



## Tetrahedra passing through a triangular hole, and tetrahedra fixed by a planar frame

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### ABSTRACT

We show that a convex body can pass through a triangular hole iff it can do so by a translation along a line perpendicular to the hole. As an application, we determine the minimum size of an equilateral triangular hole through which a regular tetrahedron with unit edge can pass. The minimum edge length of the hole is  $(1 + \sqrt{2})/\sqrt{6} \approx 0.9856$ . One of the key facts for the proof is that no triangular frame can hold a convex body. On the other hand, we also show that every non-triangular frame can fix some tetrahedron.

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### 1. Introduction

Let  $\Omega$  be a compact convex disk in a plane. By a *frame* we mean the boundary  $\partial\Omega$  of  $\Omega$ . Suppose that the frame  $\partial\Omega$  is *attached to* a convex body  $K \subset \mathbb{R}^3$ , that is,  $K \cap \partial\Omega \neq \emptyset$  and  $\text{int}(K) \cap \partial\Omega = \emptyset$ , where  $\text{int}(K)$  denotes the interior of  $K$ . If the frame  $\partial\Omega$  can be removed away from  $K$  by a continuous rigid motion of  $\partial\Omega$  (or  $K$ ) with keeping  $\text{int}(K) \cap \partial\Omega = \emptyset$ , then we say  $\partial\Omega$  can *slip out of*  $K$ , otherwise, we say  $\partial\Omega$  *holds*  $K$ . A *unit regular tetrahedron* is a regular tetrahedron with unit edges. For example, a circular frame of diameter  $1/\sqrt{2} + \varepsilon$  can hold a unit regular tetrahedron if  $\varepsilon$  is sufficiently small, see Fig. 1.

Zamfirescu [10] proved that most convex bodies can be held by a circular frame. More precisely, the convex bodies in  $\mathbb{R}^3$  that cannot be held by any circular frame form a nowhere dense subset of the space of all convex bodies in  $\mathbb{R}^3$  with Hausdorff metric. We first show that a triangular frame is quite different from a circular frame as follows.

**Theorem 1.** *A triangular frame attached to a convex body can always slip out of the convex body. Thus no triangular frame can hold a convex body.*

Regarding a frame as the boundary of a hole in a plane, we may consider whether a given convex body can pass through the hole. Itoh and Zamfirescu [3] studied the size of a hole (diameter and width) through which a regular simplex of unit edges can pass. Itoh, Tanoue, and Zamfirescu [2] determined the smallest circular hole and the smallest square hole through which a unit regular tetrahedron can pass, see also [6] for the problem in higher dimensions. Concerning a triangular hole, we have the following.

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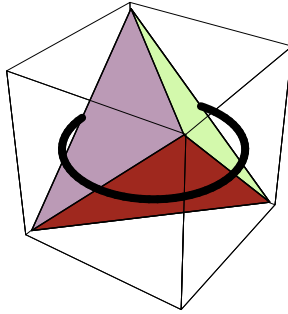


Fig. 1. A circular frame fixes a tetrahedron.

**Theorem 2.** *A convex body  $K$  can pass through a triangular hole  $\Delta$  iff  $K$  can be congruently embedded in a right triangular prism with base  $\Delta$ .*

Thus, if a convex body can pass through a triangular hole, then it can do so by a continuous translation of the convex body along a line perpendicular to the plane containing the hole. Similar assertion is not true for a circular hole. For example, when a regular tetrahedron passes through a circular hole of the smallest possible size, rotations are necessary, see [2], and [6] for higher dimensional cases.

It is proved in [7] that an equilateral triangular prism can contain a unit regular tetrahedron iff the edge length of the base equilateral triangle of the prism is at least  $(1 + \sqrt{2})/\sqrt{6}$ . Hence we have the following.

**Theorem 3.** *A unit regular tetrahedron can pass through an equilateral triangular hole iff the edge length of the hole is at least  $(1 + \sqrt{2})/\sqrt{6}$ .*

Finally we consider a fixing problem for non-triangular frames. We say that  $M_t$  is a rigid motion if  $M_t : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  is an isometry for each  $0 \leq t \leq 1$  starting with the identity map  $M_0$ , and  $M_t$  is a continuous function of  $t$  for  $0 \leq t \leq 1$ . Let  $P$  be the  $xy$ -plane in  $\mathbb{R}^3$ , and let  $H \subset P$  be a convex disk. We say that  $H$  fixes the convex body  $K \subset \mathbb{R}^3$  if

- i.  $K \cap P \subset H$ , and
- ii. if a rigid motion  $M_t$  satisfies  $(M_t K) \cap P \subset H$  for all  $t \in [0, 1]$ , then  $M_t P = P$  for all  $t$ .

This, of course, means that the frame  $\partial H$  holds  $K$  because then no rigid motion can move  $K$  away from  $P$ . In this definition one cannot require that  $M_t$  equals the identity. This is shown by the example in Fig. 1: if  $\varepsilon = 0$ , then the regular tetrahedron is fixed by the circle but it can clearly be rotated.

**Theorem 4.** *Every non-triangular frame fixes some tetrahedron.*

## 2. A convex body through a triangular hole

**Proof of Theorem 1.** Suppose that the boundary  $\partial\Delta$  is a triangular frame attached to a convex body  $K$ . Let  $\partial\Delta = a \cup b \cup c$  with three edges  $a, b, c$ . The triangle  $\Delta$  divides  $K$  into two parts  $K^+$  and  $K^-$ . Let  $H^a$  be a supporting plane of  $K$  containing the edge  $a$ . Then,  $a \subset H^a$  and  $\text{int}(K) \cap H^a = \emptyset$ . Define  $H^b$  similarly. Let  $H$  be the plane containing  $c$  and parallel to the line  $\ell := H^a \cap H^b$ . Then  $H^a, H^b, H$  determine a prism  $\mathcal{P}$ . One of  $K^+, K^-$  is contained in  $\mathcal{P}$ . (For otherwise, we can find a point  $p \in K^+$  and a point  $q \in K^-$  both lying in the same side of  $H$  opposite to the prism  $\mathcal{P}$ . Then the line segment  $pq$  does not intersect  $\Delta$ , contradicting that  $\Delta$  cuts the convex body  $K$ .) If  $K^+ \subset \mathcal{P}$  (resp.  $K^- \subset \mathcal{P}$ ), then  $K$  can slip out of the frame  $\partial\Delta$  by moving parallel to the line  $\ell$  towards  $K^-$  (resp.  $K^+$ ) side.  $\square$

Let  $P$  be the  $xy$ -plane in  $\mathbb{R}^3$ . For a convex disk  $\Omega \subset P$ , the right  $\Omega$ -prism (denoted by  $\Omega \times \mathbb{R}$ ) is the set obtained as the union of those lines that intersect  $\Omega$  perpendicularly. The set  $\Omega$  is called the *base* of  $\Omega \times \mathbb{R}$ . If  $\Omega$  is an equilateral triangle of edge length  $t$ , then the prism is called an *equilateral triangular prism of size  $t$* .

**Lemma 1.** *Let  $\Omega \subset P$  be a convex disk, and let  $\mathcal{P} = \Omega \times \mathbb{R}$ . Then, for any convex disk  $\tilde{\Omega}$  obtained as a section of  $\mathcal{P}$  by a plane,  $\tilde{\Omega}$  can be congruently embedded in  $\Omega$ .*

Lemma 1 is a result due to Kovalyov [5] (answering a question of Zalgaller [9]), and independently, Debrunner and Mani-Levitska [1] (answering a question of Pach [8]), see also Kós and Töröcsik [4].

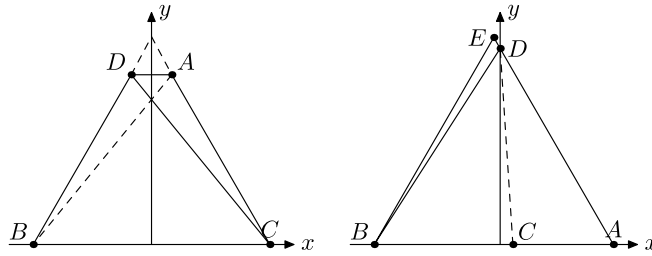


Fig. 2. Top views.

Now, let us regard a triangle  $\Delta \subset P$  as a hole.

**Proof of Theorem 2.** If  $K$  is congruently embedded in  $\Delta \times \mathbb{R}$ , then  $K$  can pass through  $\Delta$  by a translation parallel to the  $z$ -axis.

Suppose that  $K$  can pass through the hole  $\Delta$ . Let  $\partial\Delta = a \cup b \cup c$ . Suppose that  $K$  can go through the hole  $\Delta$  from the upper half space  $[z \geq 0]$  into the lower half space  $[z \leq 0]$ . Let  $K_t, 0 \leq t \leq 1$ , denote the continuously moving body congruent with  $K$ , passing through the hole  $\Delta$  from  $[z \geq 0]$  to  $[z \leq 0]$ ;  $K_0 \subset [z \geq 0]$ ,  $K_1 \subset [z \leq 0]$ . For each  $t \in [0, 1]$ , the plane  $P$  divides  $K_t$  into two parts,  $K_t^+ = K \cap [z \geq 0]$  and  $K_t^- = K \cap [z \leq 0]$ . Let  $H_t^a$  be a supporting plane of  $B_t$  containing the edge  $a$ . Then this is a continuously moving plane such that  $a \subset H_t^a$  and  $H_t^a \cap \text{int}(K_t) = \emptyset$ . Define  $H_t^b$  similarly. Let  $H_t$  be the plane containing  $c$  and parallel to the line  $L_t := H_t^a \cap H_t^b$ . Then  $H_t^a, H_t^b, H_t$  determine a continuously moving triangular prism  $\mathcal{P}_t$ . Note that  $\emptyset = K_0^- \subset \mathcal{P}_0$ , and  $\emptyset = K_1^+ \subset \mathcal{P}_1$ . Furthermore, for each  $t \in [0, 1]$ , one of  $K_t^+, K_t^-$  is contained in  $\mathcal{P}_t$  as in the proof of Theorem 1. Let  $\alpha = \sup\{t \in [0, 1]; K_t^- \subset \mathcal{P}_t\}$ . Then, there is a monotone increasing sequence  $0, t_1, t_2, t_3, \dots$  such that  $K_{t_n}^- \subset \mathcal{P}_{t_n}$  and  $\lim_{n \rightarrow \infty} t_n = \alpha$ . Hence, by the continuity, we have  $K_\alpha^- \subset \mathcal{P}_\alpha$ . Similarly, since  $t > \alpha$  implies  $K_t^+ \subset \mathcal{P}_t$ , we have  $K_\alpha^+ \subset \mathcal{P}_\alpha$ . Therefore,  $K_\alpha \subset \mathcal{P}_\alpha$ .

Thus  $K$  can be congruently embedded in a triangular prism  $\mathcal{P}_\alpha$  with  $\mathcal{P}_\alpha \cap P = \Delta$ . By Lemma 1,  $\mathcal{P}_\alpha$  is congruently embedded in  $\Delta \times \mathbb{R}$ . Hence  $K$  can be congruently embedded in  $\Delta \times \mathbb{R}$ .  $\square$

**Corollary 1.** If a convex body can pass through a triangular hole, then a whole process of passing through the hole can be realized by a translation along a line perpendicular to the plane having the hole.

**Proof of Theorem 3.** Let  $\Delta(d)$  denote an equilateral triangle with edge length  $d$ . Two congruent regular tetrahedra  $T_1, T_2 \subset \Delta(d) \times \mathbb{R}$  are said to be equivalent if it is possible to superpose  $T_1$  on  $T_2$  by a continuous rigid motion of  $T_1$  within the prism. Let  $\nu(d)$  denote the maximum number of mutually non-equivalent embeddings of a unit regular tetrahedron into  $\Delta(d) \times \mathbb{R}$ . The following result is proved in [7]:

$$\nu(d) = \begin{cases} 0 & \text{for } d < d_0 := 1 + \sqrt{2}/\sqrt{6} \approx 0.9856, \\ 6 & \text{for } d_0 \leq d < d_1 := \sqrt{3} + 3\sqrt{2}/6 \approx 0.9958, \\ 18 & \text{for } d_1 \leq d < 1, \\ 1 & \text{for } 1 \leq d. \end{cases} \tag{1}$$

By (1) we have  $\nu(d) \neq 0$  iff  $d \geq (1 + \sqrt{2})/\sqrt{6}$ . In other words, a unit regular tetrahedron can be congruently embedded in  $\Delta(d) \times \mathbb{R}$  iff  $d \geq (1 + \sqrt{2})/\sqrt{6}$ . Combining this result with Theorem 2, we get Theorem 3.  $\square$

Here we recall two important embeddings which are essentially used to show (1) in [7]. We are going to embed a unit tetrahedron  $T = ABCD$  into  $\Delta(d)$ -prisms. First, let us consider the case  $d = d_0$ . Let  $h = d_0/2 = (1 + \sqrt{2})/\sqrt{24}$ , and let  $\Delta_0 \subset P$  be the triangle with vertices  $(\pm h, 0, 0), (0, \sqrt{3}h, 0)$ . Then  $\Delta_0$  is an equilateral triangle of edge length  $d_0$ . Let  $\mathcal{P}$  be the  $\Delta(d_0)$ -prism. Let  $k = (\sqrt{2} - 1)/\sqrt{24}, \ell = 1/\sqrt{2}$ , and define four points  $A, B, C, D$  by

$$A = (k, \ell, -h), \quad B = (-h, 0, -k), \quad C = (h, 0, k), \quad D = (-k, \ell, h).$$

Then one can check that these four points span a regular tetrahedron of edge length 1, which is contained in the  $\Delta(d_0)$ -prism  $\mathcal{P}$ , see Fig. 2 left.

Next we consider the case  $d = d_1$ . Let  $\Delta_1 \subset P$  be the triangle with vertices

$$A' = \left(\frac{\sqrt{2}}{3}, 0, 0\right), \quad B' = \left(-\frac{\sqrt{3} + \sqrt{2}}{6}, 0, 0\right), \quad E = \left(-\frac{\sqrt{3} - \sqrt{2}}{12}, \frac{\sqrt{6} + 1}{4}, 0\right).$$

A straightforward calculation shows that  $\Delta_1$  is an equilateral triangle with edge length  $d_1$ . Let  $T = ABCD$  be the tetrahedron with vertices

$$A = \left(\frac{\sqrt{2}}{3}, 0, \frac{1}{3}\right), \quad B = \left(-\frac{\sqrt{3} + \sqrt{2}}{6}, 0, \frac{\sqrt{6} - 1}{6}\right), \quad C = \left(\frac{\sqrt{3} - \sqrt{2}}{6}, 0, -\frac{\sqrt{6} + 1}{6}\right), \quad D = \left(0, \frac{\sqrt{6}}{3}, 0\right).$$

Then  $T$  is a unit regular tetrahedron contained in the  $\Delta_1$ -prism, see Fig. 2 right.

What is the minimal area of a hole such that a unit regular tetrahedron  $ABCD$  can pass through it? This problem is raised in [3]. Let  $ABCD$  be a unit regular tetrahedron in  $\mathbb{R}^3$  such that the edge  $AB$  lies on the  $z$ -axis. Then, by projecting  $ABCD$  to  $P$ , we get an isosceles triangle with sides  $1, \sqrt{3}/2, \sqrt{3}/2$ , whose area is  $1/\sqrt{8}$ . Hence  $ABCD$  can pass through a triangular hole of area  $1/\sqrt{8}$ . In fact, this is the minimum area hole that a unit regular tetrahedron can pass through by translation only. So, if we could find a smaller hole by allowing rotation for escape, then the hole would be of non-triangular shape.

**Problem 1.** Is  $1/\sqrt{8}$  the minimal area of a hole through which a unit regular tetrahedron can pass?

In this paper, we have considered problems in  $\mathbb{R}^3$ . In higher dimensions, the following is proved in [6]. If a regular  $n$ -simplex  $\Delta^n$  in  $\mathbb{R}^n$  can pass through a hole of a regular  $(n - 1)$ -simplex with side length  $\ell_n$ , then  $\sqrt{1 - (1/n)} < \ell_n < 1$ .

### 3. Tetrahedra fixed by a non-triangular frame

Let  $P$  be the  $xy$ -plane in  $\mathbb{R}^3$ , and let  $H \subset P$  be a convex disk. An alternative description of fixing is the following:  $H$  fixes the convex body  $K \subset \mathbb{R}^3$  if  $K \cap P \subset H$  and if a rigid motion  $M_t : \mathbb{R}^3 \rightarrow \mathbb{R}^3$  satisfies  $K \cap (M_t^{-1}P) \subset M_t^{-1}H$  for all  $t \in [0, 1]$ , then  $M_t P = P$  for all  $t$ . We need one more definition. A convex disk  $C \subset \mathbb{R}^3$  fits into  $H$  if  $H$  contains a congruent copy of  $C$ . It is clear that if  $C$  fits into  $H$ , then the diameter, width, area of  $C$  is at most as large as that of  $H$ .

We will use two easy facts (Lemmas 2 and 3 below) from elementary plane geometry. Let  $R$  be the first quadrant of  $P$ . For positive reals  $p, q$  and  $\varepsilon$ , let  $D_\varepsilon(p, q)$  be the  $\varepsilon$ -disk centered at  $(p, q)$ , that is,  $D_\varepsilon(p, q) = \{(x, y) : (x - p)^2 + (y - q)^2 < \varepsilon^2\}$ .

**Lemma 2.** Let  $\varepsilon > 0$  and  $p_1, q_1 > 2\varepsilon$ . Then, for all  $(x_1, y_1) \in D_\varepsilon(p_1, q_1) \cap R$ , the maximum

$$\max\{(x_1 - x)^2 + (y_1 - y)^2 : (x, y) \in D_\varepsilon(0, 0) \cap R\}$$

is attained only at  $(x, y) = (0, 0)$ .

In other words, the origin is the unique farthest point in  $D_\varepsilon(0, 0) \cap R$  from any point in  $D_\varepsilon(p_1, q_1) \cap R$ , which easily follows from the positions of  $(x, y), (x_1, y_1)$  and  $(0, 0)$ .

For  $a, b, c \in \mathbb{R}^3$ , we write  $[a, b]$  for the line segment from  $a$  to  $b$ , and  $\text{dist}(c, [a, b])$  for the distance from  $c$  to  $[a, b]$ .

**Lemma 3.** Let  $a = (\alpha, 0, 0), b = (\beta, 0, 0)$  and  $c = (\gamma, h, 0)$ , where  $h > 0$ . Suppose that the triangle  $abc$  has a unique longest side  $[a, b]$ . Then,

$$L(c) := \{(x, y, 0) : 0 \leq y < h, x \in \mathbb{R}\} \subset P$$

cannot contain a congruent copy of  $\Delta abc$ .

**Proof.** The width of  $\Delta abc$ , that is, the shortest height of the triangle, is  $\text{dist}(c, [a, b]) = h$ . So, the result follows.  $\square$

We also need a stronger version of Lemma 1, namely, the embedding obtained in Lemma 1 is continuous in the sense described below. For an isometry  $f$  and a compact set  $C$ , let  $\|f\|_C := \max_{z \in C} |f(z) - z|$ .

**Lemma 4.** Let  $\Omega \subset P$  and  $\tilde{\Omega}$  be as in Lemma 1. Then, for every  $\varepsilon > 0$  there is a  $\delta > 0$  such that for any rigid motion  $M_t$  with  $M_1(\tilde{\Omega}) \subset P$  and  $\|M_1\|_\Omega < \delta$ , one can find an isometry  $g$  on  $P$  with  $g(\Omega) \subset M_1(\tilde{\Omega})$  and  $\|g\|_\Omega < \varepsilon$ .

This is an easy consequence of a result from [4]. For convenience we include a sketch of the proof here.

**Proof.** By choosing a suitable coordinate system on  $P$ , we may assume that there exist a  $\lambda \geq 1$  and a map  $p_\lambda : (x, y) \mapsto (x, \lambda y)$  with  $p_\lambda(\Omega) = \tilde{\Omega}'$ , where  $\tilde{\Omega}' \subset P$  is a congruent copy of  $\tilde{\Omega}$ . It is proved in [4] that there are two points  $E, F \in \partial\Omega$  with the following property:

Let  $E' = p_\lambda(E)$  and  $F' = p_\lambda(F)$  be points on  $\partial\tilde{\Omega}'$ . Choose  $F''$  on the line segment  $[E', F']$  so that  $|E' - F''| = |E - F|$ . Let  $h$  be the rotation preserving isometry on  $P$  sending  $E$  and  $F$  to  $E'$  and  $F''$ , respectively. Then,  $h(\Omega) \subset \tilde{\Omega}'$ .

Let  $N_t$  be a rigid motion with  $N_1(\tilde{\Omega}) = \tilde{\Omega}'$ . Then  $g := M_1 \circ N_1^{-1} \circ h$  is the desired isometry. Indeed,  $g(\Omega) \subset M_1(\tilde{\Omega})$  follows from the construction. If  $\|M_1\|_\Omega$  is small, then we see that  $\|N_1\|_\Omega, \lambda - 1$ , and  $\|h\|_\Omega$  are small as well. In fact, by choosing

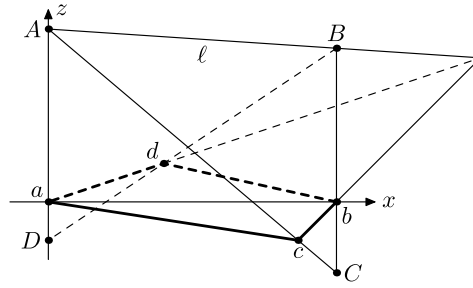


Fig. 3. Case 1.  $(a, b) \subset \text{int} H$ .

$\delta$  sufficiently small, we can guarantee that  $\|M_1\|_{\Omega} < \delta$  implies  $\max\{\|M\|_{\Omega}, \|N_1\|_{\Omega}, \|h\|_{\Omega}\} < \varepsilon/3$ . So it follows that  $\|g\|_{\Omega} \leq \|M_1\|_{\Omega} + \|N_1\|_{\Omega} + \|h\|_{\Omega} < \varepsilon$ .  $\square$

**Proof of Theorem 4.** Let  $H \subset P$  be a non-triangular convex disk. We construct a tetrahedron  $T$  fixed by  $H$ . Let  $f(x, y) = |x - y|$  be the distance function, restricted to  $(x, y) \in H \times H$ .

**Case 1.** There is a local maximum of  $f$  at  $(a, b)$  such that the open segment  $(a, b) \subset \text{int} H$ .

We may assume that  $|a - b| = 1$ . So let  $a = (0, 0, 0)$  and  $b = (1, 0, 0)$ . Choose two points  $c = (c_x, c_y, 0)$  and  $d = (d_x, d_y, 0)$  on  $\partial H$  in the opposite side with respect to the  $x$ -axis, that is,  $c_y d_y < 0$ . Let  $Q := \text{conv}\{a, c, b, d\} \subset H$  be the convex hull of  $\{a, b, c, d\}$ . We construct a tetrahedron  $T$  fixed by  $H$  so that  $Q = T \cap P$ .

Choose a point  $A$  on the  $z$ -axis. If the lines  $ad$  and  $bc$  intersect, then let  $\ell$  be a line passing through the intersection and  $A$ , else if  $ad \parallel bc$ , then let  $\ell$  be a line passing through  $A$  and parallel to  $ad$ . Let  $B$  be the intersection of the line  $\ell$  and the plane  $x = |a - b| = 1$ . Let  $D$  be the intersection of the lines  $Bd$  and  $Aa$ . Since two lines  $Ac$  and  $Bb$  intersect by Desargues's theorem, let  $C$  be the intersection. Then,

$$ab \perp AD, ab \perp BC, \text{ and two lines } AD \text{ and } BC \text{ are skew,} \tag{2}$$

see Fig. 3.

Let  $T = ABCD$  be our tetrahedron. Now let us verify that the four vertices  $a, c, b, d$  are all on the edges of  $T$ . To see this, it is enough to check that  $A$  and  $B$  sit in the same half-space according to the plane  $P$ , while  $C$  and  $D$  are in the other half-space. By direct computation, this is equivalent to the condition that  $x$ -coordinates of  $c$  and  $d$  are in  $(0, 1)$ , and  $y$ -coordinates of  $c$  and  $d$  have opposite signs. In fact, this property is equivalent to our assumption that  $(a, b)$  is a local maximum of  $f$ . Consequently, we have  $Q = T \cap P$ .

We fix the tetrahedron  $T$  and we try to move the frame  $\partial H$ . If we can move the frame within  $P$  only, then, by definition,  $T$  is fixed by  $H$ . Now suppose that we can move the frame slightly and it is on the plane  $\tilde{P} \neq P$ . More precisely, we consider a rigid motion  $M_t$  such that  $T \cap (M_t^{-1}P) \subset M_t^{-1}H$  for all  $t \in [0, 1]$  and  $M_1^{-1}P = \tilde{P}$ . Then, by (2), we have  $M_t a = a$  and  $M_t b = b$  for all  $t$ . So  $M_t$  is a rotation around the line  $ab$ , and thus  $P \cap \tilde{P}$  coincides with the line  $ab$ .

Let  $\tilde{Q} = \text{conv}\{a, b, \tilde{c}, \tilde{d}\}$  be the section of our tetrahedron by the plane  $\tilde{P}$ , where  $\tilde{c}$  (resp.  $\tilde{d}$ ) is on the edge  $[A, C]$  (resp.  $[B, D]$ ), and let  $Q' = \text{conv}\{a, b, c', d'\} \subset P$  be the projection of  $\tilde{Q}$  to  $P$ . Then,  $c'$  is on the line  $ac$ , because  $\tilde{c}$  is on  $[A, C]$ . On the other hand,  $\tilde{c}$  is obtained by rotating  $c$  around the line  $ab$ , and so  $c'$  is an interior point of  $\triangle abc$ . This contradiction completes the proof of Case 1.

Next we assume that we are not in Case 1, that is, if  $f$  has a local maximum at  $(a, b) \in H \times H$ , then the open segment  $(a, b)$  is on the boundary of  $H$ . Let  $a, b \in H$  and suppose that  $[a, b]$  is a diameter of  $H$ . Then  $[a, b] \subset \partial H$ , otherwise we are in Case 1. We may assume that  $H$  is contained in the first quadrant of  $P$  and  $|a - b| = 1$ . So put  $a = (0, 0, 0)$  and  $b = (1, 0, 0)$  on the  $x$ -axis. Define a distance function from  $b$  by  $f_b(x) = |x - b|$  for  $x \in H_0 := \partial H \setminus (a, b)$ . Then,  $f_b(x)$  is monotone increasing as  $x$  moves from  $b$  to  $a$  along  $H_0$ . To see this, suppose, to the contrary, that there is  $c \in H_0$  such that  $f_b$  has a local maximum at  $c \in H_0$ . Then  $[b, c] \subset \partial H$ . Since  $H$  is not a triangle, we have  $(a, c) \subset \text{int} H$ . But, by Lemma 2,  $f$  has a local maximum at  $(a, c)$ . This means that we are in Case 1, a contradiction. So  $f_b$  is monotone, and similarly  $f_a(x) := |x - a|$  for  $x \in H_0$  is also monotone.

**Case 2.** There is a diameter  $[a, b] \subset \partial H$  of  $H$ , and  $f_a$  is monotone.

We will choose  $c, d \in H_0$ , and  $a_i, b_i, c_i, d_i$  ( $i = 1, 2$ ) from  $P$ , see Fig. 4. We start with the following construction.

**Lemma 5.** There are points  $c, d \in H_0$  and  $d_1, c_1, c_2 \in P$  such that  $c$  is the midpoint of  $[c_1, c_2]$  and  $[c_1, c_2] \cap H = \{c\}$ ,  $[d_1, c_1]$  is parallel with  $[d, c]$ ,  $[a, d_1] \cap H = [a, d]$ ,  $\text{dist}(c, [a, b]) \geq \text{dist}(d, [a, b])$ , and the line  $c_1 c_2$  intersects the line  $ab$  at  $z$  with  $b \in [a, z]$ .

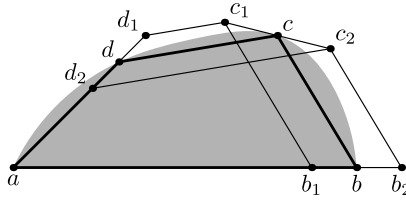


Fig. 4. Case 2.  $[a, b] = \text{diam } H$ .

**Proof.** Let  $v$  be the farthest point of  $H$  from  $[a, b]$ . Suppose  $[b, v] \subset \partial H$ . Then  $v$  would do for  $c$ , we just let  $z = 2b - a$  and choose a suitable pair of point  $c_1, c_2$  on the line  $cz$ . We find  $d$  above the chord  $[a, v]$  as follows. Let  $\ell$  be the line parallel with  $[a, v]$  and supporting  $H$  between  $a$  and  $v$ . As  $H$  is not a triangle,  $(a, v) \subset \text{int } H$ , and so  $\ell$  is disjoint from the chord  $[a, v]$ . Let  $d$  be the point in  $\ell \cap H$  closest to  $[a, b]$ . The position of  $d_1$  on the line  $ad$  is determined by the condition that  $[c_1, d_1]$  parallel with  $[d, c]$ .

If both  $(a, v), (b, v) \subset \text{int } H$ , then let  $d$  be the same point as before. We find  $c$  above the chord  $[b, v]$  just as  $d$  was found above  $[a, v]$ . We assume (by swapping  $H$  with its mirror image if necessary), that  $\text{dist}(c, [a, b]) \geq \text{dist}(d, [a, b])$ . It is clear that there is a supporting line  $\ell_c$  to  $H$  with  $H \cap \ell_c = \{c\}$ , and that  $\ell_c$  intersects the line  $ab$  at a point  $z$  with  $b \in [a, z]$ . We can choose the points  $c_1, c_2$  on  $\ell_c$  satisfying all the conditions, and then find  $d_1$  on the line  $ad$  such that  $[c_1, d_1]$  parallel with  $[d, c]$ .  $\square$

Here the segment  $[c_1, c_2]$  can be chosen as small as needed. For  $i = 1, 2$ , choose  $b_i$  on the line  $ab$  so that  $b_i c_i$  is parallel to  $bc$ , and choose  $d_2$  on the line  $ad$  so that  $c_2 d_2$  is parallel to  $cd$ . By choosing  $[c_1, c_2]$  sufficiently short we can make sure that  $d_2$  lies in the interior of the segment  $[a, d]$ . Let  $a_1 = a_2 = a$ . Set  $Q_i = \text{conv}\{a_i, b_i, c_i, d_i\}$  for  $i = 1, 2$ . Let  $e$  be the unit (upward) normal vector of the plane  $P$ . Let  $T$  be the tetrahedron delimited by the planes  $\text{aff}\{a, b, a_1 + e\}$ ,  $\text{aff}\{b, c, b_1 + e\}$ ,  $\text{aff}\{c, d, c_1 + e\}$ , and  $\text{aff}\{d, a, d_1 + e\}$ . By the construction, we have

$$\begin{aligned} T \cap P &= Q = \text{conv}\{a, b, c, d\}, \\ T \cap (P + e) &= Q_1 + e = \text{conv}\{a_1 + e, b_1 + e, c_1 + e, d_1 + e\}, \\ T \cap (P - e) &= Q_2 - e = \text{conv}\{a_2 - e, b_2 - e, c_2 - e, d_2 - e\}. \end{aligned}$$

We fix the tetrahedron  $T$  and we try to move the frame  $\partial H$ . Suppose that we can move the frame slightly and it is on the plane  $\tilde{P}$ . Namely, we consider a rigid motion  $M_t$  such that  $T \cap (M_t^{-1}P) \subset M_t^{-1}H$  for all  $t \in [0, 1]$  and  $M_1^{-1}P = \tilde{P}$ . Our goal is to show that  $M_t$  is the identity, which means  $T$  is fixed by  $H$ . The plane  $\tilde{P}$  intersects the edge  $[a_1 + e, a_2 - e]$  in the point  $\tilde{a}$ . Define  $\tilde{b}, \tilde{c}$  and  $\tilde{d}$  similarly. By the construction, we have  $T \cap P = Q \subset H$ , and  $\tilde{Q} := T \cap \tilde{P} = \text{conv}\{\tilde{a}, \tilde{b}, \tilde{c}, \tilde{d}\} \subset M_1^{-1}(H)$  fits into  $H$ . Let  $a'$  denote the orthogonal projection of  $\tilde{a}$  onto the plane  $P$ . Define  $b', c'$  and  $d'$  similarly. Notice that  $a' = a$ ,  $b' \in [b_1, b_2]$ ,  $c' \in [c_1, c_2]$ ,  $d' \in [d_1, d_2]$ .

Choose  $\varepsilon > 0$  so that  $6\varepsilon < \min\{c_x, c_y\}$ , where  $c = (c_x, c_y, 0)$ . (We will need this to apply Lemma 2 later.) We plug this  $\varepsilon$  into Lemma 4 to get  $\delta$ . Assume that  $Q$  and  $\tilde{Q}$  differ only slightly. More precisely, we assume that

$$|\tilde{c} - c| < \varepsilon/3, \quad \text{and} \quad \|M_1\|_H < \delta/3 < \varepsilon/3.$$

By Lemma 1,  $a'b'c'd'$  also fits into  $H$ , and moreover, by Lemma 4, we can find an embedding close to the original position, that is, there is an isometry  $g : P \rightarrow P$  satisfying  $a''b''c''d'' := g(a'b'c'd') \subset H$  and  $\|g\|_H < \varepsilon/3$ . Then we have  $|c'' - c'| = |g(c') - c'| \leq \|g\|_H < \varepsilon/3$ ,  $|c' - \tilde{c}| \leq \|M_1\|_H < \varepsilon/3$ , and  $|\tilde{c} - c| < \varepsilon/3$ . Thus we get  $|c'' - c| \leq |c'' - c'| + |c' - \tilde{c}| + |\tilde{c} - c| < \varepsilon$ . Similarly, we get  $|M_1\tilde{c} - c| \leq |M_1\tilde{c} - \tilde{c}| + |\tilde{c} - c| \leq \|M_1\|_H + \varepsilon/3 < 2\varepsilon/3$ . In summary, we have

$$\{c'', M_1\tilde{c}\} \subset D_\varepsilon(c). \tag{3}$$

Since  $c'' \in D_\varepsilon(c)$  by (3), we can apply Lemma 2 to get

$$|c'' - a''| \leq |c'' - a'|.$$

By Lemma 3,  $\Delta a'b'c'$  does not fit into  $L(c')$ . The same is true for  $\Delta a''b''c''$  ( $\equiv \Delta a'b'c'$ ). So we have  $c'' \in H \setminus L(c')$ . Let  $c''_H$  (resp.  $c''_H$ ) be the intersection of  $\partial H$  and the line  $ac'$  (resp.  $ac''$ ), see Fig. 5.

Since  $c'' \in H \setminus L(c')$ , using the monotonicity of  $f_a$ , we have

$$|c''_H - a'| \leq |c'_H - a'|.$$

Therefore we have

$$|c'' - a''| \leq |c'' - a'| \leq |c''_H - a'| \leq |c'_H - a'| \leq |c' - a'| = |c'' - a''|,$$

and thus  $|c'' - a''| = |c'' - a'| = |c' - a'|$ . Then, by Lemma 2,  $|c'' - a''| = |c'' - a'|$  gives  $(a =) a' = a''$ . Also  $c'' \in H \setminus L(c')$  and  $|c'' - a'| = |c' - a'|$  give  $c' = c''$ , which is only possible if  $c' = c'' = c = \tilde{c}$ .

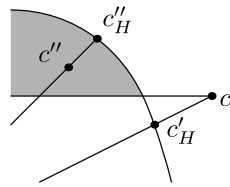


Fig. 5.  $c''_H, c'_H \in \partial H$ .

We will show that  $a = \tilde{a}$ . Observe that  $M_1(\tilde{Q}) \subset H$  and

$$\text{dist}(M_1\tilde{c}, M_1[\tilde{a}, \tilde{b}]) = \text{dist}(\tilde{c}, [\tilde{a}, \tilde{b}]) = \text{dist}(c, [\tilde{a}, \tilde{b}]) \geq \text{dist}(c, [a, b]),$$

where the last inequality follows from the fact that  $[\tilde{a}, \tilde{b}]$  is contained in the plane  $y=0$ , namely, the plane whose distance to  $c$  equals  $\text{dist}(c, [a, b])$ . So, by Lemma 3, the triangle  $M_1(\Delta\tilde{a}\tilde{b}\tilde{c})$  does not fit into  $L(c)$ , and thus  $M_1\tilde{c} \in H \setminus L(c)$ . Then we have

$$|M_1\tilde{a} - M_1\tilde{c}| \leq |a - M_1\tilde{c}| \leq |a - c|,$$

where we use  $M_1\tilde{c} \in D_\varepsilon(c)$  from (3) to apply Lemma 2 for the first inequality, and we use the monotonicity of  $f_a$  for the second inequality. On the other hand  $|M_1\tilde{a} - M_1\tilde{c}| = |\tilde{a} - \tilde{c}| = |\tilde{a} - c| \geq |a - c|$  where the last inequality follows from the construction. Thus  $|M_1\tilde{a} - M_1\tilde{c}| = |\tilde{a} - c| = |a - c|$  and then  $\tilde{a} = a$  follows.

Now it follows from  $\tilde{a} = a$  and  $\tilde{c} = c$  that  $M_t$  is a rotation around the line  $ac$ . Thus  $\tilde{b}$  is obtained by rotating  $b$  around  $ac$ . In this case,  $b \neq \tilde{b}$  is impossible because  $bb' \not\perp ac$ . Therefore we have  $\tilde{a} = a$ ,  $\tilde{b} = b$  and  $\tilde{c} = c$ . Thus  $\tilde{P} = P$  and  $M_t$  is the identity. This completes the proof of Case 2 and also of the theorem.  $\square$

Similarly to the proof of Theorem 4, one can show the following: for every convex quadrilateral  $H \subset P$ , there is a tetrahedron  $T$  such that  $T$  is fixed by  $H$  and  $H = T \cap P$ . Conversely, if we are given a tetrahedron first, then can we find such a quadrilateral frame?

**Problem 2.** Let  $T$  be a tetrahedron. Is it true that there is a plane  $P$  such that  $H := T \cap P$  fixes  $T$ ?

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