

# ON KRUSKAL'S CASCADES AND COUNTING CONTAINMENTS IN A SET OF SUBSETS

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*Abstract.* Let  $\mathcal{F}$  be a set of  $m$  subsets of  $X = \{1, 2, \dots, n\}$ . We study the maximum number  $\lambda$  of containments  $Y \subset Z$  with  $Y, Z \in \mathcal{F}$ . **THEOREM 9.**  $\lambda = (1 + o(1))\binom{m}{2}$ , if, and only if,  $m^{1/n} \rightarrow 1$ . When  $n$  is large and members of  $\mathcal{F}$  have cardinality  $k$  or  $k-1$  we determine  $\lambda$ . For this we bound  $(\Delta N)/N$  where  $\Delta N$  is the shadow of Kruskal's  $k$ -cascade for the integer  $N$ . Roughly, if  $m \sim N + \Delta N$ , then  $\lambda \sim kN$  with infinitely many cases of equality. A by-product is Theorem 7 of LYM posets.

§1. *Introduction.* Let  $\mathcal{F}$  be a set of  $m$  subsets of the set  $X = \{1, 2, \dots, n\}$ . Kleitman determined the minimum number of pairs  $Y, Z$  in  $\mathcal{F}$  with  $Y \subset Z$  in [9]. We became interested in finding the maximum number. Obviously there can be at most  $\binom{m}{2}$  such pairs. In Theorem 9 we show that there can be  $(1 + o(1))\binom{m}{2}$  pairs, if, and only if,  $m^{1/n} \rightarrow 1$ . In Section 5 we deal with the case in which  $Y \in \mathcal{F}$  implies that the cardinality  $|Y|$  is  $k$  or  $k-1$ . For this case we need to introduce Kruskal's cascades in Section 2, and bound their growth in Section 3. An application to LYM posets is Theorem 7.

§2. *Kruskal's cascades.* The facts in this section were discovered in 1963 by Kruskal [11] and in 1966 independently by Harper [6, 7] and Katona [8]. A simple proof is in [2], and some years ago the author observed that virtually the same proof shows that the shadow of the shift of the family is in fact a subset of the shift of the shadow of the family. (See also [3].)

Let  $k$  be a fixed member of the set  $\mathbb{Z}$  of positive integers. Then each  $N \in \mathbb{Z}$  has one and only one representation

$$N = \binom{a_k}{k} + \binom{a_{k-1}}{k-1} + \dots + \binom{a_t}{t}, \quad (1)$$

with

$$a_k > a_{k-1} > \dots > a_t \geq t \geq 1. \quad (2)$$

This representation (1) is called the  $k$ -cascade for  $N$ . Let

$$\Delta N = \Delta_k N = \binom{a_k}{k-1} + \binom{a_{k-1}}{k-2} + \dots + \binom{a_t}{t-1}, \quad (3)$$

and note that (3) is the  $(k-1)$ -cascade for  $\Delta N$ , if, and only if,  $t > 1$ . When  $t = 1$  the binomial coefficient identity

$$\binom{r}{s} = \binom{r-1}{s} + \binom{r-1}{s-1}, \quad (4)$$

for  $0 \leq s \leq r$  but not  $0 = s = r$ , can be used from the right on (3) a number of times to turn (3) into the  $(k-1)$ -cascade for  $\Delta N$ .

For  $h \in \mathbb{Z}$  let  $\mathcal{I}(h)$  be the set of all subsets of cardinality  $h$  of  $\mathbb{Z}$ . If  $\mathcal{G} \subset \mathcal{I}(k)$ , let  $\Delta\mathcal{G}$  be the set of all maximal proper subsets of members of  $\mathcal{G}$  so that  $\Delta\mathcal{G} \subset \mathcal{I}(k-1)$ . The most important fact is that  $|\Delta\mathcal{G}| \geq \Delta|\mathcal{G}|$ . We order the members of each  $\mathcal{I}(h)$  by putting  $Y < Z$ , if  $\max\{Y \setminus Z\} < \max\{Z \setminus Y\}$ . When  $\mathcal{G}$  is the first  $N$  sets in this ordering of  $\mathcal{I}(k)$ , then  $\Delta\mathcal{G}$  is precisely the first  $\Delta N$  sets of  $\mathcal{I}(k-1)$ .

§3. The function  $f_k(N) = (\Delta_k N)/N$ . The trivial identity

$$\binom{r}{s} = \binom{r}{s-1} \frac{r-s+1}{s} \quad \text{for } 0 < s \leq r,$$

will often be used. As always  $k$  is a fixed integer in  $\mathbb{Z}$ . For  $N \in \mathbb{Z}$  we define

$$f(N) = f_k(N) = (\Delta N)/N = (\Delta_k N)/N.$$

LEMMA 1. If  $1 \leq k < a$  and  $\binom{a}{k} \leq N$ , then

$$f(N) < \frac{k}{a-k} = f\left(\binom{a-1}{k}\right).$$

*Proof.* Consider the  $k$ -cascade (1) for  $N$ . We must have  $a_k \geq a$ . First we suppose that  $a_k = a$ . Then (2) implies that

$$a-k+i \geq a_i \geq i, \quad \text{for } k-1 \geq i \geq t.$$

We must prove that  $\Omega > 0$  where

$$\Omega = kN - (a-k)\Delta N.$$

Now two terms of  $\Omega$  are

$$k \binom{a}{k} - (a-k) \binom{a}{k-1} = \binom{a}{k-1} = \binom{a-1}{k-1} + \binom{a-2}{k-2} + \dots + \binom{a-k+t}{t} + I = \Psi$$

say, where

$$I = \binom{a-k+t-1}{t-1} + \binom{a-k+t-2}{t-2} + \dots + \binom{a-k}{0} \geq \binom{a-k}{0} > 0.$$

If  $k = t$ , then  $\Omega = \Psi = I > 0$ , as required, so assume  $k > t$ .

For  $k-1 \geq i \geq t$  and  $p \geq k$ , define  $I_i(p)$  by

$$I_i(p) = \binom{p-k+i}{i} + k \binom{a_i}{i} - (p-k) \binom{a_i}{i-1}.$$

Then

$$\Omega = I_{k-1}(a) + I_{k-2}(a) + \dots + I_1(a) + I,$$

and to prove that  $\Omega > 0$  we shall show that

$$I_i(a) \geq I_i(a-1) \geq \dots \geq I_i(a_i - i + k) > 0$$

for each  $i$ . If  $p - k + i > a_i$ , then (4) says that

$$\binom{p-k+i}{i} = \binom{p-1-k+i}{i} + \binom{p-1-k+i}{i-1}.$$

Since

$$\binom{p-1-k+i}{i-1} \geq \binom{a_i}{i-1},$$

we see that  $I_i(p) \geq I_i(p-1)$ . It follows that  $I_i(a) \geq \dots \geq I_i(a_i - i + k)$ . Finally (5) gives

$$I_i(a_i - i + k) = \binom{a_i}{i-1} \{((k+1)(a_i - i + 1)/i) - (a_i - i)\} > 0$$

and the case  $a_k = a$  of the lemma is established.

The case  $a_k > a$  is now easy. If  $e = a_k$  then  $\binom{e}{k} \leq N$ , so by the case  $a_k = a$  we have  $f(N) < k/(e-k) < k/(a-k)$  and the lemma is proved.

Next we define three subsets of  $\mathbb{Z}, \mathbb{Z}^2$  namely  $\mathcal{L}$  for lower bound,  $\mathcal{U}$  for upper bound, and  $\mathcal{P}$  for pair of bounds.

$$\mathcal{L} = \{L : 1 \leq M \leq L \text{ implies } f(M) \geq f(L)\}.$$

$$\mathcal{U} = \{K : K < N \text{ implies } f(K) > f(N)\}.$$

$$\mathcal{P} = \{(P, Q) : 1 \leq M \leq P < Q \leq N \text{ implies } f(M) > f(N)\}.$$

That  $P < Q$  does not imply  $(P, Q) \in \mathcal{P}$  is shown by the case  $k = 3, P = 10, Q = 11$ .

LEMMA 2. *If  $1 \leq k < a$ , then  $\binom{a-1}{k} \in \mathcal{L}$ .*

*Proof.* Let  $1 \leq M \leq \binom{a-1}{k}$  and  $\mathcal{G}$  be the first  $M$  sets of  $\mathcal{S}(k)$  in the Kruskal ordering, so  $\Delta\mathcal{G}$  is the first  $\Delta M$  sets of  $\mathcal{S}(k-1)$ . Then the 1928 lemma of Sperner concerning the set of all subsets of  $\{1, 2, \dots, a-1\}$  says that  $(\Delta M)/M \geq \binom{a-1}{k-1}/\binom{a-1}{k}$  and this is just what Lemma 2 says.

LEMMA 3. *If  $1 \leq k < a$  then*

$$\left(\binom{a-1}{k}, \binom{a}{k}\right) \in \mathcal{P}.$$

This third lemma follows immediately from Lemmas 1 and 2.

Next we generalize Lemma 2.

LEMMA 4. *If the  $k$ -cascade (1) for  $N$  has the property*

$$(a_k + 1)/k \leq (a_{k-1} + 1)/(k-1) \leq \dots \leq (a_t + 1)/t, \quad (6)$$

then  $N \in \mathcal{L}$ .

*Proof.* Given  $M$  in  $1 \leq M \leq N$  we must show that  $f(M) \geq f(N)$ . Let the  $k$ -cascade for  $M$  be

$$M = \binom{b_k}{k} + \binom{b_{k-1}}{k-1} + \dots + \binom{b_u}{u},$$

$$b_k > b_{k-1} > \dots > b_u \geq u \geq 1.$$

Case  $k = t$ . Here the result is Lemma 2.

Case  $k = u$ . By (5) we have

$$f\left(\binom{k}{k}\right) = k > f\left(\binom{k+1}{k}\right) = k/2 > \dots > f\left(\binom{b_k}{k}\right).$$

Hence we shall assume that  $b_k = a_k$  and  $k > t$ . Then the inequality  $f(M) \geq f(N)$  is

$$\binom{a_k}{k-1} \left\{ \binom{a_k}{k} + \dots + \binom{a_t}{t} \right\} \geq \binom{a_k}{k} \left\{ \binom{a_k}{k-1} + \dots + \binom{a_t}{t-1} \right\}.$$

Using (5) we see that (6) says that

$$\binom{a_k}{k-1} \binom{a_i}{i} \geq \binom{a_k}{k} \binom{a_i}{i-1} \quad \text{for } k \geq i \geq t,$$

and summing over  $i$  gives the result for this case  $k = u$ .

Now we use induction on  $k$ . The case  $k = 1$  is trivial. So we assume that  $k > 1$ , and further that  $k > t, u$ . For convenience write

$$A = \Delta N, \quad B = \binom{a_k}{k-1}, \quad D = \binom{a_k}{k}, \quad E = \Delta M.$$

Thus  $D < N$  and applying the case  $k = u$  to  $D < N$  we find that  $f(D) \geq f(N)$ . Since  $M \leq N$ , we have  $b_k \leq a_k$ . If  $b_k < a_k$  then  $M < D$  and applying the case  $k = t$  to  $M < D$  shows that  $f(M) \geq f(D) \geq f(N)$ . Hence we shall now assume that  $b_k = a_k$ .

Next we use our induction hypothesis. We have  $1 \leq M - D \leq N - D$  and the part of (6) from  $k-1$  to  $t$  has not changed, so by induction  $f(M - D) \geq f(N - D)$ . In other words

$$\frac{E - B}{M - D} \geq \frac{A - B}{N - D}. \quad (7)$$

We define positive rational numbers  $\alpha, \beta$  by  $\alpha = BN/A = BM/\beta$ . Now  $f(D) \geq f(N)$  simply says that  $\alpha \geq D$ . Because  $M \leq N$ ,

$$1 - \frac{M - \alpha}{M - D} = \frac{\alpha - D}{M - D} \geq \frac{\alpha - D}{N - D} = 1 - \frac{N - \alpha}{N - D}.$$

Notice that  $M - D$  and  $N - D$  are positive. We cancel the ones and multiply by  $A/N$  on the right and by  $\beta/M = A/N$  on the left to obtain

$$\frac{A - B}{N - D} \geq \frac{\beta - B}{M - D}.$$

Hence (7) shows that  $E \geq \beta$ , or in other words that  $f(M) \geq f(N)$ , and the lemma follows.

LEMMA 5. *If the  $k$ -cascade (1) for  $N$  has property (6), then so too has the  $(k - 1)$ -cascade for  $\Delta N$ .*

*Proof.* If  $k = t$ , then property (6) holds vacuously for both  $N$  and  $\Delta N$ , so we assume  $k > t$ . If  $t > 1$  then (3) is the  $(k - 1)$ -cascade for  $\Delta N$ . Now  $k/(k - 1) < (k - 1)/(k - 2)$  and by (6) we have  $(a_k + 1)/(a_{k-1} + 1) \leq k/(k - 1)$  so  $(a_k + 1)/(k - 1) \leq (a_{k-1} + 1)/(k - 2)$ . It is now clear that  $\Delta N$  has property (6). When  $t = 1$  we must use (4) as described in Section 2, but we omit the detailed explanation.

LEMMA 6. *For  $2 \leq q \in \mathbb{Z}$  put*

$$K = K(q) = \binom{qk-1}{k} + \binom{q(k-1)-1}{k-1} + \binom{q(k-2)-1}{k-2} + \dots + \binom{q-1}{1},$$

*then  $K(q) \in \mathcal{U}$ .*

*Proof.* If  $K < N$  we must show that  $f(K) > f(N)$ . By using (5) for each binomial coefficient of  $K$ , we note that  $f(K) = 1/(q - 1)$ . Again we use the cascade (1) for  $N$ . The proof is by induction on  $k$  and the case  $k = 1$  is trivial.

*Case  $\binom{qk}{k} \leq N$ .* Here the result is Lemma 1.

*Case  $K < N < \binom{qk}{k}$ .* Here by the uniqueness of cascades we must have  $a_k = qk - 1$ . For convenience put  $F = \binom{qk-1}{k-1}$  and  $G = \binom{qk-1}{k}$ . Then we must show that

$$\frac{1}{q-1} > f(N) = \frac{F + \Delta_{k-1}(N - G)}{G + (N - G)},$$

but this is exactly the induction hypothesis that  $1/(q - 1) > f_{k-1}(N - G)$ , so the proof is complete.

Actually, answering a question of Erdős, the following was proved by Katona [8]. If  $k \geq 2$ , then  $K(2)$  is the largest number  $h$  such that for any  $Y_1, \dots, Y_h$  in  $\mathcal{I}(k)$  there are  $Z_1, \dots, Z_h$  in  $\mathcal{I}(k - 1)$  such that  $Z_i \subset Y_i$  for  $1 \leq i \leq h$ . The numbers  $K(2)$  have  $f = 1$  and were also used by Ahlswede and Katona in [1]. It would be interesting to know the sets  $\mathcal{L}, \mathcal{U}$  precisely. The condition for  $\mathcal{U}$  may be

$$\frac{a_k + 1}{k} \sim \frac{a_{k-1} + 1}{k-1} \sim \dots \sim \frac{a_1 + 1}{1}.$$

Lemmas 4 and 6 show that  $\{K(q) : 2 \leq q\} \subset \mathcal{L} \cap \mathcal{U}$  and we wonder if there is equality.

§4. *LYM Posets.* Suppose that the  $k$ -cascade (1) for  $N$  has property (6). Let  $T$  be the set consisting of the first  $N$  sets of  $\mathcal{A}(k)$ . Let  $\Lambda$  be the set of all subsets of members of  $T$ . We order members of  $\Lambda$  by inclusion to make it a poset. For  $0 \leq h \leq k$  put  $J(h) = \Lambda \cap \mathcal{A}(h)$ . By repeated use of Lemma 5 the  $h$ -cascade for  $|J(h)|$  has property (6) for  $0 < h \leq k$ . Choose arbitrarily  $0 < h \leq k$  and  $S \subset J(h)$ . Then the result of Kruskal says that  $\Delta_h(|S|) \leq |\Delta S|$ . Further Lemma 4 says that  $f_h(|S|) \geq f_h(|J(h)|)$ . Combining these two results we find what is sometimes called the generalized matching property, namely that

$$\frac{|J(h-1)|}{|J(h)|} \leq \frac{|\Delta S|}{|S|} \quad \text{for } 0 < h \leq k \text{ and } S \subset J(h).$$

In other words we have proved

**THEOREM 7.** *In the above notation  $\Lambda$  is a LYM posets.*

For literature on LYM posets see [4, 5, 10].

§5. *The number of edges in a bipartite graph.* Let  $k, m \in \mathbb{Z}$  be fixed. Consider a bipartite graph  $\Gamma$  with a set  $V$  of  $m$  vertices. We suppose that  $V = U \cup W$  where  $U \subset \mathcal{A}(k)$  and  $W \subset \mathcal{A}(k-1)$  and two vertices are joined by an edge, if, and only if, one is a proper subset of the other.

*Example 1.*  $k = 3, m = 23$ . Let  $U$  be the first  $11 = \binom{5}{3} + \binom{2}{2}$  sets of  $\mathcal{A}(3)$ . Let  $W = \Delta U$  so  $W$  is the first  $12 = \Delta(11) = \binom{5}{2} + \binom{2}{1}$  sets of  $\mathcal{A}(2)$ . Here  $\Gamma$  has 33 edges.

*Example 2.*  $k = 3, m = 23$ . Let  $U, W$  be the first 12, 11 sets of  $\mathcal{A}(3), \mathcal{A}(2)$  respectively. Here  $\Gamma$  has 34 edges.

We study the maximum attainable number  $\mu(k, m)$  of edges in  $\Gamma$  over all choices of  $V$ . The reader can easily evaluate  $\mu(2, m)$ . Example 1 shows that, if  $m \geq N + \Delta N$ , then  $\mu(k, m) \geq kN$ . That this does not always give the best bound is shown by Example 2. However numbers  $N$  with property (6), and which therefore lie in  $\mathcal{L}$ , are not too far apart. Hence the theorem which we next present shows that the bound  $kN$  for  $\mu$  from Example 1 is of the correct asymptotic form.

**THEOREM 8.** *If*

$$\binom{k^2+k}{k} \leq N \in \mathcal{L} \quad \text{and} \quad m = N + \Delta_k N,$$

*then*  $\mu(k, m) = kN$ .

*Proof.* Let the number of vertices in  $U$  of degree  $k$  be  $r$ , and the number of other vertices in  $U$  be  $s$ , so  $|U| = r+s$ . Let  $e$  be the number of edges on  $U$  so  $e \leq kr + (k-1)s$ . If  $r+s < N$ , then  $e < kN$  so we assume  $N \leq r+s$ .

Case  $N < r$ . Clearly  $\Delta N \leq \Delta r$  and by Kruskal's theorem  $\Delta r \leq |W| = m - r - s$ . Hence

$$N + \Delta N < r + \Delta N \leq r + \Delta r \leq |U| + \Delta r \leq |U| + |W| = m = N + \Delta N,$$

showing that this case never arises.

Case  $r \leq N$ . Now  $N \in \mathcal{L}$  implies that  $\delta \leq \Delta r$  where  $\delta = rf(N)$ . As before  $\Delta r \leq m - r - s$ , so  $r + s \leq m - \delta$ . Hence

$$e \leq kr + (k - 1)s = k(r + s) - s \leq k(m - \delta) - s.$$

Using Lemma 1 with  $a = k^2 + k$  shows that  $f(N) < 1/k$ , and we assumed that  $N \leq r + s$ , and  $0 \leq s$ , so combining these gives

$$1 \leq (r + s)/N \leq (r/N) + (s/k\Delta N).$$

Multiplying this inequality by  $k\Delta N$  gives

$$k\Delta N \leq (kr\Delta N/N) + s = \delta k + s.$$

Adding  $kN$  to both sides gives

$$k(N + \Delta N) = km \leq \delta k + s + kN.$$

Hence  $e \leq k(m - \delta) - s \leq kN$ , proving the theorem.

§6. *Counting containments in a set of subsets.* If  $\mathcal{F}$  is a set of subsets of the set  $X = \{1, 2, \dots, n\}$ , we let  $v(\mathcal{F})$  be the number of containments in  $\mathcal{F}$ , so

$$v(\mathcal{F}) = |\{(Y, Z) : Y, Z \in \mathcal{F}, Y \subset Z, Y \neq Z\}|.$$

For  $1 \leq m \leq 2^n$  we study the function  $\lambda(m, n)$  defined as the maximum value of  $v(\mathcal{F})$  over all  $\mathcal{F}$  of cardinality  $|\mathcal{F}| = m$ . Trivially

$$\lambda(m, n) \leq \binom{m}{2},$$

and we can have equality for  $1 \leq m \leq n + 1$  with  $\mathcal{F}$  a chain. Also it is not hard to see that

$$\lambda(2^n, n) = 3^n - 2^n.$$

Usually we think of  $m$  as a function  $m(n)$  of  $n$ .

*Example 3.* Let  $n = pq$  and  $X = X_1 \cup \dots \cup X_p$  where  $X_1 = \{1, 2, \dots, q\}$ ,  $X_2 = \{q + 1, q + 2, \dots, 2q\}$  and so on. Next let  $\mathcal{F}$  be  $\mathcal{F}_1 \cup \dots \cup \mathcal{F}_p$  where

$$\mathcal{F}_i = \{Y : X_1 \cup \dots \cup X_{i-1} \subset Y \subset X_1 \cup \dots \cup X_i\} \quad \text{for } 1 \leq i \leq p.$$

Then  $m = |\mathcal{F}| = p2^q - (p-1)$  and

$$v(\mathcal{F}) = p(3^q - 2^q) + \binom{p}{2} 2^{2q} - (p-1).$$

Hence as  $p, q \rightarrow \infty$  we have  $m^{1/n} \rightarrow 1$  and  $v(\mathcal{F}) = (1 + o(1))\binom{m}{2}$ .

This example gives half of

**THEOREM 9.**  $\lambda(m, n) = (1 + o(1))\binom{m}{2}$ , if, and only if,  $m^{1/n} \rightarrow 1$ .

*Example 4.* This comes from the case  $p = 2$  of Example 3, so  $n = 2q$ . For  $\mathcal{F}$  we take any  $\lfloor m/2 \rfloor$  and  $\lceil m/2 \rceil$  members of  $\mathcal{F}_1$  and  $\mathcal{F}_2$  respectively, other than  $X_1$ . Then  $|\mathcal{F}| = m \leq 2(2^q - 1)$  and  $v(\mathcal{F}) \geq \lfloor m/2 \rfloor \lceil m/2 \rceil$ . Thus we see that for  $c \leq \sqrt{2}$  we can have  $m \sim c^n$  and  $v(\mathcal{F}) \sim m^2/4$ . This leads us to make

**CONJECTURE 1.** If  $\sqrt{2} < c$  and  $m(n) > c^n$ , then  $\lambda(m, n) = o(m^2)$ .

In this connection we mention

**CONJECTURE 2.** (Erdős). If  $n = 2r$  and  $m = 2^{r+1}$  and

$$\pi(\mathcal{F}) = |\{Y, Z : Y, Z \in \mathcal{F}, Y \cap Z = \emptyset\}|,$$

then  $\pi(\mathcal{F})$  is asymptotically maximal when

$$\mathcal{F} = \{Y : Y \subset X, Y \cap W = \emptyset \text{ or } Y \cap (X \setminus W) = \emptyset\},$$

where  $W = \{1, 2, \dots, r\}$ .

Since  $Y \cap Z = \emptyset$ , if, and only if,  $Y \subset X \setminus Z$ , the conjectures raise a

**PROBLEM.** Given two sets  $\mathcal{G}, \mathcal{H}$  of subsets of  $X$  put

$$\pi(\mathcal{G}, \mathcal{H}) = |\{Y, Z : Y \in \mathcal{G}, Z \in \mathcal{H}, Y \cap Z = \emptyset\}|.$$

For fixed  $g, h \in \mathbb{Z}$  what is the maximum value of  $\pi(\mathcal{G}, \mathcal{H})$  over all  $\mathcal{G}, \mathcal{H}$  with  $|\mathcal{G}| = g$  and  $|\mathcal{H}| = h$ ?

*Proof of Theorem 9.* Our Example 3 showed that we can obtain the number of containments in the statement of the theorem, so now we show that we cannot obtain more.

The hypothesis  $m^{1/n} \rightarrow 1$  means that given  $c > 1$  there is an  $N = N(c)$  such that  $m = m(n) < c^n$  for  $n > N$ . Using Stirling's approximation for factorials one sees the following facts. For the given  $c$  there are integers  $L, M$  and a real number  $d$  in  $1 < d < c$  such that  $\binom{n}{n/L} < d^n$  for  $n > M$ .

Now let  $n > M, N$  and assume that  $\mathcal{F}$  is a set of  $m = \lfloor c^n \rfloor$  subsets of  $\{1, 2, \dots, n\}$ . For  $1 \leq i \leq L$  put

$$\mathcal{F}_i = \{Y : Y \in \mathcal{F}, n(i-1)/L < |Y| < ni/L\}.$$



In case the empty set  $\emptyset$  is in  $\mathcal{F}$  we ignore it. We proceed to get the crude bound  $o(c^{2n})$  for the number of containments in any one  $\mathcal{F}_i$ . Suppose that  $Z \in \mathcal{F}_i$ . If  $n(i-1)/L < j < |Z|$ , the number of subsets  $Y$  of  $X$  with  $Y \subset Z$  and  $|Y| = j$  is

$$\binom{|Y|}{j} = \binom{|Y|}{|Y|-j} < \binom{n}{|Y|-j} \leq \binom{n}{\lceil n/L \rceil} < d^n.$$

So the number of containments in  $\mathcal{F}_i$  is bounded by  $d^n$  times the number of choices for  $Z$  times the number of choices for  $j$ . The former number is at most  $c^n$  and the latter number is at most  $\lceil n/L \rceil$ . Hence we have the bound  $o(c^{2n})$ . Clearly the number of containments  $Y \subset Z$  where  $Y, Z$  can both lie in any of  $\mathcal{F}_1, \dots, \mathcal{F}_L$  is  $Lo(c^{2n}) = o(c^{2n})$ .

The above bound  $o(c^{2n})$  is much smaller than the crude bound  $(1 - (1/L))\binom{m}{2}$  for the number of containments  $Y \subset Z$  in  $\mathcal{F}$  where  $Y \in \mathcal{F}_i$  and  $Z \in \mathcal{F}_j$  but  $i < j$ . The number of such containments will certainly not exceed the number we should have under the following two assumptions, which produce the bound. We first assume that  $Y \in \mathcal{F}_i$  and  $Z \in \mathcal{F}_j$  and  $i < j$  always imply that  $Y \subset Z$ . Second we assume that all  $\mathcal{F}_i$  have  $\lfloor m/L \rfloor$  or  $\lceil m/L \rceil$  members. This ends the proof.

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