

# Injection Geometries

M. DEZA AND P. FRANKL

C.N.R.S., 15 Quai Anatole France, 75700 Paris, France

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In this paper a new concept, injection geometries, is considered. This provides a common generalization of matroids and permutation geometries. Different systems of axioms and various examples are given. Theorem 5.1 provides an extremal set theoretic characterization of injection designs. © 1984 Academic Press, Inc.

## 1. INTRODUCTION

Denote by  $N$  the set of positive integers  $i, 1 \leq i \leq n, N^d = \{(a_1, \dots, a_d) : 1 \leq a_i \leq n, 1 \leq i \leq d\}$  is the set of all sequences of length  $d$  in  $N$ .

A partial diagonal or *injective* set is a set  $A \subset N^d$  such that all elements of  $A$  are different in all  $d$  coordinates, i.e. there are no  $\mathbf{a}, \mathbf{b} \in A$  with  $a_i = b_i$  for some  $1 \leq i \leq d$ . Of course, each  $A$  with  $|A| \leq 1$  is injective.

Clearly, the definition implies  $|A| \leq n$ . If equality holds  $A$  is called *diagonal*.

Note that in the case  $d = 1$  each  $A \subset N$  is injective, for  $d = 2, A$  is injective iff it is the graph of a bijective function between subsets of  $N$ . In particular  $A$  is diagonal iff it is the graph of a bijection  $N \rightarrow N$ , i.e., it corresponds to a permutation. In general, an injective set  $A \subset N^d$  can also be considered as a function  $f$  with domain  $\{a_1 : (a_1, \dots, a_d) \in A\}$  and  $f(a_1) = (a_2, a_3, \dots, a_d)$ . The name “injective” comes from the fact that  $A$  is injective if and only if each projection  $f_i(a_1) \stackrel{\text{def}}{=} a_i$  is an injective function.

The intersection of injective sets is necessarily injective ( $\emptyset$  is injective!) but their union need not be injective. Two injective sets  $A$  and  $B$  are called *disjoint* if  $A \cap B = \emptyset$  and  $A \cup B$  is injective.

Now we are ready to define injection geometries. Suppose  $X \subset N^d, \mathcal{A}$  is a family of injective subsets of  $X$ , i.e.,  $\mathcal{A} \subset 2^X$  and  $\mathcal{A}$  is partitioned into  $\mathcal{A}_0 \cup \mathcal{A}_1 \cup \dots \cup \mathcal{A}_r, \mathcal{A}_r \neq \emptyset \neq \mathcal{A}_0$ . Then  $\mathcal{A}$  is called an *injection geometry* of rank  $r$  if F(i) through F(iii) hold.

- F(i)  $\mathcal{A}$  is closed under intersection ( $A, B \in \mathcal{A}$  implies  $A \cap B \in \mathcal{A}$ ).
- F(ii) If  $A \in \mathcal{A}_i, B \in \mathcal{A}_j$ , and  $A \subset B$ , then  $i \leq j$  holds.

F(iii) Given disjoint injective sets  $A, B$  with  $|B| = 1$  and  $A \in \mathcal{A}_i$ ,  $0 \leq i < r$ , there exists a unique  $A' \in \mathcal{A}_{i+1}$  verifying  $A \cup B \subset A'$ . Moreover, for any  $A'' \in \mathcal{A}$  verifying  $A \cup B \subset A''$ ,  $A'' \supset A'$  holds.

The family  $\mathcal{A}_i$  is called *the system of flats of rank  $i$*  of the injection geometry.

In analogy with matroids the members of the family  $\mathcal{A}$  along with the ground set  $X$  can be called the *closed sets*.

Note that for  $d = 1$  an injection geometry is simply the family of flats of a matroid (cf. [13]). For  $d = 2$  it is slightly more general than permutation geometries (cf. [3]).

**EXAMPLE 1.1 (Free Injection Geometry).** For an arbitrary  $X \subset N^d$  define  $\mathcal{F}_i(X) = \{F \subset X, |F| = i, F \text{ is injective}\}$ . If  $\mathcal{F}_r \neq \emptyset$ , then  $\mathcal{F} = \mathcal{F}_0 \cup \dots \cup \mathcal{F}_r$  is the free injection geometry of rank  $r$  on  $X$ .

**EXAMPLE 1.2.** Suppose  $\mathcal{S}_1 = \{S_1, \dots, S_t\}$  is a *star* with center  $C$ , i.e.,  $S_i \cap S_j = C$  for  $1 \leq i < j \leq t$ ,  $X \stackrel{\text{def}}{=} \bigcup \mathcal{S}_1 \subset N^d$ . Moreover, each  $S_i$  is injective. Define  $\mathcal{S}_0 = \{C\}$ . Then  $\mathcal{S}_0 \cup \mathcal{S}_1$  is an injection geometry of rank 1.

Note that in this example  $C = \emptyset$  is permitted. Moreover,  $\mathcal{S}' = \mathcal{S}'_0 \cup \mathcal{S}'_1$ , where  $\mathcal{S}'_0 = \{\emptyset\}$  and  $\mathcal{S}'_1 = \{S - C = S \in \mathcal{S}_1\}$ , is an injection geometry on  $X - C$ .

An important special case of Example 1.2 is

**EXAMPLE 1.3 (Permutation Cube, cf. [5]).** Suppose  $\mathcal{P}_1$  is a set of  $n^{d-1}$  pairwise disjoint diagonals of  $N^d$ ,  $\mathcal{P}_0 = \{\emptyset\}$ . Then  $\mathcal{P} = \mathcal{P}_0 \cup \mathcal{P}_1$  is an injection geometry of rank 1.

If each  $A \in \mathcal{A}$  is contained in some  $A' \in \mathcal{A}_r$ , then the injection geometry  $\mathcal{A}$  is called *regular*. If  $\mathcal{A}$  is regular and each member of  $\mathcal{A}_r$  is a diagonal then  $\mathcal{A}$  is called *full*.

The injection geometry  $\mathcal{S}$  from Example 1.2 is regular. It is full iff  $|S_i| = n$  holds for all  $i$ .

It is much more complicated to give examples of full injection geometries of higher rank.

Suppose  $P$  is a set of permutations on  $\{1, 2, \dots, n\}$ , i.e.,  $P$  is a subset of  $S_n$ .  $P$  is called *sharply  $l$ -transitive* if for any two ordered  $l$ -tuples  $((a_1, \dots, a_l), (b_1, \dots, b_l))$  of distinct elements of  $N$  there is a *unique*  $\pi \in P$  satisfying  $\pi(a_i) = b_i$  for  $1 \leq i \leq l$ . Sharply 1-transitive sets are just Latin squares. Each abstract finite group has a representation as sharply 1-transitive permutation group. For  $l \geq 2$  all sharply  $l$ -transitive groups have been classified by Jordan and Zassenhaus (cf. [12]).

EXAMPLE 1.4. Suppose  $P$  is a sharply  $l$ -transitive set, and  $X = N^d$ . Define  $\mathcal{A}_i = \{A \subset X: A \text{ is injective of size } i\}$ ,  $0 \leq i < l$ ,  $\mathcal{A}_l = \{(i, \pi_2(i), \dots, \pi_d(i)), 1 \leq i \leq n\}$ ;  $\pi_j \in P, 2 \leq j \leq d\}$ . Then  $\mathcal{A} = \mathcal{A}_0 \cup \dots \cup \mathcal{A}_l$  is an injection geometry of rank  $l$ .

Given a permutation group  $G$  on  $N$ , define a sequence  $G_0, G_1, G_2, \dots$ , of subgroups of  $G$  and a sequence  $x_1, x_2, \dots$ , of points of  $N$  inductively by the rules:

- (i)  $G_0 = G$ ;
- (ii)  $x_{i+1}$  is a point not fixed by  $G_i$ , and  $G_{i+1}$  its stabilizer in  $G_i$ . This sequence must terminate, since  $N$  is finite: that is  $G_r = 1$  for some  $r$ .

DEFINITION 1.5 (cf. [3]).  $G$  is called geometric of rank  $r$  if for  $0 \leq i \leq r - 1$ ,  $G_i$  is transitive on the set of points which it does not fix and  $G_r = 1$ .

EXAMPLE 1.6. Suppose  $G$  is a geometric group of rank  $r$  and  $Y^i = \{y^1, \dots, y^{l_i}\}$  is the set of fixpoints of  $G_i, 0 \leq i \leq r$ . Define  $\mathcal{A}_i = \{(\pi_1(y^j), \pi_2(y^j), \dots, \pi_d(y^j)): 1 \leq j \leq l_i; \pi_s \in G, 1 \leq s \leq d\}, 0 \leq i \leq r$ . Then  $\mathcal{A} = \mathcal{A}_0 \cup \dots \cup \mathcal{A}_r$  is an injection geometry of rank  $r$ , clearly  $\mathcal{A}$  is full and for  $A \in \mathcal{A}_i$  we have  $|A| = |Y^i| = l_i$ .

Note that every  $l$ -sharply transitive group is geometric of rank  $l$ . Geometric groups with  $l_0 = 0, l_1 = 1$ ; i.e., doubly transitive, have been classified by Kantor [9]. The non sharply transitive ones are  $GL(r, 2), n = 2^r - 1$ ;  $AGL(r - 1, q), n = q^{r-1}$ , and two more groups on 15 and 16 points, respectively.

In general  $GL(r, q)$  is geometric of rank  $r$  with  $l_0 = 0, l_1 = q - 1, n = q^r - 1$ .

In [4] the procedure of *blow-up* is described which provides new examples from existing ones. As a matter of fact in [4] only the case  $d = 2$  is considered but the general cases can be treated similarly. Another class of geometric groups (those with  $r = 2, l_0 = 0$ ) was considered in [1]. They act as sharply edge-transitive group of automorphisms of some digraphs.

## 2. SOME BASIC PROPERTIES OF INJECTION GEOMETRIES

PROPOSITION 2.1. Let  $\mathcal{A} = \mathcal{A}_0 \cup \dots \cup \mathcal{A}_r$  be an injection geometry of rank  $r$ .

- (i) If  $A, B \in \mathcal{A}_i$  and  $A \leq B$ , then  $A = B$ ;
- (ii)  $|\mathcal{A}_0| = 1$ ;

(iii) Any element of  $\mathcal{A} - \mathcal{A}_0$  is the least upper bound (in  $\mathcal{A}$ ) of elements in  $\mathcal{A}_1$ .

*Proof.* (i) Suppose  $A \neq B$ ,  $i < r$ . Then  $B - A$  is nonempty, we may take  $\mathbf{b} \in B - A$ . Thus by the axiom F(iii) there is a unique  $C \in \mathcal{A}_{i+1}$  which covers  $A$  and  $\mathbf{b}$ . Again by F(iii),  $C \leq B$  holds—in contradiction with F(ii). The argument is similar for  $i = r$ .

(ii) Let  $A$  be the intersection of all elements of  $\mathcal{A}$ . By F(ii)  $A \in \mathcal{A}_0$ , and by the definition of  $A$ ,  $A \subseteq B$  holds for all  $B \in \mathcal{A}_0$ . Thus part (i) of the proposition implies  $A = B$ .

(iii) Choose  $A \in \mathcal{A}$  and let  $A' \in \mathcal{A}$  be maximal subject to the conditions that  $A' \leq A$  and  $A'$  is the last upper bound of elements of  $\mathcal{A}_1$ . Suppose for contradiction  $A' \neq A$  and let  $a$  be an element of  $A - A'$ . Then  $\{a\}$  is disjoint from the unique element of  $\mathcal{A}_0$  thus it is covered by a unique element  $B \in \mathcal{A}_1$ . As  $A \cap B \notin \mathcal{A}_0$ ,  $A \cap B \in \mathcal{A}_1$  and thus  $B \leq A$  holds. Then  $A'$  and  $B$  have an upper bound in  $\mathcal{A}$  which is strictly larger than  $A'$ , a contradiction. ■

For  $A, B \in \mathcal{A}$ , and  $A \subset B$  we define the interval  $[A, B]$  by  $[A, B] = \{C \in \mathcal{A} : A \leq C \leq B\}$ .

The next proposition is obvious.

**PROPOSITION 2.2.** For  $A \subset B$ ,  $A, B \in \mathcal{A}$  the set-system  $[A, B]$  is the family of flats of a matroid on  $B$ . ■

**PROPOSITION 2.3.** Suppose  $\mathcal{A}$  is an injection geometry of rank  $r$   $A \in \mathcal{A}_i$ ,  $B \in \mathcal{A}_j$ ,  $A \cap B \in \mathcal{A}_t$ ,  $i + j - t \leq r$ , and  $A \cup B$  is injective. Then there exists  $D \in \mathcal{A}_l$  for some  $l \leq i + j - t$  such that  $A \leq D$ ,  $B \leq D$ .

*Proof.* We apply induction on  $i - t$ . If  $i = t$  then  $A \cap B \leq A$  implies  $A \leq B$ , i.e.,  $A \cup B = B$  is an upper bound for  $A$  and  $B$ . Now suppose  $a \in A - B$  and let  $B'$  be the unique upper bound of  $B$  and  $a$  in  $\mathcal{A}_{j+1}$ . Also let  $C'$  be the unique upper bound of  $A \cap B$  and  $a$  in  $\mathcal{A}_{i+1}$ . Define  $t'$  by  $A \cap B' \in \mathcal{A}_{t'+1}$ . By F(iii) we have  $C' \leq A \cap B'$ . Thus  $t \leq t'$ . Consequently the least upper bound  $D$  of  $A$  and  $B'$  in  $\mathcal{A}$  (which exists by the induction hypothesis) satisfies  $A \cup B' \subseteq D$  and  $D \in \mathcal{A}_k$ , for some  $k' \leq i + j + 1 - (t' + 1) \leq i + j - t$  as desired. ■

### 3. RANK FUNCTION IN INJECTION GEOMETRIES

Let us define the rank function  $r_{\mathcal{A}}: 2^X \rightarrow \{0, \dots, r\} \cup \{\infty\}$  for each injection geometry  $\mathcal{A}$  of rank  $r$  by  $r_{\mathcal{A}}(F) = \min \{i: F \subset A \in \mathcal{A}_i\}$  for  $F \subset X$  ( $r_{\mathcal{A}}(F) = \infty$  is understood if  $F$  is not contained in any  $A \in \mathcal{A}$ ). Usually we omit the subscript  $\mathcal{A}$  and write simply  $r(F)$ .

The next proposition collects important properties of the rank function  $r(F)$ .

**PROPOSITION 3.1.**

- R(i)  $r(F)$  is a nonnegative integer or  $\infty$ .
- R(ii)  $r(\emptyset) = 0$ ,  $r(x) \leq 1$  or  $r(x) = \infty$  for all  $x \in X$ .
- R(iii)  $r(F)$  is monotone ( $A \subset B$  implies  $r(A) \leq r(B)$ ).
- R(iv) If  $F$  is not injective then  $r(F) = \infty$  holds.
- R(v) Suppose  $A \subset B \cap C$ ,  $r(A) = r(B)$ , and  $r(C) < \infty$ . Then  $r(B \cup C) < \infty$ .
- R(vi) If  $F, G \subset X$  and  $r(F \cup G) < \infty$  then  $r(F) + r(G) \geq r(F \cap G) + r(F \cup G)$  holds (submodularity).

*Proof.* Only (v) and (vi) need a proof.

*Proof of (v).* Set  $r(A) = i$  and suppose  $A \subset F, B \subset G, F, G \in \mathcal{A}_i$ . Now  $A \subset B$  implies  $A \subset F \cap G$ . Using  $r(A) = i$  and Proposition 2.1(i), we infer  $F = G$ . Choose  $H \in \mathcal{A}$  satisfying  $C \subset H$ , this is possible because of  $r(C) < \infty$ . Then  $A \subset B \cap C \subset F \cap H$  implies again  $F = F \cap H$ , i.e.,  $F \subseteq H$ . Thus  $B \subset H$ . Hence  $B \cup C \subset H$ , proving  $r(B \cup C) < \infty$ . ■

*Proof of (vi).* Suppose  $r(F \cup G) = k, r(F) = i, r(G) = j, r(F \cap G) = t$ , and let  $D, A, B, C$  be corresponding minimal sets in  $\mathcal{A}$  containing them. As  $F \subseteq A, G \subseteq B, F \cup G \subseteq D$ , we have  $F \subseteq A \cap D, G \subseteq B \cap D$ . As  $A \cap D, B \cap D \in \mathcal{A}, A \subseteq D, B \subseteq D$ . By the minimality of  $D$ ,  $D$  is the least upper bound of  $A$  and  $B$ , thus by Proposition 2.3, we have

$$\begin{aligned} k &= \text{rank } D \leq r(A) + r(B) - r(A \cap B) \\ &= i + j - r(A \cap B) \leq i + j - r(C) = i + j - t. \quad \blacksquare \end{aligned}$$

*Remark 3.2.* If  $r(F)$  is finite, then  $r(G)$  is finite for all  $G \subseteq F$  in view of (iv). Thus this proposition shows that  $r(G)$  defines a matroid in the usual way, moreover this matroid is the same as  $[\emptyset, F]$ .

**THEOREM 3.3.** *Suppose  $r$  is a function on  $X$  satisfying R(i–vi) of Proposition 3.1, moreover for some positive integer  $k$  we have that  $r(F)$  is finite for every injective subset of size  $k$  of  $X$ . Define  $\mathcal{A}_i = \{A \subset X: r(A) = i, A \not\subseteq B \text{ implies } r(B) > i\}, 0 \leq i \leq k$ . Then  $\mathcal{A} = \mathcal{A}_0 \cup \dots \cup \mathcal{A}_k$  is an injection geometry of rank  $k$  whose rank function coincides with  $r$  for all  $F \subset X$  with  $r(F) \leq k$ .*

*Proof of Theorem 3.3.* We have to prove that F(i–iii) hold. F(ii) holds trivially. Let us prove F(i). Suppose  $A \in \mathcal{A}_i, B \in \mathcal{A}_j$ . As  $A \cap B \subset A$ ,

$r(A \cap B) = t$  is finite. So for some  $C \in \mathcal{A}_i$ , we have  $A \cap B \subseteq C$ . Suppose for contradiction that the inequality is strict and say  $A \not\subseteq C$ .

Since  $r(A \cap B) = r(C)$ , R(v) implies that  $r(A \cup C)$  is finite. By definition  $r(A \cup C) > r(A)$ , yielding  $r(A \cup C) + r(A \cap C) \geq r(A \cup C) + r(A \cap B) > r(A) + r(C)$ , contradicting (vi). Now we prove F(iii).

Suppose  $r(A) = i < k$ . Then the matroid  $[\emptyset, A]$  has rank  $i$ , consequently it has a basis  $B = \{x_1, \dots, x_i\}$ . Suppose  $A \cup \{b\}$  is injective, then  $B \cup \{b\}$  is injective of size at most  $k$ , thus  $r(B \cup \{b\}) < \infty$ . R(vi) yields  $i \leq r(B \cup \{b\}) \leq i + 1$ . If  $r(B \cup \{b\}) = i$ , then R(v) yields  $r(A \cup \{b\}) < \infty$ , and by R(vi)  $r(A \cup \{b\}) \leq i$ , contradicting the maximality of  $A$ . Thus  $r(B \cup \{b\}) = i + 1$ . Let  $H$  be a maximal set of rank  $i + 1$  containing  $B \cup \{b\}$ . Then  $H \in \mathcal{A}$ , consequently  $A \cap H \in \mathcal{A}$ . We infer from  $B \subset A \cap H \subset A$  that  $A \cap H = A$ , i.e.,  $A \subset H$ . This means  $H \in \mathcal{A}_{i+1}$ ,  $A \cup \{b\} \subset H$ . Suppose  $A \cup \{b\} \subset H' \in \mathcal{A}$ . Then  $H \cap H' \in \mathcal{A}$ ,  $A \cup \{b\} \subseteq H \cap H'$ , yielding  $i + 1 = r(A \cup \{b\}) \leq r(H \cap H') \leq r(H) = i + 1$ , i.e.,  $H \cap H' \in \mathcal{A}_{i+1}$ . Since  $H, H \cap H' \in \mathcal{A}_{i+1}$ ,  $H \cap H' \subset H$ , the definition of  $\mathcal{A}_{i+1}$  implies  $H = H \cap H'$ , i.e.,  $H \subset H'$ . ■

#### 4. INDEPENDENCE IN INJECTION GEOMETRIES

Suppose  $X \subset N^d$  and  $r: 2^X \rightarrow N \cup \{\infty\}$  is a rank function verifying R(i-vi). Call a subset  $I \subset X$  *independent* if  $r(I) = |I|$  (in particular,  $r(I) < \infty$ ), and *critical* if  $r(I) = \infty$  but  $r(J) < \infty$  for all proper subsets  $J$  of  $I$ . Let  $\mathcal{F}(\mathcal{C})$  be the collection of all independent (critical) subsets of  $X$ , respectively. Set  $\mathcal{C}^* = \{D \subset X: \exists C \in \mathcal{C}, C \subset D\}$ , i.e.,  $D \in \mathcal{C}^*$  iff  $r(D) = \infty$ .

##### PROPOSITION 4.1.

I(i)  $\mathcal{F}$  is a nonempty complex of injective subsets of  $X$  (i.e.,  $\emptyset \in \mathcal{F}$ , and  $A \subset B \in \mathcal{F}$  implies  $A \in \mathcal{F}$ ), if  $\{x, y\}$  is not injective then  $\{x, y\} \in \mathcal{C}^*$ .

I(ii) If  $A \subset C \notin \mathcal{C}^*$ ,  $A \in \mathcal{F}$ ,  $C \cup \{x\} \in \mathcal{C}^*$  then  $(A \cup \{x\}) \in \mathcal{C}^* \cup \mathcal{F}$ .

I(iii) If  $A, B \in \mathcal{F}$ ,  $|A| < |B|$ , and  $r(A \cup B) < \infty$  then there exists  $b \in B$  such that  $(A \cup \{b\}) \in \mathcal{F}$ .

*Proof.* (i) is evident by R(iv) and the submodularity of  $r$ . To prove (ii) set  $B = A \cup \{x\}$  and suppose for contradiction  $r(B) = r(A) < \infty$ . Now  $A, B, C$  verify the assumptions of R(v). Thus  $r(B \cup C) = r(C \cup \{x\}) < \infty$ , contradicting  $(C \cup \{x\}) \in \mathcal{C}^*$ . The validity of (iii) follows from the fact that  $[\emptyset, A \cup B]$  is a matroid. ■

PROPOSITION 4.2. If  $B \not\subseteq D \in \mathcal{C}$  then  $B \in \mathcal{F}$ .

*Proof.* Suppose for contradiction  $r(B) < |B| < \infty$  and let  $A \in \mathcal{F}$  satisfy  $A \subset B, r(A) = r(B)$ . Choose  $x \in B - A$  and define  $C = B - \{x\}$ . The application of I(ii) yields  $B \in \mathcal{E}^*$ , a contradiction. ■

Given the families of independent and critical subsets one can define a rank function in the following way. Set  $r(F) = \infty$  if  $C \subset F$  holds for some  $C \in \mathcal{E}$ . Otherwise set  $r(F) = \max \{|I| : I \subset F, I \in \mathcal{F}\}$ .

**THEOREM 4.3.** *Suppose  $\mathcal{F}, \mathcal{E} \subset 2^X$  verify I(i-iii),  $\mathcal{F} \cap \mathcal{E} = \emptyset$ , and the rank function  $r$  is defined as above. Then  $r$  fulfills R(i-vi).*

*Proof.* R(i-iv) hold trivially. To prove R(vi) just note that if  $r(F \cup G) < \infty$ , then I(i) and I(iii) give that  $[\emptyset, F \cup G]$  is a matroid, yielding the submodularity.

Finally we prove R(v). Suppose  $A, B, C$  is a counterexample in which  $|B \cup C|$  is minimal. We may suppose  $A \in \mathcal{F}$ . In fact, otherwise just replace  $A$  by  $A' \subset A, A' \in \mathcal{F}$ , and  $r(A') = r(A) = r(C)$ . Suppose  $x \in (B - C)$ . The minimality of  $|B \cup C|$  implies that  $A, B - \{x\}, C$  is not a counterexample, i.e.,  $(B \cup C - \{x\}) \notin \mathcal{E}^*$ . Thus we may apply I(ii) to  $A' = A, B' = A \cup \{x\}, C' = B \cup C - \{x\}$ . We infer  $A \cup \{x\} \in \mathcal{E}^*$ , in contradiction with  $r(B) < \infty$ . ■

### 5. INJECTION DESIGNS AND EXTREMAL PROBLEMS

Here we suppose  $X = N^d$ . The injection geometry  $\mathcal{A} = \mathcal{A}_0 \cup \dots \cup \mathcal{A}_r$  is called an *injection design* of type  $\{l_0, \dots, l_r\}$  if  $0 \leq l_0 < l_1 < \dots < l_r$ , and for all  $A \in \mathcal{A}_i, |A| = l_i$  holds,  $0 \leq i \leq r$ .

In the case  $d = 1$  an injection design is just a matroid design, i.e., a matroid in which flats of the same rank have the same size (cf. [11]). Clearly if  $\mathcal{A} = \mathcal{A}_0 \cup \dots \cup \mathcal{A}_r$  is an injection design then so is  $\mathcal{A}_0 \cup \dots \cup \mathcal{A}_i, 1 \leq i < r$ , too. It is called the truncation of  $\mathcal{A}$ . Among the examples given in the first section only Example 1.2 is not an injection design.

An easy computation and induction on  $i$  gives

$$|\mathcal{A}_i| = \prod_{j=0}^{i-1} \frac{(n - l_j)^d}{l_i - l_j}. \tag{1}$$

Note that F(i) implies

$$|A \cap A'| \in \{l_0, \dots, l_{r-1}\} \quad \text{for } A \neq A' \in \mathcal{A}_r. \tag{2}$$

If  $\mathcal{F}$  is a family of  $l_r$ -element injective subsets of  $N^d$  satisfying (2) then  $\mathcal{F}$  is called an  $\{l_0, \dots, l_r\}$ -system.

For  $d = 1$  it was proved in [6] that for  $n > n_0(l_r)$

$$|\mathcal{F}| \leq \prod_{0 \leq i < r} \frac{n - l_i}{l_r - l_i}, \quad (3)$$

moreover,

$$|\mathcal{F}| < c(l_r)n^{r-1} \quad \text{unless} \quad \left| \bigcap \mathcal{F} \right| = l_0 \quad (4)$$

Here we use (4) to prove the following generalization of (3).

**THEOREM 5.1.** *For an arbitrary  $\{l_0, \dots, l_r\}$ -system  $F$  on  $N^d$*

$$|\mathcal{F}| \leq \prod_{0 \leq j < r} \frac{(n - l_j)^d}{l_r - l_j} \quad \text{holds provided } n > n_0(l_r). \quad (5)$$

Moreover, in case of equality  $\mathcal{F}$  is an injection design.

*Proof.* We prove (5) by induction on  $l_r$ . Suppose first  $l_0 = 0$ . For  $x \in N^d$  define  $\mathcal{F}(x) = \{F - \{x\} : x \in F \in \mathcal{F}\}$ . Denote by  $Y$  the set of points of  $N^d$  which together with  $x$  form an injective set. That is,  $Y$  is obtained from  $N^d$  by omitting the points of the hyperplanes through  $x$ . Thus  $Y$  can be considered as  $(N - 1)^d$  and  $\mathcal{F}(x)$  is an  $\{l_1 - 1, \dots, l_r - 1\}$ -system on  $Y$ . By induction we have

$$|\mathcal{F}(x)| \leq \prod_{1 \leq j < r} \frac{((n - 1) - (l_j - 1))^d}{(l_r - 1) - (l_j - 1)} = \prod_{1 \leq j < r} \frac{(n - l_j)^d}{l_r - l_j}. \quad (6)$$

Summing (6) over all  $x \in N^d$ , we infer

$$l_r |\mathcal{F}| = \sum_{x \in N^d} |\mathcal{F}(x)| \leq n^d \prod_{1 \leq j < r} \frac{(n - l_j)^d}{l_r - l_j},$$

which is equivalent to (5).

Suppose next  $l_0 > 0$ . If  $|\bigcap \mathcal{F}| < l_0$ , then (4) implies  $|\mathcal{F}| < c(l_r)(n^d)^{r-1}$ , yielding (5) for  $n > n_0(l_r)$ .

Thus we may assume that there is an  $A \subset N^d$ ,  $|A| = l_0$  such that  $A \subset F$  holds for all  $F \in \mathcal{F}$ . Therefore  $A$  is injective. Thus  $\mathcal{F}(A) \stackrel{\text{def}}{=} \{F - A : F \in \mathcal{F}\}$  can be considered as a  $\{0, l_1 - l_0, \dots, l_r - l_0\}$ -system on  $(N - l_0)^d$ , and (5) follows by induction. The characterization of equality is merely technical, it is left to the reader. ■

For  $n > n_0(l_r)$ , (5) implies that if  $\mathcal{A} = \mathcal{A}_0 \cup \dots \cup \mathcal{A}_r$  is an injection design with flats of size  $l_0, \dots, l_r$  then  $\mathcal{A}_r$  is not extendible as an  $\{l_0, \dots, l_r\}$ -system. Our next result shows that if  $d \geq 2$  then this is true even without the assumption  $n > n_0(l_r)$ . In [8] the same is conjectured for  $d = 1$  and is proved for all known examples of matroid designs.

**PROPOSITION 5.2.** *If  $d \geq 2$  and  $\mathcal{A}_r$  is the family of hyperflats of an injection design  $\mathcal{A}$  of type  $(l_0, \dots, l_r)$  and  $B \notin \mathcal{A}$ ,  $|B| = l_r$ ,  $B$  is injective, then there exists  $A \in \mathcal{A}_r$  with  $|B \cap A_r| \notin \{l_0, \dots, l_r\}$ .*

*Proof.* Apply induction on  $l_r$ . First we settle the case  $l_0 = 0, r = 1$ . Then  $\mathcal{A}_r$  is a partition of  $N^d$ ,  $\mathcal{A}_0 = \{\emptyset\}$ . Thus  $B \neq \emptyset$ . Consequently  $B \cap A \neq \emptyset$  for some  $A \in \mathcal{A}_r$ . Now  $B \notin \mathcal{A}_r$  implies  $0 < |B \cap A| < l_1$ , as desired.

In the general case suppose that  $B$  is a counterexample. Assume first that  $|A \cap B| \in \{l_0, \dots, l_r\}$  holds for all  $A \in \mathcal{A}$ . If  $l_0 > 0$  and  $A_0 \in \mathcal{A}_0$ , then  $A_0 \subset B$  follows. Consider  $\mathcal{A}(A_0) = \{A - A_0 : A \in \mathcal{A}\}$  and  $B - A_0$ . Then the induction hypothesis together with  $|B \cap A| = |(B - A_0) \cap (A - A_0)| + l_0$  yields the contradiction.

Thus there exists a maximal  $i, 0 \leq i < r$  and  $A \in \mathcal{A}_i$  so that  $|A \cap B| \notin \{l_0, \dots, l_r\}$  holds. Let  $A_1, \dots, A_m$  be the collection of flats of rank  $i + 1$  in  $\mathcal{A}$  which contain  $A$ . Since  $\mathcal{A}$  is an injection design,  $m = (n - l_i)^d / (l_{i+1} - l_i)$  and by the maximality of  $i$ ,  $B$  should intersect all of the pairwise disjoint sets  $A_j - A, 1 \leq j \leq m$ , which form an injection design of the type  $\{0, l_{i+1} - l_i\}$  on some  $Y$  of the form  $(N - l_i)^d$ . Since  $B \cap Y \stackrel{\text{def}}{=} B_0$  is injective,  $|B_0| \leq n - l_i$ . We infer  $n - l_i \geq m = (n - l_i)^d / (l_{i+1} - l_i)$  which is possible only if  $d = 2, n = l_{i+1}$ , and  $|B_0| = n - l_i$ . However, this implies  $|B - Y| \geq l_i$ , i.e., by injectivity  $A \subset B$ , contradicting the choice of  $A$ . ■

## 6. REPRESENTATION OF INJECTION GEOMETRIES OVER FIELDS

Suppose  $m \geq r > 1$  and  $V_1, \dots, V_d$  are disjoint copies of the  $m$ -dimensional vector space over a fixed field  $K$  ( $K$  may be infinite). Set  $V = V_1 \oplus V_2 \oplus \dots \oplus V_d$ . For a subspace  $W$  of  $V$  let  $\pi_i(W)$  be its canonical projection onto  $V_i$ . The subspace  $W$  is called *transversal* if  $\dim \pi_i(W) = \dim W$  holds for  $1 \leq i \leq d$ .

It is easy to see that for  $r \leq m$  the collection of all transversal subspaces of dimension at most  $r$  is an injection geometry of rank  $r$ .

For a subset  $A$  of  $V$  let  $\langle A \rangle$  denote the smallest subspace containing it. Clearly  $r(A) = \dim \langle A \rangle$  if  $\langle A \rangle$  is transversal and  $\infty$  otherwise.

Suppose  $\mathcal{A}$  is an injection geometry on  $X$ . It is called *representable* over the field  $K$  if for  $m$  sufficiently large (it may be much larger than  $r$ ) one can find a subset  $Y \subset V$  and a 1-1 correspondence  $\varphi$  between the elements of  $X$  and  $Y$  so that  $r(A) = r(\varphi(A))$  holds for all  $A \subset X$ .

Note that for  $d = 1$  we get back the usual notion of matroids representable over  $K$ .

## 7. CONCLUDING REMARKS

Instead of all injective subsets of  $X$ , we could have considered an arbitrary hereditary family (complex)  $\mathcal{F}$  of subsets of  $X$  in the axioms. The objects obtained in this way can be called  $\mathcal{F}$ -geometries or *squashed geometries*. The equivalence of the different systems of axioms can be proved in the same way. For example, if  $X_1 \cup X_2 \cup \dots \cup X_r$  is a partition of  $X$  and  $\mathcal{F}$  is the family of all partial transversals,  $\mathcal{F} = \{F \subset X: |F \cap X_i| \leq 1\}$ , we obtain *transversal geometries*, which were first considered in a slightly less general form in [7].

In continuation of the present work, in [14] other equivalent systems of axioms (base, circuit, closure) for  $\mathcal{F}$ -geometries are given.

In a forthcoming paper we consider various constructions of *squashed designs*, in particular, injection designs. Recently much work has been done in examination of structures related to matroids. Let us mention, as an example, greedoids which already have a long literature (cf. Korte & Lovász [10], Björner [2]).

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