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# Random walks and multiply intersecting families

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## Abstract

Let  $\mathcal{F} \subset 2^{[n]}$  be a 3-wise 2-intersecting Sperner family. It is proved that

$$|\mathcal{F}| \leq \begin{cases} \binom{n-2}{(n-2)/2} & \text{if } n \text{ even,} \\ \binom{n-2}{(n-1)/2} + 2 & \text{if } n \text{ odd} \end{cases}$$

holds for  $n \geq n_0$ . The unique extremal configuration is determined as well.

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## 1. Introduction

Let  $n, r$  and  $t$  be positive integers. A family  $\mathcal{F}$  of subsets of  $[n] = \{1, 2, \dots, n\}$  is called  $r$ -wise  $t$ -intersecting if  $|F_1 \cap \dots \cap F_r| \geq t$  holds for all  $F_1, \dots, F_r \in \mathcal{F}$ . An  $r$ -wise  $t$ -intersecting family  $\mathcal{F}$  is called trivial if  $|\bigcap_{F \in \mathcal{F}} F| \geq t$  holds. For a real  $w \in (0, 1)$  let us define the weighted size  $W_w(\mathcal{F})$  of  $\mathcal{F}$  by

$$W_w(\mathcal{F}) := \sum_{F \in \mathcal{F}} w^{|F|} (1-w)^{n-|F|}.$$

Some basic results concerning the maximum weighted size of multiply intersecting families can be found in [6–8]. Among others, the following is proved in [7].

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**Theorem 1.** *Let  $\mathcal{F}$  be a 3-wise 2-intersecting family. Then  $W_w(\mathcal{F}) \leq w^2$  if  $w < 0.5018$ .*

Moreover if  $W_w(\mathcal{F}) \geq 0.999w^2$ , then  $\mathcal{F}$  contains a certain configuration, which we will explain later (see Theorem 10 in Section 4). Using this result, the following variation of the Erdős–Ko–Rado theorem [2,1] is deduced.

**Theorem 2.** *Let  $\mathcal{F} \subset \binom{[n]}{k}$  be a 3-wise 2-intersecting family with  $k/n \leq 0.501$ ,  $n > n_0$ . Then  $|\mathcal{F}| \leq \binom{n-2}{k-2}$ , and equality holds only if  $\mathcal{F}$  is trivial.*

For the proof of the above result, we use the “random walk method.” The main tool is Theorem 6 described in the next section.

A family  $\mathcal{F} \subset 2^{[n]}$  is called a Sperner family if  $F \not\subset G$  holds for all distinct  $F, G \in \mathcal{F}$ . As an application of Theorem 2, we prove the following result.

**Theorem 3.** *Let  $\mathcal{F} \subset 2^{[n]}$  be a 3-wise 2-intersecting Sperner family. Then,*

$$|\mathcal{F}| \leq \begin{cases} \binom{n-2}{(n-2)/2} & \text{if } n \text{ even,} \\ \binom{n-2}{(n-1)/2} + 2 & \text{if } n \text{ odd,} \end{cases}$$

holds for  $n \geq n_0$ . The extremal configurations are

$$\begin{aligned} \mathcal{F} &= \{\{1, 2\} \cup F : F \in \binom{[3, n]}{(n-2)/2}\} && n \text{ even,} \\ \mathcal{F} &= \{\{1, 2\} \cup F : F \in \binom{[3, n]}{(n-1)/2}\} \cup \{[n] - \{1\}\} \cup \{[n] - \{2\}\} && n \text{ odd.} \end{aligned}$$

Since  $\mathcal{F} = \binom{[8]}{6}$  is 3-wise 2-intersecting Sperner and  $|\mathcal{F}| = \binom{8}{6} > \binom{6}{3}$ , the condition  $n > n_0$  in the above theorem can not be omitted completely. It is an interesting but difficult problem to determine how small  $n_0$  can be.

Other results concerning the maximum size of  $r$ -wise  $t$ -intersecting Sperner families can be found in [16] for the case  $r = 2$ , and in [3,9–12] for the case  $r \geq 3$  and  $t = 1$ .

## 2. Tools

### 2.1. Shifting

For integers  $1 \leq i < j \leq n$  and a family  $\mathcal{F} \subset 2^{[n]}$ , define the  $(i, j)$ -shift  $S_{ij}$  as follows.

$$S_{ij}(\mathcal{F}) := \{S_{ij}(F) : F \in \mathcal{F}\},$$

where

$$S_{ij}(F) := \begin{cases} (F - \{j\}) \cup \{i\} & \text{if } i \notin F, j \in F, (F - \{j\}) \cup \{i\} \notin \mathcal{F}, \\ F & \text{otherwise.} \end{cases}$$

A family  $\mathcal{F} \subset 2^{[n]}$  is called shifted if  $S_{ij}(\mathcal{F}) = \mathcal{F}$  for all  $1 \leq i < j \leq n$ . We call  $\mathcal{F}$  a co-complex if  $G \supset F \in \mathcal{F}$  implies  $G \in \mathcal{F}$ .

Let us introduce a partial order in  $2^{[n]}$  by using shifting. Let  $A, B \subset [n]$ . Define  $A \succ B$  if there exists  $A' \subset [n]$  such that  $A \subset A'$  and  $B$  is obtained by repeating a shifting to  $A'$ . The following fact is trivial but useful.

**Fact 4.** *Let  $\mathcal{F} \subset 2^{[n]}$  be a shifted co-complex. If  $A \in \mathcal{F}$  and  $A \succ B$ , then  $B \in \mathcal{F}$ .*

### 2.2. Random walk

Let  $w \in (0, 2/3]$  be a fixed real number, and let  $\alpha \in (0, 1)$  be the root of the equation  $(1 - w)x^3 - x + w = 0$ , more explicitly,  $\alpha = \frac{1}{2}(\sqrt{\frac{1+3w}{1-w}} - 1)$ . Note that  $\alpha = \alpha(w)$  is an increasing function of  $w$  and  $\alpha(0) = 0, \alpha(2/3) = 1$ . Consider the infinite random walk, starting from the origin, in which at each step we move one unit up with probability  $w$  or move one unit right with probability  $1 - w$ . Then the probability that we ever hit the line  $y = 2x + s$  is given by  $\alpha^s$  where  $s$  is a non-negative integer. (See [4] for details.)

Let  $F \in \mathcal{F} \subset 2^{[n]}$ . We define the corresponding (finite) walk to  $F$ , denoted by  $\text{walk}(F)$ , in the following way. If  $i \in F$  (resp.  $i \notin F$ ) then we move one unit up (resp. one unit right) at the  $i$ th step. Note that  $F \succ G$  means  $\text{walk}(G)$  is in the area to the upper left of  $\text{walk}(F)$ . The following fact shows how to use random walks to estimate the weighted size of a family.

**Fact 5.** *Let  $\mathcal{F} \subset 2^{[n]}$ , and suppose that, for all  $F \in \mathcal{F}$ ,  $\text{walk}(F)$  touches the line  $y = 2x + s$ . Then  $W_w(\mathcal{F}) \leq \alpha^s$ .*

Now we give a variation of the above fact for the size of a uniform family, which we will use to prove Theorem 2.

**Theorem 6.** *Let  $w \in \mathbb{R}, d \in \mathbb{Q}, s \in \mathbb{N}$  be fixed constants with  $0 < d \leq w \leq 2/3$ , and set  $\alpha = \frac{1}{2}(\sqrt{\frac{1+3w}{1-w}} - 1)$ . Let  $\mathcal{F} \subset \binom{[n]}{k}$  with  $d = k/n, k > s$ . Suppose that, for all  $F \in \mathcal{F}$ ,  $\text{walk}(F)$  touches the line  $y = 2x + s$ . Then we have the following.*

- (i) *For every  $\varepsilon > 0, |\mathcal{F}|/\binom{n}{k} \leq (1 + \varepsilon)\alpha^s$  holds for  $n > n_0(\varepsilon)$ .*
- (ii) *If  $w \leq 0.51$  then  $|\mathcal{F}|/\binom{n}{k} \leq \alpha^s$  for  $n > n_0$ .*

**Conjecture 7.** *Theorem 6 (i) is true for  $\varepsilon = 0$  (or equivalently, (ii) is true for all  $w \leq 2/3$ ).*

### 2.3. Shadow

For a family  $\mathcal{F} \subset 2^{[n]}$  and a positive integer  $\ell < n$ , let us define the  $\ell$ -th shadow of  $\mathcal{F}$ , denoted by  $\Delta_\ell(\mathcal{F})$ , as follows.

$$\Delta_\ell(\mathcal{F}) := \{G \in \binom{[n]}{\ell} : G \subset \exists F \in \mathcal{F}\}.$$

We use the following version of the Kruskal–Katona theorems [15,14,5]:

**Proposition 8.** Suppose that  $\mathcal{F} \subset \binom{[n]}{k}$  and  $|\mathcal{F}| \leq \binom{m}{k}$ . Then,

$$|\Delta_\ell(\mathcal{F})| \geq |\mathcal{F}| \binom{m}{\ell} / \binom{m}{k}.$$

Equality holds only if  $\mathcal{F} = \binom{Y}{k}$ ,  $|Y| = m$ .

We also use the following Katona’s shadow theorem for  $t$ -intersecting families [13].

**Proposition 9.** Suppose that  $\mathcal{F} \subset \binom{[n]}{k}$  is 2-wise  $t$ -intersecting, and  $n \geq 2k - t$ ,  $k > l \geq k - t$ . Then,

$$|\Delta_\ell(\mathcal{F})| \geq |\mathcal{F}| \binom{2k - t}{\ell} / \binom{2k - t}{k}.$$

Equality holds only if  $\mathcal{F} = \binom{Y}{k}$ ,  $|Y| = 2k - t$ .

### 3. Proof of Theorem 6

If  $w = 2/3$  then  $\alpha = 1$  and the theorem is trivial in this case. So we assume that  $w < 2/3$ . Since the theorem clearly holds for  $s = 0$  also, we may assume that  $s \geq 1$ . For each  $i = 0, 1, \dots, \lfloor \frac{k-s}{2} \rfloor$  let  $a_i$  be the number of walks of length  $3i + s$ , which attain the line  $L: y = 2x + s$  at  $(i, 2i + s)$  for the first time. Then the total number of walks from  $(0, 0)$  to  $(n - k, k)$  that attain  $L$  is

$$\sum_{i=0}^{\lfloor \frac{k-s}{2} \rfloor} a_i \binom{n - 3i - s}{k - 2i - s}. \tag{1}$$

To obtain the probability that a walk attains the line, we have to divide (1) by  $\binom{n}{k}$ .

Next consider a walk where each step is chosen independently and randomly with probability  $w$  for one step up and probability  $1 - w$  for one step right. Then the probability for this random walk to attain the line by  $n$  steps is

$$\sum_{i=0}^{\lfloor \frac{k-s}{2} \rfloor} a_i w^{2i+s} (1 - w)^i. \tag{2}$$

Recall that the above probability is less than  $\alpha^s$ , where  $\alpha = \frac{1}{2}(\sqrt{\frac{1+3w}{1-w}} - 1)$ .

Comparing (1) and (2), Theorem 6 (i) will be proved as soon as we establish the following inequality for all  $0 \leq i \leq \lfloor \frac{k-s}{2} \rfloor$ ,  $n > n_0(\varepsilon)$ :

$$\binom{n - 3i - s}{k - 2i - s} / \binom{n}{k} \leq (1 + \varepsilon) w^s \{w^2(1 - w)\}^i.$$

This is certainly true for  $i = 0$  (even if  $\varepsilon = 0$ ) because  $\binom{n-s}{k-s} / \binom{n}{k} \leq (k/n)^s \leq w^s$ . Note that  $\binom{n-3i-s}{k-2i-s} / \binom{n}{k} w^s$  is a decreasing function of  $s$ . So it suffices to prove the above inequality for  $s = 1$ , that is,

$$\frac{k}{n} \prod_{j=0}^{i-1} \frac{(k-2j-1)(k-2j-2)(n-k-j)}{(n-3j-1)(n-3j-2)(n-3j-3)} \leq (1+\varepsilon)w \{w^2(1-w)\}^i$$

for  $1 \leq i \leq \lfloor \frac{k-s}{2} \rfloor$ ,  $n > n_0(\varepsilon)$ . Since  $d \leq w$  and  $w^2(1-w)$  is an increasing function of  $w$  for  $0 \leq w \leq 2/3$ , we have  $d(d^2(1-d))^i \leq w(w^2(1-w))^i$ . Thus, it is sufficient to prove the case  $d = w$ , that is

$$\prod_{j=0}^{i-1} f(j) \leq (1+\varepsilon)\{d^2(1-d)\}^i, \tag{3}$$

where

$$f(j) = \frac{(dn-2j-1)(dn-2j-2)(n-dn-j)}{(n-3j-1)(n-3j-2)(n-3j-3)}.$$

Here let us check that  $f(j)$  is a decreasing function of  $j$  for  $0 \leq j \leq i-1 \leq \frac{k-1}{2} - 1 = \frac{dn-3}{2}$ . Set  $g(j) = f'(j)(n-3j-1)^2(n-3j-2)^2(n-3j-3)^2$ , and  $g'(j) = 2(n-3j-2)h(j)$ . Then  $h(j) = -36j^2 + O(j)$ ,  $h(0) = (2-3d)^2(1+3d)n^3 + O(n^2) > 0$  and  $h(dn/2) = (1/2)(2-3d)^3n^3 + O(n^2) > 0$ . Note that  $h(j)$  is a concave parabola as a function of  $j$ , and the both ends ( $j = 0, dn/2$ ) have positive value. This means  $h(j) > 0$  and  $g'(j) > 0$  for  $0 \leq j \leq dn/2$ . Then  $g(\frac{dn-3}{2}) = -\frac{3}{8}(2-3d)^4n^4 + O(n^3) < 0$  implies  $g(j) < 0$  and so  $f'(j) < 0$  for  $0 \leq j \leq \frac{dn-3}{2}$ .

Thus, we have  $\prod_{j=0}^{i-1} f(j) \leq f(0)^i$ . If  $d \leq 1/2$  then one can check  $f(0) < d^2(1-d)$  for  $n$  sufficiently large, and so  $\prod_{j=0}^{i-1} f(j) < (d^2(1-d))^i$  follows. This is stronger than (3). Now we may assume that  $d > 1/2$ .

If  $j \geq \sqrt{n}$  then for  $n > n_0$  we have

$$f(j) \leq d^2(1-d). \tag{4}$$

In fact, for  $j = \sqrt{n}$ , we have

$$d^2(1-d)D - N = d(2-3d)^2n^{5/2} + O(n^2) > 0,$$

where  $D$  and  $N$  stand for the denominator and the numerator of  $f(j)$ .

Since

$$\lim_{n \rightarrow \infty} \left( \frac{f(0)}{d^2(1-d)} \right)^{\sqrt{n}} = 1,$$

we have

$$\prod_{j=0}^{\sqrt{n}-1} f(j) \leq f(0)^{\sqrt{n}} < (1+\varepsilon)\{d^2(1-d)\}^{\sqrt{n}}. \tag{5}$$

If  $i > \sqrt{n}$  then by (4) and (5) we have

$$\prod_{j=0}^{i-1} f(j) = \left( \prod_{j=0}^{\sqrt{n}-1} f(j) \right) \left( \prod_{j=\sqrt{n}}^{i-1} f(j) \right) \leq (1 + \varepsilon) \{d^2(1 - d)\}^i.$$

So we may assume that  $i \leq \sqrt{n}$ . Since  $d > 1/2$  and  $n > n_0$ , we have  $f(0) > d^2(1 - d)$  and

$$\left( \frac{f(0)}{d^2(1 - d)} \right)^i \leq \left( \frac{f(0)}{d^2(1 - d)} \right)^{\sqrt{n}} < 1 + \varepsilon.$$

Therefore,  $\prod_{j=0}^{i-1} f(j) \leq f(0)^i < (1 + \varepsilon)(d^2(1 - d))^i$  follows. This completes the proof of (i).

Now we prove (ii). For  $d \leq 1/2$ , we have proved  $f(0) < d^2(1 - d)$  and this implies the desired inequality. So we assume  $d > 1/2$ . Then  $f(0) > d^2(1 - d)$ . However, for  $j \geq 1$  and  $d < 0.547$ , we still have  $f(j) \leq d^2(1 - d)$  because

$$d^2(1 - d) - f(1) = \{d(15d^2 - 21d + 7)n^2 + O(n)\} / \{n^3 + O(n^2)\}.$$

In the same way, one can prove  $f(0)f(1) \leq \{d^2(1 - d)\}^2$  for  $d < 0.529$  because

$$\{d^2(1 - d)\}^2 - f(0)f(1) = \frac{d^3(1 - d)(21d^2 - 30d + 10)n^5 + O(n^4)}{n^6 + O(n^5)}.$$

Therefore, we have

$$\prod_{j=0}^{i-1} f(j) \leq \{d^2(1 - d)\}^i \tag{6}$$

for  $i \geq 2$ . Our goal is to prove

$$\sum_{i=1}^{\lfloor \frac{k-1}{2} \rfloor} a_i \prod_{j=0}^{i-1} f(j) \leq \sum_{i=1}^{\lfloor \frac{k-1}{2} \rfloor} a_i \{d^2(1 - d)\}^i. \tag{7}$$

To deal with the case  $i = 1$ , we show the following for  $d < 0.515$ :

$$a_1 f(0) + a_2 f(0) f(1) \leq a_1 d^2(1 - d) + a_2 d^4(1 - d)^2. \tag{8}$$

Since  $a_1 = 1, a_2 = 3$ , the above inequality follows from the fact that RHS–LHS is

$$\{3d(1 - d)^2(1 - d + 9d^2 - 21d^3)n^5 + O(n^4)\} / \{n^6 + O(n^5)\}.$$

Finally (7) follows from (6) and (8). This completes the proof of (ii).

In principle, one can verify whether

$$\sum_{i=1}^p a_i \prod_{j=0}^{i-1} f(j) \leq \sum_{i=1}^p a_i \{d^2(1 - d)\}^i \tag{9}$$

is true or not for any concrete  $p$ , and (8) is the case  $p = 2$ . The larger  $p$  we take, the better bound for  $d$  we can get if (9) is true. For example, taking  $p = 42$  we can verify (9) (with the aid of computer) for  $d \leq 0.6$ , this shows that Conjecture 7 is true for  $d \leq 0.6$ .

#### 4. Proof of Theorem 2

Let us define the following.

$$\begin{aligned} *(i) &:= \{i, i + 1, i + 3, i + 4, i + 6, i + 7, \dots\} \cap [n] \\ &= [n] - (\{i - 1\} \cup \{i + 3j + 2 : 0 \leq j \leq \lfloor \frac{n - i - 2}{3} \rfloor\}), \\ P_i &:= \{1, 2\} \cup *(i + 4). \end{aligned}$$

Note that  $*(i) \cap *(i + 1) \cap *(i + 2) = \emptyset$ , and  $P_i \cap P_{i+1} \cap P_{i+2} = \{1, 2\}$ . In [7] the following is proved (see the first paragraph of the proof of Proposition 4 on p. 111 in [7]).

**Theorem 10.** *Let  $\mathcal{G} \subset \binom{[n]}{k}$  be a 3-wise non-trivial 2-intersecting shifted co-complex. If  $W_w(\mathcal{G}) \geq 0.999w^2$  and  $w \leq 0.5015$  then, for some  $i \geq 1$ ,  $\mathcal{G}$  contains  $P_0, P_1, \dots, P_i$  but does not contain  $P_{i+1}$ .*

Let  $\mathcal{F} \subset \binom{[n]}{k}$  be a 3-wise 2-intersecting family. If  $\mathcal{F}$  fixes a 2-element set, then  $|\mathcal{F}| \leq \binom{n-2}{k-2}$ . So we may assume that  $\mathcal{F}$  is non-trivial. We shall prove that  $|\mathcal{F}| < \binom{n-2}{k-2}$ . Suppose that  $|\mathcal{F}| \geq 0.999 \binom{n-2}{k-2}$ , and set  $w := 0.5015$ . Define  $\mathcal{F}^c := \{[n] - F : F \in \mathcal{F}\}$  and

$$\mathcal{G} := \bigcup_{\ell=0}^{n-k} (\Delta_\ell(\mathcal{F}^c))^c \quad (\subset \bigcup_{i=k}^n \binom{[n]}{i}).$$

Clearly  $\mathcal{G}$  is a non-trivial 3-wise 2-intersecting family. Let us show that  $W_w(\mathcal{G}) > 0.999w^2$  if  $n$  is sufficient large.

Choose  $\varepsilon > 0$  sufficiently small so that

$$0.9998(1 - \varepsilon)^4 > 0.999, \tag{10}$$

$$0.501 < (1 - \varepsilon)w. \tag{11}$$

Define an open interval  $I := ((1 - \varepsilon)wn, (1 + \varepsilon)wn)$ . Set  $v = 1 - w$  and choose  $n_0 = n_0(\varepsilon)$  sufficiently large so that

$$\sum_{i \in I} \binom{n}{i} w^i v^{n-i} > 1 - \varepsilon \quad \text{for all } n > n_0, \tag{12}$$

$$(((1 - \varepsilon)wn - 1)/n)^2 > (1 - \varepsilon)^3 w^2 \quad \text{for all } n > n_0. \tag{13}$$

By our assumption on  $k/n$  and (11), we have  $k \leq 0.501n < (1 - \varepsilon)wn$ , and

$$W_w(\mathcal{G}) = \sum_{i=k}^n |\Delta_{n-i}(\mathcal{F}^c)| w^i v^{n-i} \geq \sum_{i \in I} |\Delta_{n-i}(\mathcal{F}^c)| w^i v^{n-i}.$$

It follows from the Kruskal–Katona theorem that  $|\Delta_{n-i}(\mathcal{F}^c)| \geq 0.9998 \binom{n-2}{n-i}$  for  $i \in I$ . (This is Lemma 7 on p. 112 in [7].) Therefore,

$$\begin{aligned} W_w(\mathcal{G}) &\geq 0.9998 \sum_{i \in I} \binom{n-2}{n-i} w^i v^{n-i} \\ &= 0.9998 \sum_{i \in I} \frac{i}{n} \cdot \frac{i-1}{n-1} \binom{n}{i} w^i v^{n-i} \\ &> 0.9998(1-\varepsilon)^3 w^2 \sum_{i \in I} \binom{n}{i} w^i v^{n-i} \quad (\text{by (13)}) \\ &> 0.9998(1-\varepsilon)^4 w^2 \quad (\text{by (12)}) \\ &> 0.999w^2 \quad (\text{by (10)}). \end{aligned}$$

This completes the proof of  $W_w(\mathcal{G}) > 0.999w^2$ .

So by Theorem 10 we may assume that  $P_i \in \mathcal{G}$ ,  $P_{i+1} \notin \mathcal{G}$ , for some  $i \geq 1$ . Let us define the following.

$$\begin{aligned} Q_i &:= \{1, 2, i+4\} \cup *(i+6), \\ \mathcal{F}_{12} &:= \{F \in \mathcal{F} : \{1, 2\} \subset F\}, \\ \mathcal{F}_{\bar{1}2} &:= \{F \in \mathcal{F} : 1 \in F, 2 \notin F\}, \\ \mathcal{F}_{1\bar{2}} &:= \{F \in \mathcal{F} : 1 \notin F, 2 \in F\}, \\ \mathcal{F}_{\bar{1}\bar{2}} &:= \{F \in \mathcal{F} : 1 \notin F, 2 \notin F\}. \end{aligned}$$

By definition, it follows that  $P_{i+1} \succ Q_i \succ P_i$ ,  $|\mathcal{F}| = |\mathcal{F}_{12}| + |\mathcal{F}_{\bar{1}2}| + |\mathcal{F}_{1\bar{2}}| + |\mathcal{F}_{\bar{1}\bar{2}}|$ . Set  $d = k/n$  ( $d \leq 0.501$ ), and  $\alpha = \frac{1}{2}(\sqrt{\frac{1+3d}{1-d}} - 1)$ . (Redefine  $w := d$ .)

*Case 1:  $Q_i \notin \mathcal{G}$ .*

If  $4i+4 \geq n$  then we have  $R = [i+2] \cup \{i+3, i+6, i+9, \dots\} \in \mathcal{G}$  because  $\mathcal{G} \ni P_i \succ R$ . But this is impossible because  $P_i \cap R = \{1, 2\}$  implies  $\mathcal{G}$  is trivial. So we may assume that  $n \geq 4i+5$ .

Observe that  $\text{walk}(Q_i)$  starts with “up, up,” and  $i+1$  “right,” then from  $(i+1, 2)$  this walk is the maximal walk which does not touch the line  $L: y = 2(x - (i+1)) + 4$ .

Let  $F \in \mathcal{F}_{12}$ , then  $\text{walk}(F)$  starts with “up, up.” If  $\text{walk}(F)$  goes through the point  $(i+1, 2)$ , then this walk must meet the line  $L$  after passing  $(i+1, 2)$ . To apply Theorem 6, it is convenient to neglect the first  $i+3$  moves (up, up, and then  $i+1$  times right) from  $\text{walk}(F)$ , in other words, we shift the origin to  $(i+1, 2)$ . Then the modified walk corresponding to  $F - \{1, 2\} \subset \binom{[3, n]}{k-2}$ , starting from the new origin, must touch the line  $y = 2x + 2$ . Therefore, by Theorem 6 (ii), the number of walks of this type is at most  $\alpha^2 \binom{n-i-3}{k-2}$ . Otherwise  $\text{walk}(F)$  must go through one of  $(0, i+3), (1, i+2), \dots, (i, 3)$ , and the number of corresponding walks is  $\binom{n-2}{k-2} - \binom{n-i-3}{k-2}$ . Thus, we have

$$\begin{aligned} |\mathcal{F}_{12}| &\leq \binom{n-2}{k-2} - \binom{n-i-3}{k-2} + \alpha^2 \binom{n-i-3}{k-2} \\ &= \binom{n-2}{k-2} \left\{ 1 - \frac{\binom{n-i-3}{k-2}}{\binom{n-2}{k-2}} (1 - \alpha^2) \right\}. \end{aligned}$$



To obtain an upper bound for  $|\mathcal{F}_{1\bar{2}}|$ , let us set

$$F_0 := [1, i + 3] \cup \{i + 6, i + 9, i + 12, \dots, 4i, 4i + 3\} \cup *(4i + 5),$$

$$G := \{1\} \cup [3, 4i + 4] \cup *(4i + 6).$$

Since  $P_i \in \mathcal{G}$  and  $P_i = \{1, 2\} \cup *(i + 4) = \{1, 2\} \cup \{i + 4, i + 5, i + 7, i + 8, \dots, 4i + 1, 4i + 2\} \cup *(4i + 4) \succ F_0$ , we have  $F_0 \in \mathcal{G}$ . Note that  $P_i \cap F_0 \cap G = \{1\}$ . Thus  $G \notin \mathcal{G}$  follows from the assumption that  $\mathcal{G}$  is 3-wise 2-intersecting. Now let us look at  $\text{walk}(G)$ . This walk starts with “up, right,” then from  $(1, 1)$  this is the maximal walk which does not touch the line  $L: y = 2(x - 1) + (4i + 4)$ . Since  $G \notin \mathcal{G}$ , for every  $F \in \mathcal{F}_{1\bar{2}}$ ,  $\text{walk}(F)$  must touch the line  $L$ . To apply Theorem 6, we neglect the first two moves (up, right) from  $\text{walk}(F)$ , or equivalently, we shift the origin to  $(1, 1)$ . Then the modified walk corresponding to  $F - \{1\} \subset \binom{[3, n]}{k-1}$ , starting from the new origin, must touch the line  $y = 2x + (4i + 3)$ . Then due to Theorem 6 (ii), we have

$$|\mathcal{F}_{1\bar{2}}| \leq \binom{n-2}{k-1} \alpha^{4i+3} = \binom{n-2}{k-2} \frac{n-k}{k-1} \alpha^{4i+3}.$$

The same estimation is valid for  $|\mathcal{F}_{\bar{1}2}|$ . From now on, we will use the above trick (shifting the origin) without mentioning when we apply Theorem 6.

Next, set  $H := [3, 4i + 7] \cup *(4i + 9)$ . Since  $P_i \cap F_0 \cap H = \{4i + 5\}$ , we have  $H \notin \mathcal{G}$ , which implies

$$|\mathcal{F}_{\bar{1}2}| \leq \binom{n-2}{k} \alpha^{4i+6} = \binom{n-2}{k-2} \frac{(n-k)(n-k-1)}{k(k-1)} \alpha^{4i+6}.$$

Therefore,  $|\mathcal{F}| \leq c \binom{n-2}{k-2}$  where

$$c = 1 - \frac{\binom{n-i-3}{k-2}}{\binom{n-2}{k-2}} (1 - \alpha^2) + \frac{2(n-k)}{k-1} \alpha^{4i+3} \frac{(n-k)(n-k-1)}{k(k-1)} \alpha^{4i+6}.$$

Let us check  $c < 1$  for  $n > n_0$ . The target inequality can be rewritten as

$$2\alpha^3 + \frac{(1-d)n-1}{dn} \alpha^6 < (1-\alpha^2) \frac{dn-1}{n-2} \prod_{j=1}^i \frac{(1-d)n-j}{(n-j-2)\alpha^4}. \tag{14}$$

Since  $d \leq 0.501$  and  $j \leq i \leq \frac{n-5}{4}$ , we have  $\frac{(1-d)n-j}{(n-j-2)\alpha^4} > 1$ . So the RHS of (14) is minimal when  $i = 1$ , and to prove the inequality for  $n > n_0$  it suffices to show

$$2\alpha^3 + \frac{1-d}{d} \alpha^6 < \frac{(1-\alpha^2)d(1-d)}{\alpha^4}$$

and this is true for  $d \leq 0.528$ . (To verify this, reduce  $f(d) := d\alpha^4(\text{RHS}-\text{LHS})$  by using  $(1-d)\alpha^3 - \alpha + d = 0$ . Then one can check that  $g(d) := f(d)(1-d)^3$  has two real zeros, i.e.,  $d = 0$  and  $d = 0.528\dots$ , and moreover  $g(d) > 0$  inside this interval.)

*Case 2:  $Q_i \in \mathcal{G}$ .*

If  $4i + 6 \geq n$  then we have  $R = [i + 3] \cup \{i + 5, i + 8, i + 11, \dots\} \in \mathcal{G}$  because  $\mathcal{G} \ni Q_i \succ R$ . But this is impossible because  $Q_i \cap R = \{1, 2\}$  implies  $\mathcal{G}$  is trivial. So we may assume that  $n \geq 4i + 7$ .

Since  $P_{i+1} \notin \mathcal{G}$ , we have

$$\begin{aligned} |\mathcal{F}_{12}| &\leq \binom{n-2}{k-2} - \binom{n-i-3}{k-2} + \alpha \binom{n-i-3}{k-2} \\ &= \binom{n-2}{k-2} \left\{ 1 - \frac{\binom{n-i-3}{k-2}}{\binom{n-2}{k-2}} (1-\alpha) \right\}. \end{aligned}$$

Set

$$\begin{aligned} F &:= [1, i+3] \cup \{i+5, i+8, i+11, \dots, 4i+5\} \cup *(4i+7), \\ G &:= \{1\} \cup [3, 4i+6] \cup *(4i+8). \end{aligned}$$

Since  $Q_i \in \mathcal{G}$  and  $Q_i = \{1, 2\} \cup \{i+4, i+6, i+7, \dots, 4i+3, 4i+4\} \cup *(4i+6) \succ F$ , we have  $F \in \mathcal{G}$ . Note that  $Q_i \cap F \cap G = \{1\}$ . Thus  $G \notin \mathcal{G}$  follows from the assumption that  $\mathcal{G}$  is 3-wise 2-intersecting. Therefore,

$$|\mathcal{F}_{12}| \leq \binom{n-2}{k-1} \alpha^{4i+5}.$$

The same estimation is valid for  $|\mathcal{F}_{\bar{1}\bar{2}}|$ . Set  $H := [3, 4i+9] \cup *(4i+11)$ . Since  $Q_i \cap F \cap H = \{4i+7\}$ , we have  $H \notin \mathcal{G}$ , which implies

$$|\mathcal{F}_{\bar{1}\bar{2}}| \leq \binom{n-2}{k} \alpha^{4i+8}.$$

Therefore,  $|\mathcal{F}| \leq c \binom{n-2}{k-2}$  where

$$c = 1 - \frac{\binom{n-i-3}{k-2}}{\binom{n-2}{k-2}} (1-\alpha) + \frac{2(n-k)}{k-1} \alpha^{4i+5} \frac{(n-k)(n-k-1)}{k(k-1)} \alpha^{4i+8}.$$

One can check that  $c < 1$  for  $n > n_0$ . Indeed, this time it suffices to show

$$2\alpha^5 + \frac{1-d}{d} \alpha^8 < \frac{(1-\alpha)d(1-d)}{\alpha^4},$$

and this is true for  $d \leq 0.536$ . This completes the proof of Theorem 2.

In Cases 1 and 2, we proved  $c = |\mathcal{F}| / \binom{n-2}{k-2} < 1$ . On the other hand, we can construct a series of non-trivial 3-wise 2-intersecting  $k$ -uniform families  $\mathcal{F}^{(n)}$  on  $n$  vertices with  $k = (\frac{1}{2} + \varepsilon)n$  which satisfies  $\lim_{n \rightarrow \infty} \mathcal{F}^{(n)} / \binom{n-2}{k-2} = 1$  as follows:

$$\mathcal{F}_{12}^{(n)} = \left\{ \{1, 2\} \cup G : |G \cap [3, k+2]| \geq \frac{k+2}{2} \right\},$$

$$\mathcal{F}_{\bar{1}\bar{2}}^{(n)} = \mathcal{F}_{\bar{1}2}^{(n)} = \emptyset, \quad \mathcal{F}_{\bar{1}\bar{2}}^{(n)} = \{[3, k+2]\}.$$

The maximal  $i$  such that  $P_i \in \mathcal{F}^{(n)}$  is given by  $i = \lfloor \frac{k}{4} \rfloor - 2$  for  $k$  odd, and  $i = \lceil \frac{k}{4} \rceil - 2$  for  $k$  even.

5. Proof of Theorem 3

For a family  $\mathcal{F} \subset 2^{[n]}$ , set  $\mathcal{F}_i := \mathcal{F} \cap \binom{[n]}{i}$ . First we prove the following inequality.

**Proposition 11.** *Let  $\mathcal{F} \subset 2^{[n]}$  be a 3-wise 2-intersecting Sperner family with  $k/n \leq 0.501$ ,  $n > n_0$ . Then  $\sum_{i=1}^k |\mathcal{F}_i| / \binom{n-2}{i-2} \leq 1$ .*

**Proof.** We prove  $\sum_{i=1}^k |\mathcal{F}_i| / \binom{n-2}{i-2} \leq 1$  for  $n > n_0$  by induction on the number of nonzero  $|\mathcal{F}_i|$ 's.

If this number is one then the inequality follows from Theorem 2. If it is not the case then let  $p$  be the smallest and  $r$  the second-smallest index for which  $|\mathcal{F}_i| \neq 0$ . Set  $\mathcal{F}_p^c := \{[n] - F : F \in \mathcal{F}_p\} \subset \binom{[n]}{n-p}$ . Since  $\mathcal{F}_p$  is 3-wise 2-intersecting, it follows from Theorem 2 that  $|\mathcal{F}_p| = |\mathcal{F}_p^c| \leq \binom{n-2}{p-2} = \binom{n-2}{n-p}$ . Then by Proposition 8, we have

$$\frac{|\Delta_{n-r}(\mathcal{F}_p^c)|}{|\mathcal{F}_p^c|} \geq \frac{\binom{n-2}{n-r}}{\binom{n-2}{n-p}} = \frac{\binom{n-2}{r-2}}{\binom{n-2}{p-2}}. \tag{15}$$

Set  $\mathcal{G}_r := \{G \in \binom{[n]}{r} : G \supset \exists F \in \mathcal{F}_p\}$ . Due to (15) and the fact  $\mathcal{G}_r = (\Delta_{n-r}(\mathcal{F}_p^c))^c$ , we have  $|\mathcal{G}_r| / \binom{n-2}{r-2} \geq |\mathcal{F}_p| / \binom{n-2}{p-2}$ . Since  $\mathcal{F}$  is Sperner,  $\mathcal{F}_r \cap \mathcal{G}_r = \emptyset$  and  $\mathcal{H} := (\mathcal{F} - \mathcal{F}_p) \cup \mathcal{G}_r$  is a 3-wise 2-intersecting Sperner family. Moreover, the number of nonzero  $|\mathcal{H}_i|$ 's is one less than that of  $|\mathcal{F}_i|$ 's. Therefore, by the induction hypothesis and the fact that  $\mathcal{F} \Delta \mathcal{H} = \mathcal{F}_p \cup \mathcal{G}_r$ , we have

$$\sum_{i=1}^k \frac{|\mathcal{F}_i|}{\binom{n-2}{i-2}} \leq \sum_{i=1}^k \frac{|\mathcal{H}_i|}{\binom{n-2}{i-2}} \leq 1,$$

which completes the proof of the proposition.  $\square$

By (15), we have  $|\Delta_{n-r}(\mathcal{F}_p^c)| \geq |\mathcal{F}_p^c|$  (and so  $|\mathcal{F}| \leq |\mathcal{H}|$ ) if  $n \geq p + r - 2$ . Replace  $\mathcal{F}$  by  $\mathcal{H}$  (and find new  $p$  and  $r$ ) and continue the same procedure as long as  $n \geq p + r - 2$ . In the end, we have at most one index  $p < \lceil \frac{n+2}{2} \rceil$  such that  $\mathcal{F}_p \neq \emptyset$ . If we have such  $p$ , then set  $r := \lceil \frac{n+2}{2} \rceil$  even though  $\mathcal{F}_r = \emptyset$  may happen only in this last step, and replace  $\mathcal{F}_p$  by  $\mathcal{G}_r$  and obtain  $\mathcal{H}$  from  $\mathcal{F}$ . In this way, we can construct a 3-wise 2-intersecting Sperner family  $\mathcal{H}$  with  $|\mathcal{H}| \geq |\mathcal{F}|$  and  $\mathcal{H}_i = \emptyset$  for all  $i < \lceil \frac{n+2}{2} \rceil$ . In this process,  $|\mathcal{H}| = |\mathcal{F}|$  happens only if  $n = p + r - 2$  and  $\mathcal{F}_p^c = \binom{Y}{n-p}$ ,  $|Y| = n - 2$  (cf. Proposition 8), that is,

$$\mathcal{F}_p \cong \left\{ \{a, b\} \cup G : G \in \binom{Y}{p-2} \right\}.$$

But then we can find  $A, B \in \mathcal{F}_p$  with  $A \cap B = \{a, b\}$  because  $|Y| = n - 2 = (p - 2) + (r - 2) \geq 2(p - 2)$ . In this case, all members in  $\mathcal{F}$  must contain  $\{a, b\}$  and we can easily verify Theorem 3. Therefore, for the proof of Theorem 3, we may assume that  $\mathcal{F}_i = \emptyset$  for  $i < \lceil \frac{n+2}{2} \rceil$  from the beginning (otherwise replace  $\mathcal{F}$  by  $\mathcal{H}$ ). This remark is needed because we claim the uniqueness of the extremal configuration.

Let us now prove Theorem 3. Suppose that  $\mathcal{F} \subset 2^{[n]}$  is a 3-wise 2-intersecting Sperner family of maximal size. We may assume that  $\mathcal{F}_i = \emptyset$  for all  $i < \lceil \frac{n+2}{2} \rceil =: m$ . Set  $k = \lfloor 0.501n \rfloor$  and  $r_i = |\mathcal{F}_i| / \binom{n-2}{i-2}$  ( $r_1 = \dots = r_{m-1} = 0$ ).

Case 1:  $n = 2m - 2$ .

By Proposition 11, we have  $\sum_{1 \leq i \leq k} r_i = \sum_{m \leq i \leq k} r_i \leq 1$ . Thus,

$$\begin{aligned} \sum_{m \leq i \leq k} |\mathcal{F}_i| &= \sum_{m \leq i \leq k} r_i \binom{n-2}{i-2} \leq r_m \binom{n-2}{m-2} + (1 - r_m) \binom{n-2}{m-1} \\ &= \binom{n-2}{m-2} \left( 1 - \frac{1 - r_m}{m-1} \right). \end{aligned}$$

On the other hand, by the LYM inequality, we have

$$1 \geq \sum_{i=k+1}^n \frac{|\mathcal{F}_i|}{\binom{n}{i}} \geq \sum_{i=k+1}^n \frac{|\mathcal{F}_i|}{\binom{n}{k+1}}.$$

Therefore, we have

$$|\mathcal{F}| \leq \binom{n-2}{m-2} - \frac{1 - r_m}{m-1} \binom{n-2}{m-2} + \binom{n}{\lfloor 0.501n \rfloor + 1}. \tag{16}$$

If  $\mathcal{F}_m$  is 2-wise 3-intersecting, then  $\mathcal{F}_m^c \subset \binom{[2m-2]}{m-2}$  is 2-wise 1-intersecting. By Proposition 9, we have  $|\Delta_{m-3}(\mathcal{F}_m^c)| \geq |\mathcal{F}_m^c| = |\mathcal{F}_m|$ . So we replace  $\mathcal{F}$  by  $(\mathcal{F} - \mathcal{F}_m) \cup (\Delta_{m-3}(\mathcal{F}_m^c))^c$ , and we may assume that  $\mathcal{F}_m = \emptyset$ , i.e.,  $r_m = 0$ . Then it follows  $|\mathcal{F}| < \binom{n-2}{m-2}$  from (16) for  $n > n_0$ .

If  $\mathcal{F}_m$  is not 2-wise 3-intersecting, then there exist  $F, F'$  with  $|F \cap F'| = 2$ . Then all members in  $\mathcal{F}$  contain  $F \cap F'$  and we are done.

Case 2:  $n = 2m - 3$ .

By Proposition 11, we have  $\sum_{m \leq i \leq k} r_i \leq 1$ . Thus,

$$\begin{aligned} \sum_{m \leq i \leq k} |\mathcal{F}_i| &= \sum_{m \leq i \leq k} r_i \binom{n-2}{i-2} \leq r_m \binom{n-2}{m-2} + (1 - r_m) \binom{n-2}{m-1} \\ &= \binom{n-2}{m-2} \left( 1 - \frac{2(1 - r_m)}{m-1} \right). \end{aligned}$$

For  $\mathcal{F}_i, i > k$ , we use the LYM inequality. Then we have

$$|\mathcal{F}| \leq \binom{n-2}{m-2} - \frac{2(1 - r_m)}{m-1} \binom{n-2}{m-2} + \binom{n}{\lfloor 0.501n \rfloor + 1}. \tag{17}$$

Now we look at  $\mathcal{F}_m$  in detail.

**Lemma 12.** *If  $\mathcal{F}_m$  is non-trivial, then  $|\mathcal{F}_m| < 0.999 \binom{n-2}{m-2}$  holds for  $n > n_0$ .*

**Proof.** Here we only assume that  $\mathcal{F}_m \subset \binom{[2m-3]}{m}$  is shifted, non-trivial 3-wise 2-intersecting and we do not use the other  $\mathcal{F}_i, i \neq m$ . We follow the proof of Theorem 2. Suppose that  $|\mathcal{F}_m| \geq 0.999 \binom{n-2}{m-2}$  and define  $\mathcal{G}$  as in the proof of Theorem 2. Then, using Theorem 10,

we can conclude that  $P_i \in \mathcal{G}$  and  $P_{i+1} \notin \mathcal{G}$  for some  $i \geq 1$ . First we deal with the case  $Q_i \notin \mathcal{G}$ . We use the same estimation for the sizes of  $\mathcal{F}_{1\bar{2}}, \mathcal{F}_{\bar{1}2}, \mathcal{F}_{\bar{1}\bar{2}}$  as in Case 1 of the proof of Theorem 2. Noting that  $n = 2m - 3$  and  $k = m$ , we have

$$|\mathcal{F}_{1\bar{2}}|, |\mathcal{F}_{\bar{1}2}| \leq \binom{n-2}{k-2} \frac{n-k}{k-1} \alpha^{4i+3} = \binom{n-2}{m-2} \frac{m-3}{m-1} \alpha^{4i+3}, \tag{18}$$

$$|\mathcal{F}_{\bar{1}\bar{2}}| \leq \binom{n-2}{k-2} \frac{(n-k)(n-k-1)}{k(k-1)} \alpha^{4i+6} = \binom{n-2}{m-2} \frac{(m-3)(m-4)}{m(m-1)} \alpha^{4i+6}. \tag{19}$$

Let  $\mathcal{A} = \{F \cap [3, m+1] : F \in \mathcal{F}_{12}\}$ . Since  $\mathcal{F}_m$  is shifted and non-trivial we may assume that  $\{1\} \cup [3, m+1] \in \mathcal{F}$ . So  $\mathcal{A}$  is 2-wise 1-intersecting. Let  $\mathcal{A}_i$  be the  $i$ -uniform subfamily of  $\mathcal{A}$ . Clearly  $|\mathcal{A}_i| \leq \binom{m-1}{i}$  and if  $2i \leq m-1$  then  $|\mathcal{A}_i| \leq \binom{m-2}{i-1}$  follows from the Erdős–Ko–Rado theorem [2]. Thus we have

$$\begin{aligned} |\mathcal{F}_{12}| &\leq \sum_{i=1}^{m-2} |\mathcal{A}_i| \binom{n-(m+1)}{m-i-2} \\ &\leq \sum_{i \leq \lfloor \frac{m-1}{2} \rfloor} \binom{m-2}{i-1} \binom{m-4}{m-i-2} + \sum_{i > \lfloor \frac{m-1}{2} \rfloor} \binom{m-1}{i} \binom{m-4}{m-i-2}. \end{aligned}$$

Set  $f(i) = \binom{m-1}{i} \binom{m-4}{m-i-2}$  and  $h = \lfloor \frac{m-1}{2} \rfloor$ . Then, using  $\binom{m-2}{i-1} = \frac{i}{m-1} \binom{m-1}{i} \leq \frac{1}{2} \binom{m-1}{i}$  for  $i \leq h$ , we have  $|\mathcal{F}_{12}| \leq \frac{1}{2} \sum_{i \leq h} f(i) + \sum_{i > h} f(i)$ . Note also that  $\binom{n-2}{m-2} = \sum_{i=0}^{m-2} f(i) = \sum_{i \leq h} f(i) + \sum_{h > i} f(i)$ , and  $\lim_{m \rightarrow \infty} (\sum_{i \leq h} f(i)) / (\sum_{h > i} f(i)) = 1$ . Therefore, we have

$$|\mathcal{F}_{12}| \leq \left(\frac{3}{4} + \varepsilon\right) \binom{n-2}{m-2} \tag{20}$$

for any  $\varepsilon > 0$  if  $n > n_0(\varepsilon)$ . By (18)–(20) we have  $|\mathcal{F}| \leq 0.76 \binom{n-2}{m-2}$  for  $n$  sufficiently large. This contradicts our assumption  $|\mathcal{F}_m| \geq 0.999 \binom{n-2}{m-2}$ .

We have one more case, that is, the case  $Q_i \in \mathcal{G}$ . But in this case, compared to the previous case, we can put better bounds for  $\mathcal{F}_{1\bar{2}}, \mathcal{F}_{\bar{1}2}, \mathcal{F}_{\bar{1}\bar{2}}$ , and the same bound for  $\mathcal{F}_{12}$ . This completes the proof of Lemma 12.  $\square$

If  $r_m < 0.999$  then  $|\mathcal{F}| < \binom{n-2}{m-2}$  follows from (17). So we may assume that  $|\mathcal{F}_m| \geq 0.999 \binom{n-2}{m-2}$ . Then Lemma 12 implies that  $\mathcal{F}_m$  is trivial, i.e., all members of  $\mathcal{F}_m$  contain  $\{1, 2\}$ .

**Lemma 13.** For every  $j$  ( $3 \leq j \leq n$ ) we can find  $F, F' \in \mathcal{F}_m$  such that  $F \cap F' = \{1, 2, j\}$ .

**Proof.** It suffices to prove the result for  $j = n$ . Suppose, on the contrary, that  $\mathcal{C} := \{F - \{1, 2, n\} : \{1, 2, n\} \subset F \in \mathcal{F}_m\}$  is 2-wise 1-intersecting. There are  $\binom{2m-6}{m-3}$  sets in  $\binom{[3, n-1]}{m-3}$  and at most half of them can be in  $\mathcal{C}$ . This implies  $|\mathcal{F}_m| \leq \binom{n-2}{m-2} - \frac{1}{2} \binom{2m-6}{m-3} = (1 - \frac{m-2}{2(2m-5)}) \binom{n-2}{m-2}$ . But this is impossible because  $|\mathcal{F}_m| \geq 0.999 \binom{n-2}{m-2}$ .  $\square$

Let  $i > m$  and suppose  $C \in \mathcal{F}_i$ . If  $C \not\supset \{1, 2\}$  then, by Lemma 13, we have only two choices of  $C$ , that is,  $C_1 = [n] - \{1\}$  or  $C_2 = [n] - \{2\}$ . Except  $C_1$  and  $C_2$ , all the

other edges in  $\mathcal{F}$  contain  $\{1, 2\}$ . Let  $\mathcal{D} := \{D - \{1, 2\} : \{1, 2\} \subset D \in \mathcal{F}\} \subset \bigcup_{j=m}^n \binom{[3,n]}{j-2}$ . Clearly,  $\mathcal{D}$  is a Sperner family. By the Sperner theorem [17] we have  $|\mathcal{D}| \leq |\binom{[3,n]}{m-2}|$ . Equality holds only if  $\mathcal{D} = \binom{[3,n]}{m-2}$  or  $\mathcal{D} = \binom{[3,n]}{m-3}$ , but the latter case is impossible because we have assumed  $\mathcal{F}_j = \emptyset$  for  $j < m$ . This proves that the unique maximal configuration in Case 2 is  $\mathcal{F} = \mathcal{F}_m \cup \{C_1, C_2\}$  where  $\mathcal{F}_m = \{\{1, 2\} \cup D : D \in \binom{[3,n]}{m-2}\}$ . This completes the proof of Case 2 and so the proof of Theorem 3.

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