist  $\alpha_i \in \mathbb{C}$  such that

$$h_1 = \sum_{i=0}^2 \alpha_i w_i.$$

Since  $w_i$  are independent, each of them has positive distance from the subspace generated by the other two. Therefore  $\alpha_1 \neq 0$  or  $\alpha_2 \neq 0$  would imply  $\|T^n h_1\| \rightarrow \infty$  (recall that  $|\lambda_1| = |\lambda_2| > 1$ ). But by (6)  $\|T^n h_1\|$  is bounded. Consequently  $\alpha_1 = \alpha_2 = 0$  and  $h_1 = \alpha_0 w_0$ . Since both  $h_1$  and  $w_0$  have only positive elements, we have  $\alpha_0 \in \mathbb{R}$  and  $\alpha_0 > 0$ .

Finally, from  $d_1 = a_1 + b_1 + c_1$ , we obtain  $d_1 = \alpha_0 (1 + \lambda_0)^3$  (using  $\lambda_0^3 + 2\lambda_0^2 - 2 = 0$ ). Thus we have  $Pv_0 \sim APv_0 = \alpha_0 z$  and the proof is complete.

### HELLY’S THEOREM WITH VOLUMES

I. BÁRÁNY

*Mathematical Institute, Budapest, Reáltanoda u.13-15, 1053, Hungary*

M. KATCHALSKI

*Department of Mathematics, University of Alberta, Edmonton, Canada T6G 2G1  
(Current address: Department of Mathematics, Technion, Haifa, Israel)*

JÁNOS PACH

*Mathematical Institute, Budapest, Reáltanoda u.13-15, 1053, Hungary*

This paper establishes a Helly type result for convex sets in Euclidean  $d$ -dimensional space  $\mathbb{R}^d$ . For many other Helly type results consult [1] or [2].

Helly’s well-known theorem [3] states that if  $\mathcal{C}$  is a finite family of convex sets in  $\mathbb{R}^d$  with the property that any  $d + 1$  of them have a nonempty intersection, then  $\cap \mathcal{C} \neq \emptyset$ . What happens if we assume somewhat more, namely that the intersection of any  $d + 1$  sets is of sufficiently large volume? Is it then true that the volume of  $\cap \mathcal{C}$  is at least 1? The following example answers this

question in the negative. Let  $\mathcal{C}$  consist of the  $2d$  supporting halfspaces of the  $d$ -dimensional cube with sides  $1/2$ . Then  $\text{Vol}(\cap \mathcal{C}) = 2^{-d}$ , though the intersection of any  $2d - 1$  ( $\geq d + 1$ ) members is of infinite volume. This construction shows that the best result to be expected is the following.

**THEOREM.** *There exists a constant  $V(d) > 0$  such that if  $\mathcal{C}$  is a finite family of convex sets in  $\mathbb{R}^d$ , with the property that if  $\mathcal{C}'$  is a  $2d$ -membered subfamily of  $\mathcal{C}$  then  $\text{Vol}(\cap \mathcal{C}') \geq V(d)$  is valid, then  $\text{Vol}(\cap \mathcal{C}) \geq 1$ .*

*Proof.* We recall Steinitz' lemma ([4] or [1, Theorem 2.3]) which states that if  $V$  is a set of points in  $\mathbb{R}^d$  whose convex hull  $\text{conv}(V)$  contains a ball around 0, then there is a subset  $V' \subseteq V$ ,  $|V'| \leq 2d$ , with the same property. This has the immediate consequence that if  $P$  is a convex polytope in  $\mathbb{R}^d$ , then one can choose at most  $2d$  of its faces so that the intersection of the corresponding supporting halfspaces is bounded. (Use the lemma for the normal vectors of the faces.)

First we prove the theorem in the case when all members of  $\mathcal{C}$  are halfspaces. Suppose, indirectly, that there is an infinite sequence  $\mathcal{H}_1, \mathcal{H}_2, \dots$  of finite families of halfspaces satisfying

- (i)  $\text{Vol}(\cap \mathcal{H}_1) = \text{Vol}(\cap \mathcal{H}_2) = \dots = 1$ ,
- (ii) the intersection of any  $2d$  members of  $\mathcal{H}_n$  has volume at least  $n$  ( $n = 1, 2, \dots$ ).

For each  $n$  take a simplex  $S_n$  contained in  $\cap \mathcal{H}_n$  such that  $S_n$  has the largest possible volume. Suppose, without loss of generality, that each  $S_n$  is regular with center at the origin. (Otherwise, apply to  $\mathcal{H}_n$  a suitable volume-preserving affine transformation. Clearly, this will not affect the validity of (i) and (ii).) Let  $c_0, c_1, \dots, c_d$  denote the vertices of  $S_n$ . Define another simplex  $S'_n$  by

$$S'_n = -dS_n = \text{conv}\{-dc_0, -dc_1, \dots, -dc_d\},$$

and denote the faces of  $S'_n$  by  $F_0, F_1, \dots, F_d$ , where  $c_i \in F_i$  for all  $i$  ( $i = 0, 1, \dots, d$ ). Write  $G_i$  for the open halfspace whose boundary is the hyperplane supporting  $F_i$  and for which  $0 \notin G_i$ . Thus,  $S'_n$  is exactly the complement of  $\cup_{i=0}^d G_i$ .

We claim that  $\cap \mathcal{H}_n \subseteq S'_n$ . Suppose, to the contrary, that there exists a point  $c \in \cap \mathcal{H}_n$  which is outside of  $S'_n$ , that is,  $c \in G_i$  for some  $i$ . Now the simplex spanned by the vertices  $c_0, c_1, \dots, c_{i-1}, c, c_{i+1}, \dots, c_d$  is also contained in  $\cap \mathcal{H}_n$  and has obviously larger volume than  $S_n$ , contradicting the definition. Hence,

$$(1) \quad S_n \subseteq \cap \mathcal{H}_n \subseteq S'_n,$$

which implies that

$$(2) \quad \text{Vol } S'_n = d^d \text{Vol } S_n \leq d^d \text{Vol}(\cap \mathcal{H}_n) = d^d.$$

For each  $i = 0, 1, \dots, d$ , there exists a  $d$ -membered subfamily of  $\mathcal{H}_n$  whose intersection is disjoint from  $G_i$ . (This follows from Helly's theorem applied to the family  $\mathcal{H}_n \cup \{G_i\}$ .) Take the union of these subfamilies for all  $i$ , to obtain a system  $\mathcal{H}'_n \subseteq \mathcal{H}_n$  consisting of at most  $d(d + 1)$  halfspaces. Put  $P_n = \cap \mathcal{H}'_n$ . We clearly have

$$(1') \quad S_n \subseteq P_n \subseteq S'_n,$$

where  $P_n$  is a convex polytope with  $f(n) \leq d(d + 1)$  faces.  $P_n$  can be written in the following form

$$P_n = \{x \in \mathbb{R}^d \mid \langle a_{ni}, x \rangle \leq 1; \quad i = 1, 2, \dots, f(n)\},$$

where  $a_{n1}, a_{n2}, \dots, a_{nf(n)}$  are the so-called *outer normals* to the faces of  $P_n$ . Observe that the set of vectors  $\cup_{n=1}^\infty \{a_{n1}, \dots, a_{nf(n)}\}$  is necessarily bounded, because each  $P_n$  contains a regular simplex  $S_n$  with center in the origin, satisfying

$$(2') \quad \text{Vol } S_n = d^{-d} \text{Vol } S'_n \geq d^{-d} \text{Vol}(\cap \mathcal{H}_n) = d^{-d},$$

that is, each  $P_n$  contains also a small fixed ball  $B$  around 0. This means that  $\|a_{ni}\|$  cannot exceed the reciprocal of the radius of  $B$ . Since  $f(n)$  is also bounded, one can choose a subsequence

$n_j \rightarrow \infty$ , such that  $f(n_1) = f(n_2) = \dots = f$  and

$$\lim_{j \rightarrow \infty} a_{n_j} = a_i$$

exists for each  $i = 1, 2, \dots, f$ . Put

$$P = \{x \in \mathbb{R}^d \mid \langle a_i, x \rangle \leq 1; \quad i = 1, 2, \dots, f\}.$$

Take a large ball  $B'$  which contains all  $S'_n$ . (This is possible, by (2).) It is now clear that

$$B \subseteq P \subseteq B',$$

which shows that  $P$  is a nonsingular convex polytope.

We are going to show that the intersection of any  $2d$  or fewer halfspaces supporting faces of  $P$  is unbounded, contradicting the consequence of Steinitz' lemma which we mentioned above. Assume indirectly that, say,

$$Q = \bigcap_{i=1}^k \{x \in \mathbb{R}^d \mid \langle a_i, x \rangle \leq 1\} \quad (k \leq 2d)$$

is bounded, i.e.,  $\max_{q \in Q} \|q\| < R$ . Consider now the sets

$$Q_{n_j} = \bigcap_{i=1}^k \{x \in \mathbb{R}^d \mid \langle a_{n_j,i}, x \rangle \leq 1\}, \quad j = 1, 2, \dots$$

By condition (ii) we know that  $\text{Vol } Q_{n_j} \geq n_j$ , hence we can choose points  $q_{n_j} \in Q_{n_j}$  satisfying  $\|q_{n_j}\| = R$ , for each sufficiently large  $j$ . Let  $t$  be the limit point of any convergent subsequence of  $\{q_{n_j}\}$ . Since  $\|t\| = R$ , we have  $t \notin Q$ , in other words,

$$\langle a_i, t \rangle > 1 \quad \text{for some } i \quad (1 \leq i \leq k).$$

On the other hand

$$\langle a_{n_j,i}, q_{n_j} \rangle \leq 1 \quad (j = 1, 2, \dots)$$

obviously holds, and from here, taking the limit, we obtain

$$\langle a_i, t \rangle \leq 1.$$

This contradiction proves the theorem for systems of halfspaces.

The rest of the proof is entirely routine. Let  $\mathcal{C} = \{C_1, C_2, \dots, C_m\}$  be an arbitrary family of convex sets satisfying the requirements of the Theorem. By using Helly's theorem for the interior sets  $\text{int } C_i$ , we obtain  $\text{Vol}(\cap \mathcal{C}) > 0$ . Further, we may suppose that  $\cap \mathcal{C}$  is bounded, otherwise  $\text{Vol}(\cap \mathcal{C}) = \infty$  and our theorem holds. For every  $C_i$  consider the system  $\mathcal{H}_i$  of all closed halfspaces containing  $C_i$ . It is obvious that the intersection of any  $2d$  halfspaces belonging to  $\mathcal{H} = \cup_{i=1}^m \mathcal{H}_i$  has volume at least  $V(d)$ , and  $\cap \mathcal{H} = \cap \mathcal{C}$ .

Let  $\varepsilon > 0$  and let  $U_\varepsilon$  be defined as the set of those points of  $\mathbb{R}^d$  whose distance from  $\cap \mathcal{C}$  is at most  $\varepsilon$ . The boundary of  $U_\varepsilon$  is compact and is covered by the complements of the closed halfspaces belonging to  $\mathcal{H}$ . It follows from the Borel covering theorem that there exists a finite covering of the boundary. This, in turn, implies that there is a finite subfamily  $\mathcal{H}'$  of  $\mathcal{H}$  such that  $\cap \mathcal{H}' \subseteq U_\varepsilon$ . If we apply our theorem to  $\mathcal{H}'$ , we get  $\text{Vol } U_\varepsilon \geq \text{Vol}(\cap \mathcal{H}') \geq 1$ . Taking into account that

$$\lim_{\varepsilon \rightarrow 0} [\text{Vol } U_\varepsilon - \text{Vol}(\cap \mathcal{C})] = 0,$$

this implies  $\text{Vol}(\cap \mathcal{C}) \geq 1$ . This completes the proof.

REMARKS. One can easily see that the condition of finiteness of  $\mathcal{C}$  is inessential in the Theorem, and can be replaced for instance by the condition that  $\mathcal{C}$  consists of convex closed sets at least one of which is bounded (compact). Concerning the exact value of  $V(d)$  we know only  $V(d) \leq d^{2d^2}$ ,

but we conjecture  $V(d) \leq d^{cd}$  with a suitable constant  $c$ . Similar results are valid for the diameter and the surface area of  $\cap \mathcal{C}$ .

**Acknowledgement.** The authors are highly indebted to Paul Erdős who discovered that the Helly-type statements in question were proved independently in Budapest and Haifa at about the same time.

#### References

1. L. Danzer, B. Grünbaum and V. Klee, Helly's theorem and its relatives, Proc. Symp. Pure Math., 7 (Convexity), American Mathematical Society, 1963, pp. 101–180.
2. H. Hadwiger, H. Debrunner and V. Klee, Combinatorial Geometry in the Plane, Holt, Rinehart & Winston, New York, 1964.
3. E. Helly, Über Mengen konvexer Körper mit gemeinschaftlichen Punkten, Jber. Deutsch. Math.-Verein., 32 (1923) 175–176.
4. E. Steinitz, Bedingt konvergente Reihen und konvexe Systeme. I–II–III, J. Reine Angew. Math., 143 (1913) 128–175; 144 (1914) 1–40; 146 (1916) 1–52.

---

## THE TEACHING OF MATHEMATICS

EDITED BY MARY R. WARDROP AND ROBERT F. WARDROP

*Material for this department should be sent to Professor Robert F. Wardrop, Department of Mathematics, Central Michigan University, Mount Pleasant, MI 48859.*

### AN ALTERNATE METHOD FOR FINDING THE PARTIAL FRACTION DECOMPOSITION OF A RATIONAL FUNCTION

H. JOSEPH STRAIGHT AND RICHARD DOWDS

*Department of Mathematics and Computer Science, SUNY College at Fredonia, Fredonia, NY 14063*

The so-called partial fraction decomposition method is fundamental for the integration of rational functions. Furthermore, as Chrystal points out, this decomposition is “a fruitful source of complicated algebraical identities.” In this note we describe an efficient method for determining the partial fraction decomposition of a rational function. Although this method can be found in several classical algebra texts such as Chrystal [1] and van der Waerden [3], it seems to have disappeared from current calculus texts.

The usual methods found in modern calculus textbooks essentially require the solution of a linear algebraic system. As such, they involve a good deal of algebra and take roughly  $n^3$  steps to determine the constants in the decomposition, where  $n$  is the degree of the denominator of the rational function. As will be shown, the alternative method we recommend requires roughly only  $n^2$  steps to find the necessary constants. Even more important to the student, this method, being recursive, is algebraically simpler and is to a large extent self-checking.

We begin with an example in order to contrast our method with those found in current calculus texts. Consider the problem of determining the decomposition of  $r(x) = p(x)/q(x)$ , where  $p(x) = 4x^4 + 5x^3 - 25x^2 - 5x + 12$  and  $q(x) = (x + 2)^2(x - 1)^3$ . As usual, we assume the existence of constants  $A_i$ , ( $i = 1, 2$ ) and  $B_j$ , ( $j = 1, 2, 3$ ) such that

$$r(x) = \sum_i \frac{A_i}{(x + 2)^i} + \sum_j \frac{B_j}{(x - 1)^j}.$$