Forbidding Just One Intersection

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Following a conjecture of P. Erdös, we show that if \mathscr{F} is a family of k-subsets of an *n*-set no two of which intersect in exactly *l* elements then for $k \ge 2l + 2$ and *n* sufficiently large $|\mathscr{F}| \le {n-l-1 \choose k-l-1}$ with equality holding if and only if \mathscr{F} consists of all the k-sets containing a fixed (l+1)-set. In general we show $|\mathscr{F}| \le d_k n^{\max\{l,k-l-1\}}$, where d_k is a constant depending only on k. These results are special cases of more general theorems (Theorem 2.1-2.3). © 1985 Academic Press, Inc.

1. INTRODUCTION

Let X be a finite set, |X| = n. By a family of subsets \mathscr{F} we just mean $\mathscr{F} \subset 2^X$. We call \mathscr{F} a multi-family if it may have repeated members. $\binom{X}{k}$ denotes the family of all k-subsets of X. Let a(n), b(n) be two positive real functions over the positive integers. If there are positive reals c and c' such that $ca(n) \ge b(n) \ge c'a(n)$ hold for $n > n_0$ then we shall write $a(n) \approx b(n)$. One of the most important intersection theorems concerning finite sets is

THEOREM 1.1 (Erdös, Ko, and Rado [4]). Suppose k, t are integers, $k \ge t \ge 1$, and \mathscr{F} is a family of k-subsets of X, i.e., $\mathscr{F} \subset \binom{X}{k}$. Suppose further that for all F, $F' \in \mathscr{F}$ we have

$$|F \cap F'| \ge t. \tag{1}$$

Then for $n > n_0(k, t)$

$$|\mathscr{F}| \leq \binom{n-t}{k-t} \tag{2}$$

holds, moreover equality holds in (2) if and only if for some $T \subset X$, |T| = t we have $\mathscr{F} = \{F \in \binom{X}{k}: T \subset F\}$.

In 1975 Erdös [2] raised the problem of what happens if we weaken the condition (1) to

$$|F \cap F'| \neq t - 1. \tag{3}$$

In this case one can easily construct a family of k-subsets \mathscr{F} , $|\mathscr{F}| = ((1+o(1))(n/k)^{t-1} \approx n^{t-1}$ such that for all $F, F' \in \mathscr{F}$, $|F \cap F'| < t-1$, in particular, (3) holds. Therefore if k < 2t-1 (and in the case k = 2t-1, see later), one cannot hope to have a bound like (2). Erdös [2] conjectured that for $k \ge 2t$ the condition (3) implies (2) if $n > n_0(k)$. Here we prove this conjecture.

2. RESULTS

For a subset $L = \{l_1, ..., l_s\}$ of the integers satisfying $0 \le l_1 < \cdots < l_s < k$, we call a family $\mathscr{F} \subset \binom{x}{k}$ an (n, k, L)-system if $|F \cap F'| \in L$ holds for all distinct $F, F' \in \mathscr{F}$. The maximum cardinality of an (n, k, L)-system is denoted by m(n, k, L). Suppose l, l' are nonnegative integers satisfying l + l' < k. Let us define $L(l, l') = \{0, 1, ..., l-1, k-l', k-l'+1, ..., k-1\}$. Abusing of notation we shall call an (n, k, L(l, l'))-system an (l, l')-system, i.e., either $|F \cap F'| < l$ or $|F' \cap F| \ge k - l'$ hold for all distinct members F, F' of an (l, l')-system. Our main results are

THEOREM 2.1. There exists a positive constant d_k such that $m(n, k, L(l, l')) < d_k n^{\max\{l,l'\}}$ holds. Consequently, $m(n, k, L(l, l')) \approx n^{\max\{l,l'\}}$.

THEOREM 2.2. If $n > n_0(k)$ and l' > l then

$$m(n, k, L(l, l')) = \binom{n-k+l'}{l'}$$
(4)

holds. Moreover the (l, l')-system \mathcal{F} attains equality in (4) if and only if for some (k - l')-element subset T we have $\mathcal{F} = \{F \in \binom{X}{k}: T \subset F\}$.

Note that the problem of Erdös is the special case k = l + l' + 1, l = t - 1. Also, for these values, Theorem 2.2 is the up-to-date strongest version of the Erdös-Ko-Rado theorem. The Case $l \ge l'$.

THEOREM 2.3. Suppose $l \ge l'$, moreover k - l has a primepower divisor q satisfying q > l'. Then

$$m(n,k,L(l,l')) = (1+o(1))\binom{n}{l}\binom{k+l'}{l'}/\binom{k+l'}{l}.$$
(5)

In the case of $l \ge l'$ the right-hand side of (5) is always a lower bound for m(n, k, L(l, l')). (See examples in Chap. 7)

Conjecture 2.4. In (5) equality holds for all $l \ge l'$.

Note that our conjecture holds by Theorem 2.3 whenever l' = 1, 2 or $k > l + 3^{l'}$.

3. REMARKS

Concerning Theorems 2.1 and 2.2 the best results were due to the first author. In [5] he solved the case l=1, and in [6] he proved that $m(n, k, L(l, l')) \leq c(k) \cdot n^{\max\{l', l+\lfloor l'/(k-l-l')\rfloor\}}$. In the nonuniform case the following holds.

THEOREM 3.1 (Katona [13]). Suppose $t \ge 1$ and for all $F, F' \in \mathcal{F} \subset 2^{X}$ (1) holds (i.e., $|F \cap F'| \ge t$). Then one of the following 2 cases occurs

(a) n+t is even,

$$|\mathscr{F}| \leq \sum_{i \geq (n+i)/2} {n \choose i},$$

and for $t \ge 2$ equality holds if and only if $\mathscr{F} = \{F \subset X : |F| \ge (n+t)/2\}$.

(b) n+t-1 is even,

$$|\mathscr{F}| \leq \sum_{i \geq (n+t+1)/2} \binom{n}{i} + \binom{n-1}{(n+t-1)/2},$$

and equality holds for $t \ge 2$ if and only if for some $x \in X$ we have $\mathscr{F} = \{F \subset X: |F \cap (X - \{x\})| \ge (n + t - 1)/2\}.$

In the nonuniform case to any family satisfying (1), one can add $\binom{x}{0} \cup \binom{x}{1} \cup \cdots \cup \binom{x}{t-2}$ without contradicting (3). In [8] we have shown that for $n > n_0(t)$ one cannot do better, $(n_0(t) < 3^t)$.

Conjecture 3.2¹ (Erdös [3]). Suppose that $\mathscr{F} \subset 2^{\chi}$, $|F \cap F'| \neq t$ for $F, F' \in \mathscr{F}$, and $\varepsilon_n < t < (\frac{1}{2} - \varepsilon) n$. Then there exists a $c = c(\varepsilon) > 0$ such that $|\mathscr{F}| < (2-c)^n$.

¹ This conjecture was proved recently by Frankl and Rödl.

4. TOOLS OF PROOFS

Set System with Lots of Stars

The main tool in proving Theorems 2.1 and 2.2 is a recent result of the second author. To state it we need some definitions. We call the family of sets \mathscr{A} an *s*-star with center K if $|\mathscr{A}| = s$ and for all distinct A, $A' \in \mathscr{A}$, $A \cap A' = K$ holds. We say that $\mathscr{B} \subset 2^{\chi}$ is closed under intersection if for all $B, B' \in \mathscr{B}, (B \cap B') \in \mathscr{B}$ holds. If $B \in \mathscr{B} \subset 2^{\chi}$, we define $M(B, \mathscr{B}) = (B \cap B')$: $B \neq B' \in \mathscr{B}$.

THEOREM 4.1 (Fürcdi [12]). For any two positive integers k, s there exists a positive constant c(k, s) such that every $\mathcal{F} \subset {X \choose k}$ contains some $\mathcal{F}^* \subset \mathcal{F}$, satisfying

- $(6.1) \quad |\mathcal{F}^*| \ge c(k,s) |\mathcal{F}|$
- (6.2) all the families $M(F, \mathcal{F}^*)$ are isomorphic, $F \in \mathcal{F}^*$,
- (6.3) every $A \in M(F, \mathcal{F}^*)$ is the center of an s-star $\mathcal{A}, A \subset \mathcal{F}^*$,

(6.4) $M(F, \mathcal{F}^*)$ is closed under intersection, i.e., $A, A' \in M(F, \mathcal{F}^*)$ implies $A \cap A' \in M(F, \mathcal{F}^*)$.

When we refer to Theorem 4.1 we always mean the case s = k + 1, and we set $c_k = c(k, k + 1)$. The reason for this is given by

PROPOSITION 4.2. Suppose \mathscr{F} is an (n, k, L)-system, $F, F' \in \mathscr{F}^*$, $A \in M(F, \mathscr{F}^*), A' \in M(F', \mathscr{F}^*), F'' \in \mathscr{F}$. Then we have

$$|A| \in L, \qquad |A \cap A'| \in L, \qquad |A \cap F''| \in L. \tag{7}$$

Let us mention that the idea of using (k+1)-stars for investigating (n, k, L)-systems is due to M. Deza. Proposition 4.2 can be verified easily by using that if A is the center of the (k+1)-star $\{F_1, ..., F_{k+1}\}$, then the sets $F_i - A$ are pairwise disjoint. For a proof see [1].

Set Systems with Many Intersection Conditions

We will also use

THEOREM 4.3 (Frankl and Katona [9]). Suppose $\mathcal{D} = \{D_1, ..., D_m\}$ is a collection of not necessarily distinct subsets of Y, |Y| = r. Suppose further s is a positive integer such that for all t, $1 \le t \le m$, and all $1 \le i_1 < \cdots < i_t \le m$,

$$|D_{i_1} \cap \cdots \cap D_{i_i}| \neq t-s$$

holds. Then we have $|\mathcal{D}| = m \leq r + (s-1)$.

We shall need the following strengthening of this theorem.

PROPOSITION 4.4. Suppose that \mathcal{D} satisfies the assumptions of Theorem 4.3. If $|\mathcal{D}| = r + s - 1$, then for every $y \in D \in \mathcal{D}$ the number of sets in \mathcal{D} containing y is |D| + s - 1. (Moreover, for $s \ge 2$ \mathcal{D} consists of r + s - 1 copies is Y.) If $|\mathcal{D}| = r + s - 2$ then there exists at most one set D' such that $\mathcal{D}' = \mathcal{D} \cup \{D'\}$ satisfies the assumptions, too.

To prove Proposition 4.4 we shall give a new proof for Theorem 4.3. We present the proof of these statements at the end of the paper in Chapter 8.

Shadows of Set Systems

For $\mathscr{F} \subset \binom{x}{a}$, $0 \le s \le a$, let us define $\Delta_s(\mathscr{F}) = \{G \in \binom{x}{s} : \exists F \in \mathscr{F}, G \subset F\}$. Given $|\mathscr{F}|$ what is the minimum of $|\Delta_s(\mathscr{F})|$? This problem was completely solved by Kruskal [15] and Katona [14], however their formula for min $|\Delta_s(\mathscr{F})|$ is not convenient for computation. We will rather use the following version of the Kruskal-Katona theorem.

THEOREM 4.5 (Lovász [16]). Suppose that the real number $x, x \ge a$ is defined by $|\mathscr{F}| = \binom{x}{a} = x(x-1)\cdots(x-a+1)/a!$. Then

$$|\varDelta_s(\mathscr{F})| \ge \binom{x}{s}$$

holds for all $0 \leq s \leq a$.

(Cf. [19] for a unified, simple proof of the Kruskal-Katona theorem and Theorem 4.5.)

A General Bound for m(n, k, L)

For the proof of Theorem 2.3 we need

THEOREM 4.6 (Frankl and Wilson [11]). Suppose that for some integer valued polynomial of degree d and a prime p for all $l \in L$ $p \mid g(l)$ holds but $p \nmid g(k)$. Then we have

$$m(n, k, L) \leq \binom{n}{d}.$$

Steiner-Systems and Quasi-Steiner-Systems

Suppose $r > t \ge 1$. We say that $\mathscr{G} \subset \binom{x}{r}$ is a Steiner-system, S(n, t, r) if for every $T \in \binom{x}{t}$ there exists exactly one $S \in \mathscr{G}$, containing T. Of course, we have $|\mathscr{G}| = \binom{n}{t}/\binom{r}{t}$, and \mathscr{G} is a maximal $(n, r, \{0, 1, ..., t-1\})$ -system.

For t = 1 a Steiner-system is just a partition of X into r subsets, it exists

if and only if r|n. For t=2, $n > n_0(r)$ Wilson [18] proved that the trivial necessary conditions $\binom{r}{2} |\binom{n}{2}$, (r-1)|(n-1) are sufficient for the existence of Steiner-systems. However, very little is known about the existence of Steiner-systems for $r \ge 3$. We shall use

THEOREM 4.7 (Rödl [17]). For all $r > t \ge 1$

$$m(n, r, \{0, 1, ..., t-1\}) = (1 + o(1)) \binom{n}{t} / \binom{r}{t}$$

holds.

5. The Proof of Theorem 2.1 and Some Lemmas

Actually we prove the following stronger statement:

THEOREM 5.1. Suppose \mathscr{F} is an (l, l')-system, $c_k = c(k, k+1)$ is the constant from Theorem 4.1, then we have

$$|\varDelta_{\max\{l,l'\}}(\mathscr{F})| \ge c_k |\mathscr{F}|.$$

Proof. Apply Theorem 4.1 to \mathscr{F} . We obtain a family $\mathscr{F}^* \subset \mathscr{F}$ satisfying (6.1)-(6.4), i.e., $|\mathscr{F}^*| > c_k |\mathscr{F}|$, all the families $M(F, \mathscr{F}^*)$ are isomorphic for $F \in \mathscr{F}^*$, each $A \in M(F, \mathscr{F}^*)$ is a center of a (k+1)-star, $M(F, \mathscr{F}^*)$ is closed under intersection.

In view of (6.1) it will be sufficient to deal with \mathscr{F}^* . We say that $B \subset F$ is an *own* subset of $F \in \mathscr{F}^*$ if $B \subset F' \in \mathscr{F}^*$ implies F' = F.

LEMMA 5.2. Each $F \in \mathcal{F}^*$ has an own subset B satisfying $|B| \leq \max\{l, l'\}$.

First we finish the proof of the Theorem 5.1 using this Lemma. Let us note that $B \subset F$ is an own subset of F if and only if $B \notin A$ holds for all $A \in M(F, \mathcal{F}^*)$.

If B is an own subset of F and $B \subset B' \subset F$ then B' is an own subset of F as well. Thus by Lemma 5.2 for each $F \in \mathscr{F}^*$ we may choose an own subset B(F) of F, having $|B(F)| = \max\{l, l'\}$. Consequently $\mathscr{B} = \{B(F): F \in \mathscr{F}^*\}$ satisfies $\mathscr{B} \subset \Delta_{\max\{l,l'\}}(\mathscr{F}^*)$ and $|\mathscr{B}| = |\mathscr{F}^*| \ge c_k |\mathscr{F}|$, yielding the statement of Theorem 5.1. Q.E.D.

Remark. Theorem 5.1 is related to the following theorem due to Frankl and Singhi [10].

THEOREM 5.3. If $\mathscr{F} \subset \binom{x}{k}$ is an (n, k, L(l, l'))-system with k = l + l' + 1and $l > 3^{l'}$ then $|\Delta_l(\mathscr{F})| \ge |\mathscr{F}|$.

They conjecture that Theorem 5.3 holds for all $l \ge l'$. The above proof shows that it is useful to investigate $M(F, \mathscr{F}^*)$, i.e., the intersection structure of F.

Let F be a k-element set and let $\mathcal{M} \subset 2^F - \{F\}$. Suppose \mathcal{M} is closed under intersection and for all $M \in \mathcal{M}$ we have |M| < l or $|M| \ge k - l'$. We say that $B \subset F$ is *covered* (by \mathcal{M}) if there exists an $A \in \mathcal{M}$ such that $B \subseteq A$. Clearly Lemma 5.2 is a consequence of the following

LEMMA 5.4 (Main Lemma). There exists a subset $B \subset F$ satisfying $|B| \leq \max\{l, l'\}$ which is not covered by \mathcal{M} .

LEMMA 5.5. Suppose now l' > l and all (l' - 1)-element subsets of F are covered by \mathcal{M} . Then (c) and one of (a) and (b) hold.

(a) There exists a (k-l')-element subset A(F) of F such that \mathcal{M} consists of all supersets of A(F) and eventually some at most (l-1)-element subsets.

(b) l' = l + 1, k = l' + l + 1 and there are at least two l'-element subsets of F which are not covered by \mathcal{M} .

(c) If B is an uncovered l'-element subset of F and $B \subseteq C \subsetneq F$ then $l \leq |A \cap C| < k - l'$ holds for some $A \in \mathcal{M}$.

Lemma 5.5 says that in the cases $l' \ge l+2$, l' = l+1 < (k/2) there exists only one \mathcal{M} which covers all (l'-1)-element subsets. However the description of such \mathcal{M} s seems to be very hard in the case l' = l+1, k = 2l+2. In fact, an S(2l+2, l+1, l) Steiner-system extended with all subsets of size less than l satisfies the assumptions of Lemma 5.5, and the existence of these designs is an old unsolved problem.

Proof of Lemmas 5.4 and 5.5. We prove these lemmas together. Choose a minimal subset B of F which is uncovered by \mathcal{M} . It is possible because F is not covered. We may suppose |B| = b > l holds. Let $B = \{x_1, x_2, ..., x_b\}$. As $B - \{x_i\}$ is covered, there exists an $A_i \in \mathcal{M}$ for which $B \cap A_i = B - \{x_i\}$ holds. First we show that $b \le l + l'$. Indeed, let $A = A_1 \cap A_2 \cap \cdots \cap A_{l'+1}$, then $A \in \mathcal{M}$, $x_i \notin A$ for $1 \le i \le l' + 1$ which implies |A| < k - l', i.e., |A| < l. But $|A \cap B| = b - l' + 1$, whence $b \le l + l'$.

Fix an arbitrary (l+l'+1-b)-element subset Y of F-B. Define $D_i = Y \cap A_i$, i = 1, ..., b. We claim that for $1 \le i_1 < \cdots < i_i \le b$ we have

$$|D_{i_1} \cap \cdots \cap D_{i_l}| \neq t - (b - l). \tag{(*)}$$

Suppose the contrary and consider the set $A = (A_{i_1} \cap \cdots \cap A_{i_\ell}) \in \mathcal{M}$. By

definition $|A \cap B| = b - t$ and $0 \le |A \cap (F - B - Y)| \le k - l - l' - 1$. Using $|A| = |A \cap B| + |A \cap Y| + |A \cap (F - B - Y)|$ we infer $l \le |A| < k - l'$, a contradiction.

Now, let us apply Theorem 4.3 to the multi-family $\mathcal{D} = \{D_1, ..., D_b\}$. We conclude $b = |\mathcal{D}| \leq |Y| + (b-l) - 1 = (l+l'+1-b) + (b-l) - 1 = l'$, i.e., $b \leq l'$ proving Lemma 5.4.

For the proof of Lemma 5.5 we suppose that |B| = l', whence |Y| = l + 1. When we apply Theorem 4.3 for the family \mathscr{D} with s = l' - l we get equality $|\mathscr{D}| = |Y| + (l' - l) - 1$. Thus we can use Proposition 4.4. Hence we get in the case $l' - l \ge 2$ that \mathscr{D} consists of l' copies of Y. The choice of Y was arbitrary so we get $A_i = F_i - \{x_i\}$ for all $1 \le i \le l'$, $A(F) = F - \{x_1, ..., x_l'\}$. Now we prove this in the case l' - l = 1, |Y| < |F - B| (i.e., k > 2l + 2).

The arbitrary choice of Y and Proposition 4.4 yields $\bigcup A_i = F$. Since |F - B| > |B| = l', we may choose an A_j satisfying $|A_j - B| \ge 2$. If $|A_j| = k - 1$, that is $A_j - B = F - B$, then again by Proposition 4.4, $A_i - B = F - B$ follows for all *i*.

Since \mathcal{M} is closed under intersection, we gain the assertion of the lemma with A(F) = F - B.

To complete the proof, we derive a contradiction from $2 \leq |A_i - B| < |F - B|$. Choose $u, v \in A_j - B$, $w \in (F - B) - A_j$, and let Y, Y' chosen such that $v \in Y \cap Y'$ and $Y' = Y - \{u\} \cup \{w\}$. Denote $\mathcal{D} = \{A_i \cap Y: 1 \leq i \leq l'\}, \mathcal{D}' = \{A_i \cap Y': 1 \leq i \leq l'\}$ and $D = A_j \cap Y, D' = A_j \cap Y'$. We have $D' = D - \{u\}$ so using Proposition 4.4 we get the contradiction $|D| = d_{\mathcal{D}}(v) = d_{\mathcal{D}}, (v) = |D'|$.

Now investigate the case l' = l + 1, k = l' + l + 1. Then Y = F - B. If $\mathcal{M} \neq \{A: Y \subseteq A \subsetneq F\}$ then there exists an A_i such that $|A_i \cap Y| < |Y|$. Let $y \in Y - A_i$. We claim that $B - \{x_i\} \cup \{y\}$ is not covered by \mathcal{M} either. Suppose on the contrary that there exists an $A'_i \supset B - \{x_i\} \cup \{y\}$, $A'_i \in \mathcal{M}$. $x_i \notin A'_i$ because B is not covered. Hence $B \cap A'_i = B \cap A_i$, i.e., in the system $\{D_1, ..., D_{l'}\}$ we can replace D_i by $D'_i = A'_i \cap Y$, But this is impossible by Proposition 4.4. This finishes the proof of (a) and (b).

The subset A(F) is unique. (If there were two such A(F), e.g., A and A' then $A \cup (A' - \{x\})$ would intersect A' in k - l' - 1 elements $(x \in A' - A)$.)

The proof of (c) in the case (a) is similar to the proof of uniqueness of A(F). If l' = l + 1 = (k/2) then set |C - B| = t. Now $|C \cap (A_1 \cap \cdots \cap A_t \cap A_{t+1})| = l$, proving (c).

6. The Proof of Theorem 2.2

Let A_0 be a fixed (k - l')-subset of X and let $\mathscr{F}_0 = \{F \in \binom{X}{k} : A_0 \subset F\}$. In this family $M(F, \mathscr{F}_0) = \{A : A_0 \subset A \subsetneq F\}$ holds for all $F \in \mathscr{F}_0$. This motivates our procedure of proof.

Assume $|\mathscr{F}| \ge {\binom{n-k+l}{l}}$ holds. First, as in the proof of Theorem 2.1 we apply Theorem 4.1 to \mathscr{F} and obtain $\mathscr{F}_1 = \mathscr{F}^*$ satisfying (6.1) – (6.4). Then we apply Theorem 4.1 to $\mathscr{F} - \mathscr{F}_1$ to obtain $\mathscr{F}_2 = (\mathscr{F} - \mathscr{F}_1)^*$, in the *m*th step we obtain $\mathscr{F}_m = (\mathscr{F} - (\mathscr{F}_1 \cup \cdots \cup \mathscr{F}_{m-1}))^*$. We stop either if there are no more sets or if for $F \in \mathscr{F}_m$ there is no $A \in \binom{F}{k-l}$ such that $M(F, \mathscr{F}_m) \supset \{B: A \subset B \subseteq F\}$.

LEMMA 6.1. $|\mathscr{F} - (\mathscr{F}_1 \cup \cdots \cup \mathscr{F}_{m-1})| \leq c'_k \binom{n}{l-1}$ holds for some constant c'_k .

We obtain Lemma 6.1 by proving a series of propositions. First we continue applying Theorem 4.1 to obtain $\mathscr{F}_{m+1} = (\mathscr{F} - (\mathscr{F}_1 \cup \cdots \cup \mathscr{F}_m))^*,...,$ until we get an $\mathscr{F}_{m'}$ with the property that for some $F \in \mathscr{F}_{m'}$, F has an own subset of size strictly less than l'. Then by Theorem 4.1 (6.2) all $F \in \mathscr{F}_{m'}$ share this property, yielding

PROPOSITION 6.2. $|\mathcal{F} - (\mathcal{F}_1 \cup \cdots \cup \mathcal{F}_{m'-1})| \leq (1/c_k) |\mathcal{F}_{m'}| \leq (1/c_k) (\binom{n}{l'-1})$ holds for n > 2l'. (Note that eventually m' = m holds.)

By Lemma 5.4 we know that all $F \in \mathscr{F}_i$, $1 \le i < m'$, have an own subset of size l', i.e., which is not contained in any other member of \mathscr{F}_i . Lemma 5.5(c) yields that these sets are not contained in any member of $\mathscr{F} - \mathscr{F}_i$ either. We infer

PROPOSITION 6.3. Suppose $B \subset F \in \mathcal{F}_i$, $1 \leq i < m'$, |B| = l', and B is an own subset of F in \mathcal{F}_i . Then B is an own subset of F in \mathcal{F} , too.

Similarly, Lemma 5.5 (a) and (b) give

PROPOSITION 6.4. If $m \leq i < m'$ then every $F \in \mathcal{F}_i$ has at least 2 own subsets of size l'.

Proposition 6.5. $\sum_{1 \leq i < m} |\mathscr{F}_i| + \sum_{m \leq i < m'} 2 |\mathscr{F}_i| \leq {n \choose i'}$

Proof. It is a direct consequence of Proposition 6.3, 6.4., and Lemma 5.2.

Now $|\mathscr{F}| \ge \binom{n-k+l'}{l} > \binom{n}{l} - (k-l')\binom{n}{l'-1}$, Proposition 6.2, and Proposition 6.5 imply

$$\sum_{m \leq i < m'} |\mathscr{F}_i| < \left(\frac{1}{c_k} + k - l'\right) \binom{n}{l' - 1}.$$

We infer by Proposition 6.2,

$$|\mathscr{F} - (\mathscr{F}_1 \cup \cdots \cup \mathscr{F}_{m-1})| \leq \left(\frac{2}{c_k} + k - l'\right) \binom{n}{l'-1},$$

proving Lemma 6.1.

For $F \in \mathscr{F}_i$, $1 \le i \le m-1$, let us denote by A(F) the (k-l')-subset of F for which $M(F, \mathscr{F}_i) \supset \{B: A(F) \subset B \subsetneq F\}$ holds. (It is easy to see that A(F) is uniquely determined and it is the only (k-l')-element set in $M(F, \mathscr{F})$.)

PROPOSITION 6.6. If $F \in (\mathscr{F}_1 \cup \cdots \cup \mathscr{F}_{m-1})$, $F' \in \mathscr{F}$, and $|F \cap F'| \ge l$ then $A(F) \subset F'$ holds. Moreover if $F' \in (\mathscr{F}_1 \cup \cdots \cup \mathscr{F}_{m-1})$ then A(F) = A(F') holds.

Proof. Suppose the contrary. Then $|A(F) \cap F'| < k - l'$ holds. Consider an arbitrary chain of subsets $A_0 = A(F) \subsetneq A_1 \subsetneq \cdots \subsetneq A_{l'} = F$. Let *i* be the last index in this chain for which $|A_i \cap F'| < k - l'$ holds. Then $|F \cap F'| \ge l$ and l < k - l' imply $l \le |A_i \cap F'| < k - l'$, contradicting (7).

For the case $F' \in (\mathscr{F}_1 \cup \cdots \cup \mathscr{F}_{m-1})$ we infer $A(F) \in M(F', \mathscr{F})$. Since |A(F)| = k - l', A(F) = A(F') follows.

Let $A_1, A_2, ..., A_h$ be the list of (k - l')-sets for which $A_i = A(F)$ holds for some $F \in (\mathscr{F}_1 \cup \cdots \cup \mathscr{F}_{m-1})$. Define $\mathscr{G}_i = \{G \in (\mathscr{F}_1 \cup \cdots \cup \mathscr{F}_{m-1}) : A_i \subset G\}$ and $\widetilde{\mathscr{G}}_i = \{G - A_i : G \in \mathscr{G}_i\}$. Assume $|\mathscr{G}_1| \ge |\mathscr{G}_2| \ge \cdots \ge |\mathscr{G}_h|$.

PROPOSITION 6.7. The sets $\Delta_{l}(\mathscr{G}_{1}), \dots, \Delta_{l}(\mathscr{G}_{h})$ are pairwise disjoint.

Proof. It is a direct consequence of the preceding proposition. Let us define the real number x_i by $|\mathscr{G}_i| = \binom{x_i}{l'}$, $x_i \ge l'$, i = 1, ..., h.

PROPOSITION 6.8. $\Delta_{l}(\mathscr{G}_{i}) \geq \binom{x_{i}}{l}, i = 1, ..., h.$

Proof. Since $\Delta_l(\mathscr{G}_i) \supset \Delta_l(\widetilde{\mathscr{G}}_i)$, this follows from $|\widetilde{\mathscr{G}}_i| = |\mathscr{G}_i|$ and Theorem 4.5.

Note that in view of Lemma 6.1 we may assume

$$\sum_{l \leq i \leq h} |\mathscr{G}_{l}| \ge {\binom{n-k+l'}{l'}} - c'_{k} {\binom{n}{l'-1}} > {\binom{1-\frac{c''_{k}}{n}}{l'}} {\binom{n}{l'}},$$
(8)

where c_k'' is a constant. From Proposition 6.7. and 6.8 we have

$$\sum_{1 \le i \le h} \binom{x_i}{l} \le \binom{n}{l}.$$
(9)

Using that $\binom{x_1}{l} \ge \binom{x_2}{l} \ge \frac{x_2}{l} > \frac{x_2}{l}$, we infer

$$\sum_{1 \leq i \leq h} |\mathscr{G}_i| = \sum_{i} \binom{x_i}{l} \binom{x_i}{l'} / \binom{x_i}{l} \leq \sum_{i} \binom{x_i}{l} \frac{\binom{x_1}{l'}}{\binom{x_1}{l}} \leq \binom{n}{l} \frac{\binom{x_1}{l'}}{\binom{x_1}{l}}.$$

Now, (8) and (9) yield

 $x_1 > n - c_k^{\prime\prime\prime},$

and consequently $\binom{x_1}{l} > \binom{n}{l} - c_k^{m''}\binom{n}{l-1}$. Using (9) we obtain $\sum_{2 \le i \le h} \binom{x_i}{l} \le c_k^{m''}\binom{n}{l-1}$ and consequently

$$\sum_{\substack{2 \leqslant i \leqslant h}} |\mathscr{G}_i| = \sum_{\substack{2 \leqslant i \leqslant h}} \binom{x_i}{l'} < c_k''' \binom{n}{l'-1}.$$
(10)

Using Lemma 6.1 and (10) we get

$$|\mathscr{F} - \mathscr{G}_1| < c_k^{(\text{iv})} \binom{n}{l'-1}.$$
(11)

Let us define $\mathscr{H} = \{F \in \mathscr{F} : A_1 \subset F \text{ and for each } A_1 \subset B \subsetneq F \text{ there is a } (k+1)\text{-star in } \mathscr{F} \text{ with center } B\}$. Of course, $\mathscr{G}_1 \subset \mathscr{H}$. Let us set $\mathscr{A} = \{F \in \mathscr{F} : A_1 \subset F, F \notin \mathscr{H}\}$, and $\mathscr{B} = \mathscr{F} - \mathscr{H} - \mathscr{A}$, i.e., $\mathscr{B} = \{F \in \mathscr{F} : A_1 \notin F\}$.

For the family $\mathscr{F}_0 = \{F \in \binom{X}{k}: A_1 \subset F\}$, all its members would be in \mathscr{K} , i.e., \mathscr{K} consists of the "regular" elements of \mathscr{F} . Our aim is to show $\mathscr{F} = \mathscr{K}$. For \mathscr{F}_0 one has $\Delta_r(\mathscr{F}_0) = \binom{X}{r}$. On the other hand $\Delta_r(\mathscr{K}) \supset \binom{X-A_1}{r}$ is equivalent to $\mathscr{F} = \mathscr{K}$. We will derive a contradiction from $\mathscr{F} - \mathscr{K} \neq \emptyset$ by showing that $\Delta_l(\mathscr{K})$ and consequently $\Delta_r(\mathscr{K})$ miss too many subsets of X. We distinguish two cases according to which is larger $|\mathscr{A}|$ or $|\mathscr{B}|$.

(a) If $|\mathscr{A}| \leq |\mathscr{B}|$. It can be proved in the same way as Proposition 6.6 that $\Delta_l(\mathscr{K}) \cap \Delta_l(\mathscr{B}) = \emptyset$. Let $|\Delta_{l'}(\mathscr{B})| = {x \choose l}$. Apply Theorem 4.5 to $\Delta_{l'}(\mathscr{B})$ and use Theorem 5.1 for \mathscr{B} :

$$\begin{split} |\Delta_{l}(\mathcal{B})| &= |\Delta_{l}(\Delta_{l'}(\mathcal{B}))| \ge \binom{x}{l} = \frac{\binom{x}{l}}{\binom{x}{l'}} |\Delta_{l}(\mathcal{B})| \\ &\ge \frac{\binom{x}{l}}{\binom{x}{l'}} c_{k} |\mathcal{B}| \ge \frac{\binom{x}{l}}{\binom{x}{l'}} \frac{c_{k}}{2} (|\mathcal{A}| + |\mathcal{B}|). \end{split}$$

 $|\Delta_{l'}(\mathscr{B})| \leq \binom{k}{l'} |\mathscr{B}| \leq \binom{k}{l'} c_k^{(iv)}\binom{n}{l'-1}$ by (11). Hence $x < c_k^{(v)} n^{1-1/l'}$ so we get $\binom{k}{l'}\binom{n}{l'-1}$, i.e.,

$$|\Delta_{l}(\mathscr{B})| > \frac{\binom{n}{l}}{\binom{n-k+l'}{l'}} (|\mathscr{A}| + |\mathscr{B}|).$$
(12)

Denote by $\tilde{\mathscr{K}} = \{F - A_1 : F \in \mathscr{K}\}$. Obviously $|\Delta_l(\mathscr{K})| = \sum_{0 \le i \le l} |\Delta_{l-i}(\tilde{\mathscr{K}})| \ \binom{k-l}{i}$. If $|\tilde{\mathscr{K}}| = \binom{y}{l}$, then Theorem 4.5 yields

$$|\Delta_{l}(\mathscr{K})| \leq \sum_{0 \leq i \leq l} \binom{y}{l-i} \binom{k-l'}{i} = \binom{y+k-l'}{l} \geq |\mathscr{K}| \frac{\binom{n}{l}}{\binom{n-k+l'}{l'}}.$$
(13)

Adding (12) and (13) we obtain

$$\binom{n}{l} \ge |\Delta_l(\mathscr{F})| \ge |\Delta_l(\mathscr{K})| + |\Delta_l(\mathscr{B})| > |\mathscr{F}|\binom{n}{l} / \binom{n-k+l'}{l'},$$

i.e., $|\mathscr{F}| < {\binom{n-k+l'}{l}}$, as desired.

(b) $|\mathscr{A}| > |\mathscr{B}|$. Apply Theorem 4.1 to \mathscr{A} to obtain \mathscr{A}^* . By definition of \mathscr{K} for $F \in \mathscr{A}^*$ we have $M(F, \mathscr{A}^*) \neq \{H: A_1 \subset H \subsetneq F\}$. By (6.4) we can find missing (k-1) sets, i.e., $A_1 \subset H \subsetneq F$, |H| = k-1, $H \notin M(F, \mathscr{A}^*)$.

PROPOSITION 6.9. We can find an $H, A_1 \subseteq H \subsetneq F, |H| = k-1, H \notin M(F, \mathscr{A}^*)$ such that $H \notin \mathcal{A}_{k-1}(\mathscr{K})$.

Proof. As $F \notin \mathscr{H}$ we can find a H' such that $A_1 \subset H' \subset F$, H' is not the center of any (k+1)-star consisting of members of \mathscr{F} . Then, again by the definition of \mathscr{H} , $H' \notin K$ holds for all $K \in \mathscr{H}$.

Let $H_1, ..., H_r$ be the (k-1)-sets satisfying $H' \subset H_i \subset F$, $1 \leq i \leq r$, r = k - |H'|. By the choice of H', $H_i \notin K$ holds for all $K \in \mathscr{K}$. Since $H' = H_1 \cap \cdots \cap H_r$, by Theorem 4.1 (6.4) we may pick an i $(1 \leq i \leq r)$ such that $H = H_i \notin M(F, \mathscr{A}^*)$, proving the proposition.

Now let us choose such an H = H(F) for each $F \in \mathscr{A}^*$. Define $\mathscr{H} = \{H(F) - A_1 : F \in \mathscr{A}^*\}$. As $H(F) \notin M(F, \mathscr{A}^*)$, $|\mathscr{H}| = |\mathscr{A}^*|$ holds. By the choice of H(F) we have $\mathscr{H} \cap A_{F-1}(\widetilde{\mathscr{H}}) = \emptyset$, hence

$$|\mathscr{H}| + |\varDelta_{l'-1}(\widetilde{\mathscr{H}})| \leq \binom{n-k+l'}{l'-1}.$$
(14)

Obviously,

$$|\Delta_{l'-1}(\tilde{\mathscr{K}})| \ge |\tilde{\mathscr{K}}| \cdot l'/(n-k+1)$$
$$= |\tilde{\mathscr{K}}| \binom{n-k+l'}{l'-1} / \binom{n-k+l'}{l'}$$
(15)

and for large enough n,

$$|\mathscr{H}| = |\mathscr{A}^*| \ge c_k |\mathscr{A}| \ge \frac{c_k}{2} |\mathscr{A} \cup \mathscr{B}| > \frac{l'}{n-k+1} |\mathscr{A} \cup \mathscr{B}|$$
(16)

holds. Adding (15) and (16) in view of (14) we obtain

$$|\mathcal{F}| = |\mathcal{K}| + |\mathcal{A} \cup \mathcal{B}| < \binom{n-k+l'}{l'}$$

7. PROOF OF THEOREM 2.3

Suppose \mathscr{F} is an (l, l')-system, $|\mathscr{F}| = m(n, k, L(l, l'))$. Let us set b = l - l'. For $B \in \binom{\chi}{b}$ define $\mathscr{F}(B) = \{F - B: B \subset F \in \mathscr{F}\}$. Of course we have

$$\sum_{B \in \binom{K}{b}} |\mathscr{F}(B)| = \binom{k}{b} |\mathscr{F}|.$$
(17)

 $\mathscr{F}(B)$ is an (n-b, k-b, L(l', l'))-system. Let $q = p^{\alpha}$ be a primepower divisor of k-l = (k-b)-l', satisfying q > l'. Define $g(x) = \binom{x}{l}$. Then $g(k-b) \equiv g(l') \equiv 1 \pmod{p}$, i.e., $p \nmid g(k-b)$. On the other hand $p \mid g(r)$ holds for r = 0, 1, ..., l'-1 because of $g(r) = \binom{r}{l} = 0$, and for r = (k-b)-l' = k-l, k-l+1, ..., k-b+1 because of the exponent of p in g(r) = r!/l'!(r-l')! is $\sum_{\beta \ge 1} (\lfloor r/p^{\beta} \rfloor - \lfloor l'/p^{\beta} \rfloor - \lfloor (r-l')/p^{\beta} \rfloor)$ by Legendre formula, and the α th member of this sum is positive.

Thus we may apply Theorem 4.6 to $\mathcal{F}(B)$. We infer

$$|\mathscr{F}(B)| \leq \binom{n-b}{l'}.$$
(18)

Combining (17) and (18) we obtain

$$|\mathscr{F}| \leq \binom{n}{b}\binom{n-b}{l'} / \binom{k}{b} = \binom{n}{l}\binom{k+l'}{l'} / \binom{k+l'}{l},$$

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yielding

$$m(n, k, L(l, l')) \leq {\binom{n}{l}}{\binom{k+l'}{l'}} / {\binom{k+l'}{l}},$$

the upper bound part of the theorem.

To prove the lower bound take an $(n, k+l', \{0, 1, ..., l-1\})$ -system \mathscr{S} with $|\mathscr{S}| = m(n, k+l', \{0, 1, ..., l-1\})$. Define $\mathscr{F} = \varDelta_k(\mathscr{S})$. Obviously, we have $|\mathscr{F}| = \binom{k+l'}{l} |\mathscr{S}|$, thus Theorem 4.7 yields $|\mathscr{F}| = (1+o(1))\binom{n}{l}\binom{k+l'}{k+l'}$.

It remains to show that \mathscr{F} is an (l, l')-system. Suppose $F, F' \in \mathscr{F}$. Then there exists $S, S' \in \mathscr{S}$, such that $F \subset S, F' \subset S'$. If $S \neq S'$, then $|F \cap F'| \leq |S \cap S'| < l$. If S = S', then $|F \cap F'| = |F| + |F'| - |F \cup F'| \ge 2k - |S| = k - l'$.

Remark 7.1. Our proof shows that if S(n, k + l', l) exists, k, l, l' as in Theorem 2.3, then $m(n, k, L(l, l')) = {n \choose l} {k+l' \choose l'} / {k+l' \choose l}$ holds. Frankl [7] has shown that in the case k - l = l' + 1 a prime, the converse holds, too, i.e., the above equality implies the existence of S(n, k + l', l).

8. The Proofs of Theorem 4.3 and Proposition 4.4.

First we show that the case $s \ge 2$ is an easy consequence of the case s = 1. In fact, take an (s-1)-element set Z which is disjoint to Y. Define $\tilde{Y} = Y \cup Z$, $\tilde{\mathscr{D}} = \{D_i \cup Z : D_i \in \mathscr{D}\}$. Then \tilde{Y} and $\tilde{\mathscr{D}}$ satisfy the assumptions for s = 1, yielding

$$m = |\tilde{\mathscr{D}}| \leq |\tilde{Y}| = |Y| + s - 1,$$

as desired.

Note that, any $z \in Z$ satisfies $z \in \tilde{D}$ for all $\tilde{D} \in \tilde{\mathscr{D}}$. Thus Proposition 4.4 applied to $\tilde{\mathscr{D}}$ yields that all the sets of $\tilde{\mathscr{D}}$ have the same size, namely that of $\{\tilde{D}: z \in \tilde{D} \in \tilde{\mathscr{D}}\}$, i.e., $|\tilde{\mathscr{D}}|$. Consequently, \mathscr{D} consists of r+s-1 copies of Y.

Now we must deal with the case s = 1. We apply induction on |Y| = r. Both Theorem 4.3 and Proposition 4.4 are trivial if $r \le 1$. Suppose $r \ge 2$. If y is an arbitrary element of Y denote by d(y) its degree, i.e., $d(y) = |\{D \in \mathcal{D} : y \in D\}|.$

PROPOSITION 8.1. For every $y \in D \in \mathcal{D}$ we have

$$d(y) \leqslant |D|. \tag{19}$$

Proof. Define $\overline{Y} = D - \{y\}$, $\overline{\mathscr{D}} = \{(D - \{y\}) \cap D_i : y \in D_i \in \mathscr{D}, D_i \neq D\}$. Then \overline{Y} and $\overline{\mathscr{D}}$ satisfy the assumptions of Theorem 4.3 (with s = 1). By the induction hypothesis we infer $d(y) - 1 = |\overline{\mathscr{D}}| \leq |\overline{Y}| = |D| - 1$. If d(y) = 0 for some $y \in Y$ then we can use the induction hypothesis for $Y - \{y\}$. Hence we can suppose $d(y) \ge 1$ for all $y \in Y$.

If $|\mathcal{D}| < |Y|$ we have nothing to prove. So suppose $|\mathcal{D}| = m \ge r = |Y|$ holds. By Proposition 8.1 for all $y \in D \in \mathcal{D}$ we have

$$m - d(y) \ge r - |D|$$

From this, using $|D| \ge d(y) > 0$ we infer

$$\frac{m-d(y)}{d(y)} \ge \frac{r-|D|}{|D|}.$$
(20)

Let us sum up (20) for all $y \in D \in \mathcal{D}$:

$$\sum_{y \in Y} \sum_{y \in D \in \mathscr{D}} \frac{m - d(y)}{d(y)} \ge \sum_{y \in Y} \sum_{y \in D \in \mathscr{D}} \frac{r - |D|}{|D|} = \sum_{D \in \mathscr{D}} \sum_{y \in D} \frac{r - |D|}{|D|}.$$
 (21)

On the left-hand size of (21) the interior summation gives m - d(y), while that of the right-hand side is r - |D|. Thus (21) reduces to

$$mr - \sum_{y \in Y} d(y) \ge mr - \sum_{D \in \mathscr{D}} |D|.$$

However, $\sum_{y \in Y} d(y) = \sum_{D \in \mathcal{D}} |D|$, i.e., the assumption $m \ge r$ leads to a contradiction unless equality holds in (19) for all $y \in D \in \mathcal{D}$. In that case obviously m = r holds, proving Theorem 4.3 and the first part of Proposition 4.4.

To prove the second statement of the proposition, suppose that both $\mathscr{D} \cup \{D'\}$ and $\mathscr{D} \cup \{D''\}$ satisfy the assumptions of Theorem 4.3 and $|\mathscr{D}| = r - 1 = |Y| - 1$.

If |D'| = |D''| = 1 then $|D \cap D'| \neq 1$, $|D \cap D''| \neq 1$ hold for all $D \in \mathcal{D}$. This implies $D \subset (Y - (D' \cup D''))$ for all $D \in \mathcal{D}$. As $\mathcal{D}| = |Y| - 1$, Theorem 4.3 implies $|Y - (D' \cup D''')| \ge |Y| - 1$, that is, D' = D''.

Next we assume by symmetry $|D'| \ge 2$, $D' \not \equiv D''$. Let y belong to D' - D''. Then $d_{\mathscr{D} \cup \{D'\}}(y) = |D'| \ge 2$ by the first statement of the proposition. Thus we may find a $D \in \mathscr{D}$ such that $y \in \mathscr{D}$. Now, the first statement of the proposition yields

$$d_{\mathscr{D}\cup\{D'\}}(y)=|D|=d_{\mathscr{D}\cup\{D''\}}(y).$$

However, by the definition of y, we have

$$d_{\mathscr{D}\cup\{D'\}}(y) = d_{\mathscr{D}\cup\{D''\}}(y) + 1,$$

a contradiction.

9. AN OPEN PROBLEM CONCERNING DESIGNS

The investigation of the extremal families for Theorem 4.3 led to the following notion. Call the family $\mathcal{D} = \{D_1, D_2, ..., D_m\}$ on the underlying set Y a well-intersecting design of order r if

- (i) |Y| = m, $|D_i| = r$ for all $1 \le i \le m$.
- (i) $|D_{i_1} \cap \cdots \cap D_{i_l}| \neq t-1$ for all $1 \leq i_1 < \cdots < i_t \leq m$.

(iii) \mathscr{D} is connected, i.e., for all partitions $\{A, B\}$ of Y there exists a $D \in \mathscr{D}$ such that $A \cap D \neq \emptyset \neq B \cap D$.

Proposition 4.4 implies that \mathscr{D} is a 1-design, $d_{\mathscr{D}}(x) = r$ holds for all $x \in Y$. Some examples

(1)
$$m = r, D_i = Y$$
 for all $1 \le i \le m$.

(2) m = r + 1, r is odd, and $D_i = Y - \{y_i\}$ $(Y = \{y_1, y_2, ..., y_m\})$.

If $r \leq 3$ then these are the only well-intersecting designs. But for r = 4 there are exactly four: type 1, the complement of the Fano-plane (m = 7), the extended Hamming code (m = 8), and a simple construction on 6 points. See Fig. 1.

(3) $m = \binom{r}{2} + 1$, the biplanes of order r.

(4) $m = q^3 + q^2 + q + 1$, $r = q^2 + q + 1$, the planes of PG(3, q).

(5) Finally, it is easy to prove that: If A is the incidence matrix of a well-intersecting design of order r and A is symmetric then the matrix $B = \begin{bmatrix} A & I \\ I & A \end{bmatrix}$ is the incidence matrix of a well-intersecting design of order r + 1. (Here I denotes the $m \times m$ identity matrix.)

In this way we can obtain a well-intersecting design of order r with $m = 2^{r-1}$.

It would be interesting to know more about the structure of well-intersecting designs.

Problem 9. Is it true that the number of different well-intersecting designs of order r is finite for any fixed r?



FIG. 1. The only well-intersecting designs of order 4. (c) Biplane of order 4. (d) Extended Hamming code.

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Note Added in Proof. Cameron, Frankl, and Wilson have shown that any well-intersecting design of order r satisfies $m = n \leq 2^{r-1}$. Moreover, the only design with $m = n = 2^{r-1}$ is coming from the r-dimensional cube: the incidence matrix of the design is the adjacenty matrix of the cube as a bipartite graph.

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