

The longest segment in the complement of a packing

K. Böröczky, Jr. & G. Tardos
Rényi Institute of Mathematics
Budapest, PO. Box 127., 1364 Hungary

May 26, 2000

1 Introduction

Let K be a compact convex body in \mathbb{R}^n not contained in a hyperplane, and denote the norm whose unit ball is $\frac{1}{2}(K - K)$ by $\|\cdot\|_K$. Given a translative packing of K , we are interested in how long segments (with respect to $\|\cdot\|_K$) lie in the complement of the interiors of the translates. The main result of this note is showing the existence of a translative packing such that the length of the longest segments avoiding it is only exponential in the dimension n (see below). We start here with a lower bound showing that this bound is close to optimal for balls.

We show that any packing of the unit Euclidean ball B^n avoids a segment of length exponential in n . It is a rather interesting question to find how long segments necessarily exist that avoid any packing of *any* convex, open body in \mathbb{R}^n . Our lower bound proof does not work for bodies allowing dense packings.

Let $|\cdot|$ denote the n -dimensional Lebesgue measure.

Let us consider any packing of B^n , and denote the area and the packing density of the unit ball by κ_n and $\delta(B^n)$, respectively. Choose a unit segment s , and denote the projection of B^n into some hyperplane orthogonal to s by B^{n-1} , and set

$$\lambda = \frac{\kappa_n}{3\kappa_{n-1}} \cdot \frac{1}{\delta(B^n)} \geq 2^{0.599n+o(n)}. \quad (1)$$

Here we used $\kappa_{n-1}/\kappa_n = O(\sqrt{n})$ and the estimate $\delta(B^n) \leq 2^{-0.599n+o(n)}$ of Kabatjanskii & Levenstein [4]. The definition of the packing density yields that there exists a translate Z of the cylinder $\lambda \cdot s + n \cdot B^{n-1}$ which is intersected

by at most

$$|Z + B^n| \cdot \frac{\delta(B^n)}{\kappa_n} \leq (\lambda + 2)(n + 1)^{n-1} \kappa_{n-1} \frac{\delta(B^n)}{\kappa_n} = \frac{\lambda + 2}{3\lambda} \cdot (n + 1)^{n-1} < n^{n-1}$$

balls in the packing. The last inequality only holds for large enough n and follows from our estimate (1) on λ . Therefore the total area of the projections of these balls into the base of Z is less than the area of the base. Thus there exist a point x not covered, meaning that the segment s' consisting of the points of Z mapped to x avoids all the balls of the packing. Clearly, the length of s' is λ , thus Equation (1) provides a lower bound on the length of the longest segment avoiding all balls of the packing.

Slight modification of the argument above yields that for any *lattice* packing of equal balls, there exists a line avoiding all balls (see A. Heppes [3]). On the other hand, Ch. Zong conjectured that there exists a packing where the length of the longest segment in the complement is at most c^n for some constant c . The paper M. Henk & Ch. Zong [2] constructed a packing where the segments in the complement have bounded length, although their method did not give any meaningful bound.

Theorem 1 *Let K a compact convex body in \mathbb{R}^n not contained in a hyperplane. Then there exists a periodic translative packing of K such that any segment of length $c_0 n^2 \cdot \frac{|K-K|}{|K|}$ (with respect to $\|\cdot\|_K$) intersects the interior of some translate where c_0 is an absolute constant.*

Remark: Note that the bound in the theorem is $c_0 n^2 2^n$ for centrally symmetric bodies K , while in the general case it is bounded by $c_0 n^2 4^n$, since $|K - K| \leq \binom{2n}{n} \cdot |K|$ according to the celebrated result of C.A. Rogers & G. Shepard [6], and we have $\binom{2n}{n} < 4^n$.

If the upper bound of Theorem 1 is improved to $c^{n+o(n)}$ for some $c < 2$ for the ball, then (1) yields that $\delta(B^n) \geq c^{-n+o(n)}$. Therefore such an improvement seems to be hard to prove. Actually, in order to improve on the classical lower bound $\delta(B^n) \geq 2^{-n}$, it is sufficient to construct a packing such that any segment parallel to a given direction and having length of at least $c^{n+o(n)}$, $c < 2$, intersects the interior of some of the balls.

Let us consider a consequence of Theorem 1. A *cloud* for the convex body K is defined as a packing of translates K , which do not overlap K , and any half line emanating from K intersects the interior of at least one translate. It was proved in K. Böröczky & V. Soltan [1] that there always exists a finite cloud. The same fact was verified independently by Ch. Zong [9], who gave the first reasonable upper bound for the cardinality of a cloud; namely, an upper bound of order n^{n^2} . This bound was improved to c^{n^2}

independently by I. Talata (see [8]), and by I. Bárány and I. Leader (see Ch. Zong [10]). Here I. Talata [8] proved $2^{1.401n^2+o(n^2)}$ if K is a ball, $3^{n^2+o(n^2)}$ if K is centrally symmetric, and $6^{n^2+o(n^2)}$ in general. We can construct clouds of lower cardinality in the following way: we fix any translate of K in the packing given by Theorem 1, and consider all translates in the packing, which are at most distance $c_0 n^2 \cdot \frac{|K-K|}{|K|}$ from the fixed copy. We deduce

Corollary 1 *For any centrally symmetric convex K in \mathbb{R}^n , there exists a cloud by $2^{n^2+o(n^2)}$ translates. For a general convex body K , a cloud can be formed using $4^{n^2+o(n^2)}$ translates.*

With respect to a lower bound, I. Talata [8] verified that a cloud of the unit ball always has at least $2^{0.599n^2+o(n^2)}$ elements. A lower bound with slightly weaker constant was independently obtained by I. Bárány (see Ch. Zong [10]).

Packing with high relative distance are also well studied. We say that the packing $\{x_i + K\}$ has relative distance $\varrho > 2$ if it satisfies $\|x_i - x_j\|_K \geq \varrho$ for $i \neq j$. Our arguments show that for such a packing, there exists a segment of length $c_1(n)\varrho^n$ in the complement, and there exists such a packing where the length of any segment in the complement is at most

$$c_2(n)\varrho^n \log \varrho.$$

For clouds, it is easy to see that at least $c_3(n)\varrho^{n^2-n}$ translates are needed for any cloud of relative distance ϱ (even if the source is only one point), and our argument yields such a family consisting of at most

$$c_4(n)\varrho^{n^2-n}(\log \varrho)^n$$

translates clouding K . Here $c_1(n)$, $c_2(n)$, $c_3(n)$ and $c_4(n)$ are positive constants depending only on the dimension n .

2 The proof of Theorem 1

Let K be a compact convex body in \mathbb{R}^n not contained in a hyperplane. All distances and lengths below are measured with respect to $\|\cdot\|_K$.

Our proof is probabilistic: we select random translates of K for the packing and show that with high probability their collection satisfies the requirement of Theorem 1. More precisely, we consider a large enough compact factor T^n of \mathbb{R}^n and throw uniform random translates of K into T^n one by

one. By keeping those that are disjoint from all earlier translates we obtain our periodic packing. Note that this method is not greedy, as our rule excludes a translate from the packing if it intersects some earlier translates even though all those translates may have been excluded themselves. This suboptimal rule is necessary to obtain *independence* between the configurations of regions far from each other.

The detailed argument is as follows. We set $c_0 = 10\,000$ and assume $n > 2$ for simplicity. According to the Minkowski-Hlawka theorem, there exists a lattice Λ such that $\Lambda + 2c_0n^2 \cdot 4^n(K - K)$ is a packing and

$$\det \Lambda \leq 2^n \cdot |2c_0n^2 \cdot 4^n(K - K)|. \quad (2)$$

The condition on Λ yields that if $\|x - y\|_K < 2c_0n^24^n$ then the distance of images of x and y in the torus $T^n = \mathbb{R}^n/\Lambda$ is still $\|x - y\|_K$.

We throw points x_1, x_2, \dots into T^n independently with uniform distribution with respect to the Lebesgue measure. We color an x_i red if $\|x_j - x_i\|_K > 2$ holds for any $j < i$, or in other words, if $x_i + K$ is disjoint from any $x_j + K$ for $j < i$. For a measurable $A \subset T^n$, denote the probability that A contains no red point by $P(A)$.

Lemma 1 *Let $A, B \subset T^n$ be measurable such that the diameter of B is less than 2, and there exist translates $y_i + B \subset A$, $i = 1, \dots, N$ with $\|y_i - y_j\|_K \geq 6$ for $i \neq j$. Then*

$$P(A) \leq \left(1 - \frac{|B|}{|K - K|}\right)^N.$$

Proof: First we calculate the probability that B contains a red point. The probability that x_i lands in B and it is colored red is

$$P_i = |B| \cdot (1 - |K - K|)^{i-1}.$$

Since the diameter of B is less than 2, only at most one $x_i \in B$ is colored red, and we deduce that

$$1 - P(B) = \sum_{i \geq 1} P_i = \frac{|B|}{|K - K|}. \quad (3)$$

Now the sets $y_i + B - (K - K)$ are disjoint, and hence the events that $y_i + B$ contains no red point, $i = 1, \dots, N$, are independent. Each of these events have equal probability as calculated in (3), hence the lemma follows. Q.E.D.

According to C.A. Rogers [5], there exists a covering $\{z + \frac{1}{n}K \mid z \in Z\}$ of T^n whose density is at most $n \ln n + n \ln \ln n + 4n$. Therefore we deduce by (2) that

$$|Z| \leq (n \ln n + n \ln \ln n + 4n) \cdot n^n \cdot \frac{\det \Lambda}{|K|} \leq 2^{10n^2}.$$

Let S be the family of segments in T^n whose length is between $c_0 n^2 \cdot \frac{|K-K|}{|K|} - 1$ and $c_0 n^2 \cdot \frac{|K-K|}{|K|} + 1$, and the endpoints are chosen from Z . Clearly, $\#S \leq (\#Z)^2 \leq 2^{20n^2}$.

Now Lemma 1 can be applied to $A = s_k - (1 - \frac{2}{n})K$ with $B = -(1 - \frac{2}{n})K$ and $N = \lfloor \frac{c_0}{6} \cdot n^2 \frac{|K-K|}{|K|} \rfloor$. We deduce that the probability P_0 that there exists an $s \in S$ such that $s - (1 - \frac{2}{n})K$ contains no red point is

$$P_0 \leq \#S \cdot \left(1 - \frac{|B|}{|K-K|}\right)^N \quad (4)$$

$$\leq 2^{20n^2} \left(1 - \left(1 - \frac{2}{n}\right)^n \frac{|K|}{|K-K|}\right)^N < 1. \quad (5)$$

Therefore there exists a sequence x_1, x_2, \dots such that for any $s \in S$, the set $s - (1 - \frac{2}{n})K$ contains a red point. Denote the family of red points by r_1, \dots, r_m .

Now $\Lambda + \{r_1 + K, \dots, r_m + K\}$ is a periodic translative packing in \mathbb{R}^n . Let us consider a segment $s_0 = aa'$ with length $c_0 n^2 \frac{|K-K|}{|K|}$. Embedding a and a' into T^n , there exist points $z, z' \in Z$ with $a \in z + \frac{1}{n}K$, $a' \in z' + \frac{1}{n}K$. We now have $s = zz' \in S$ and $s \subset s_0 - \frac{1}{n}K$. We have seen that there exists some $r_i \in s - (1 - \frac{2}{n})K \subset s_0 - (1 - \frac{1}{n})K$, and hence s intersects the interior of $r_i + K$. In turn, we conclude Theorem 1.

References

- [1] K. Böröczky & V. Soltan: *Translational and homothetic clouds for a convex body*. Studia Sci. Math. Hung., **32** (1996), 93–102.
- [2] M. Henk & Ch. Zong: *Segments in ball packings*. Mathematika, (accepted).
- [3] A. Heppes: *Ein Satz über gitterförmige Kugelpackungen*. Ann. Univ. Sci. Budapest Sect. Math, **3–4** (1960/61), 89–90.
- [4] G.A. Kabatjanski & V.I. Levenstein: *Bounds for packings on a sphere and in a space*. Problems. Inform. Transmission, **14** (1978), 1–17.

- [5] C.A. Rogers: *A note on coverings*. *Mathematika*, **4** (1957), 1–6.
- [6] C.A. Rogers & G. Shepard: *The difference body of a convex body*. *Arch. Math.* **8** (1957), 220–233.
- [7] R. Schneider: *Convex bodies: the Brunn–Minkowski theory*. Cambridge Univ. Press, Cambridge, 1993.
- [8] I. Talata: *On translational clouds for a convex body*. *Geometriae Dedicata*, **80** (2000), 319–329.
- [9] Ch. Zong: *A problem of blocking light rays*. *Geometriae Dedicata*, **67** (1997), 117–128.
- [10] Ch. Zong: *Sphere packings*. Springer, Berlin, 1999.