

ON THE DENSITY OF SOME SEQUENCES OF NUMBERS (II)

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The functions $f(m)$ and $\phi(m)$ are called additive and multiplicative respectively if they are defined for non-negative integers m , and if, for $(m_1, m_2) = 1$,

$$f(m_1 m_2) = f(m_1) + f(m_2),$$

$$\phi(m_1 m_2) = \phi(m_1) \phi(m_2).$$

In my paper "On the density of some sequences of numbers†" I proved the following

THEOREM. *Let the additive function $f(m)$ satisfy the following conditions :*

$$(1) f(m) \geq 0,$$

$$(2) f(p_1) \neq f(p_2) \text{ if } p_1, p_2 \text{ are different primes.}$$

Further let $N(f; c, d)$ denote the number of positive integers m not exceeding n , for which

$$c \leq f(m) \leq d,$$

where c, d are given constants; when $d = \infty$, write $N(f; c)$ for $N(f; c, \infty)$. Then $N(f; c)/n$ tends to a limit as $n \rightarrow \infty$.

I shall now prove that condition (2) is superfluous. Just as in (I), it is sufficient to consider the case when f is such that $f(p) = f(p^\alpha)$, for any positive integer α . I use throughout the notation of (I).

The case in which $\sum_p \frac{f(p)}{p}$ diverges may be settled just as in (I).

Suppose then that $\sum_p \frac{f(p)}{p}$ is convergent.

First take the case in which $\sum_{f(p) \neq 0} \frac{1}{p}$ converges. Denote by a_1, a_2, \dots the integers composed of the primes p for which $f(p) \neq 0$. Evidently

$$\sum \frac{1}{a_i} = \prod_{f(p) \neq 0} \frac{1}{1 - (1/p)}$$

converges.

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† *Journal London Math. Soc.*, 10 (1935), 120-125.

Let us denote by $a(m)$ the greatest a_i contained in m . Since $\sum_{f(p) \neq 0} \frac{1}{p}$ converges, it easily follows from the sieve of Eratosthenes that the density of integers not divisible by any p , with $f(p) \neq 0$, is equal to $\prod_{f(p) \neq 0} \left(1 - \frac{1}{p}\right)$. Hence the density of the integers m for which $a(m) = a_i$ is

$$\frac{1}{a_i} \prod_{f(p) \neq 0} \left(1 - \frac{1}{p}\right).$$

Finally, since $\sum 1/a_i$ converges, the density of the integers for which $f(m) \geq c$ is equal to

$$\prod_{f(p) \neq 0} \left(1 - \frac{1}{p}\right) \sum_{f(a_i) \geq c} \frac{1}{a_i}.$$

And so the theorem holds.

Take next the case in which $\sum_{f(p) \neq 0} \frac{1}{p}$ diverges. The proof is similar to that of (I). We require the same lemmas, and nothing is to be altered except that Lemma 1 of (I) must be proved without using the hypothesis

$$f(p_1) \neq f(p_2).$$

LEMMA 1 of (I). We can find a positive number δ such that, for all sufficiently large n ,

$$N(f; c, c + \delta) < \epsilon n.$$

The new proof requires two lemmas. The first is the same as Lemma 2 of (I), namely:

LEMMA 1. Let $f_k(m) = \sum_{\substack{p|m \\ p \leq p_k}} f(p)$, where p_k denotes the k -th prime.

Then the number of integers $m \leq n$, for which

$$f(m) - f_k(m) > \delta,$$

is less than $\frac{1}{2}\epsilon n$ for sufficiently large $k = k(\epsilon)$.

The proof of this did not involve the hypothesis $f(p_1) \neq f(p_2)$.

Now we split the integers $m \leq n$ for which $c \leq f(m) \leq c + \delta$ into two classes, putting in the first class those for which $f(m) - f_k(m) > \delta$, and in the second class the others. By Lemma 1, the number of integers of the first class is less than $\frac{1}{2}\epsilon n$. For the integers of the second class,

$$c - \delta \leq f_k(m) \leq c + \delta;$$

hence we see that Lemma 1 of (I) will be proved if we can show that the number of integers $m \leq n$ for which $c - \delta \leq f_k(m) \leq c + \delta$ is less than $\frac{1}{2}\epsilon n$ for sufficiently large $k = k(\epsilon)$.

We now denote

- (1) by q_i the primes less than or equal to k for which $f(q_i) > 2\delta$,
- (2) by r_i the other primes less than or equal to k ,
- (3) by α_i the squarefree integers composed of primes less than or equal to k for which $c - \delta \leq f(\alpha) \leq c + \delta$,
- (4) by β_1, β_2, \dots the squarefree integers composed of the q_i ,
- (5) by $\gamma_1, \gamma_2, \dots$ the squarefree integers composed of the r_i ,
- (6) by $d_\alpha(m)$ the number of divisors of m among the α_i ,
- (7) by $d_\gamma(m)$ the number of divisors of m among the γ_i ,
- (8) by $d_k(m)$ the number of divisors of m among the squarefree integers composed of primes less than or equal to k ,
- (9) by c_1, c_2, c_3 absolute constants.

Now choose δ so small and k so great that

$$\sum \frac{1}{q_i} > A = A(\epsilon),$$

where A is sufficiently large. This is possible since $\sum_{f(p) \neq 0} \frac{1}{p}$ diverges.

We then prove*

LEMMA 2.
$$\sum \frac{1}{\alpha_i} \leq \epsilon^2 \log k.$$

We evidently have

$$\sum_{l=1}^M d_\alpha(l) = \sum_{\alpha_i} \left[\frac{M}{\alpha_i} \right] > \sum_{\alpha_i} \frac{M}{\alpha_i} - M. \tag{1}$$

We write
$$\sum_{l=1}^M d_\alpha(l) = \Sigma_1 + \Sigma_2,$$

* The proof runs similarly to that of Behrend, "On sequences of numbers not divisible one by another", *Journal London Math. Soc.*, 10 (1935), 42-44.

where Σ_1 contains the l 's having less than A divisors among the q_i , and Σ_2 all the other l 's. Then

$$\begin{aligned} \Sigma_1 &< 2^A \sum_{l=1}^M d_\gamma(l) = 2^A \sum_{\gamma_i} \left[\frac{M}{\gamma_i} \right] \leq M 2^A \prod_{r_i} \left(1 + \frac{1}{r_i} \right) = M 2^A \frac{\prod_{p \leq k} \left(1 + \frac{1}{p} \right)}{\prod_{q_i} \left(1 + \frac{1}{q_i} \right)} \\ &\leq \frac{c_1 M 2^A \log k}{e^A} < \epsilon^3 M \log k, \end{aligned}$$

for sufficiently large $A = A(\epsilon)$.

We now estimate Σ_2 . Let l be an integer of Σ_2 , then, if $\beta = q_1 q_2 \dots q_x$, $\gamma = r_1 r_2 \dots r_y$, we have

$$l = \beta \gamma t,$$

where $x \geq A$ and t is composed of primes greater than k and the factors of $\beta \gamma$.

We estimate $d_\alpha(l)$ as follows. Any $\alpha | l$ is of the form $\alpha = \beta_i \gamma_j$, where $\beta_i | \beta$, $\gamma_j | \gamma$. The β_i 's belonging to the same γ_r cannot divide one another, for if we had $\alpha_1 = \beta_1 \gamma_1$, $\alpha_2 = \beta_2 \gamma_1$, and $\beta_1 | \beta_2$, then

$$2\delta \geq f(\alpha_2) - f(\alpha_1) = f(\beta_2) - f(\beta_1) > 2\delta,$$

an evident contradiction. From a theorem of Sperner* it follows immediately that a set of divisors of the product $q_1 q_2 \dots q_x$, of which no one is divisible by any other, has at most $\binom{x}{\lfloor \frac{1}{2}x \rfloor}$ elements.

Further, from Stirling's formula

$$(2\pi)^{\frac{1}{2}} n^{n+\frac{1}{2}} e^{-n} < n! \leq (2\pi)^{\frac{1}{2}} n^{n+\frac{1}{2}} e^{-n} e^{\frac{1}{4n}},$$

we easily deduce that

$$\binom{x}{\lfloor \frac{1}{2}x \rfloor} \leq \frac{2^x}{x^{\frac{1}{2}}} \leq \frac{2^x}{A^{\frac{1}{2}}},$$

so that

$$d_\alpha(l) \leq \frac{2^{x+y}}{A^{\frac{1}{2}}} \leq \frac{d_k(l)}{A^{\frac{1}{2}}}.$$

Hence

$$\Sigma_2 < \sum_{l=1}^M d_\alpha(l) \leq \sum_{l=1}^M \frac{d_k(l)}{A^{\frac{1}{2}}} \leq \frac{M}{A^{\frac{1}{2}}} \prod_{p \leq k} \left(1 + \frac{1}{p} \right) \leq \frac{c_2 M \log k}{A^{\frac{1}{2}}} < \epsilon^3 M \log k$$

for sufficiently large A .

* Sperner, "Ein Satz über Untermengen einer endlichen Menge", *Math. Zeitschrift*, 27 (1928), 544-548.

Finally, from (1), we have

$$\sum \frac{1}{\alpha_i} < 2\epsilon^3 \log k + 1 < \epsilon^2 \log k,$$

and so Lemma 2 is proved.

We now prove our main theorem.

We split the integers $m \leq n$ for which $c - \delta \leq f_k(m) \leq c + \delta$ into two classes. In the first class are the integers for which m is divisible by a square greater than $1/\epsilon^4$, and in the second class the other integers. The number of integers of the first class is evidently less than or equal to

$$\sum_{r < 1/\epsilon^2} \frac{n}{r^2} < c_1 \epsilon^2 n.$$

The number of integers of the second class we estimate as follows. We write $K(m) = \prod_{\substack{p \leq k \\ p|m}} p$. Since $c - \delta \leq f_k(m) = f[K(m)] \leq c + \delta$, $K(m)$ is evidently

an α . The integers m of the second class for which $K(m) = \alpha_i$ are of the form $\alpha_i \mu t$, where μ is composed of the prime factors of α_i and t is composed of primes greater than k ; m is divisible by a square greater than or equal to μ , for, if $\mu = p_1^{2\alpha_1} p_2^{2\alpha_2} \dots p_1'^{2\beta_1+1} \dots$, m is divisible by

$$p_1^{2\alpha_1} p_2^{2\alpha_2} \dots p_1'^{2\beta_1+2} \dots$$

Thus $\mu < 1/\epsilon^4$. Hence it easily follows from the sieve of Eratosthenes that the number of integers m of the second class for which $K(m) = \alpha_i$ is less than or equal to

$$\frac{1}{\alpha_i} \left\{ c_2 n \prod_{p < k} \left(1 - \frac{1}{p} \right) \sum_{\mu < 1/\epsilon^4} \frac{1}{\mu} \right\}.$$

Hence the number of the integers of the second class is less than or equal to

$$c_2 n \prod_{p \leq k} \left(1 - \frac{1}{p} \right) \sum \frac{1}{\alpha_i} \sum_{\mu < 1/\epsilon^4} \frac{1}{\mu} < c_3 n \epsilon^2 \log \frac{1}{\epsilon^4} < \frac{1}{4} \epsilon n;$$

hence the result.

Similar results hold for multiplicative functions, since, if $\phi(m)$ is multiplicative, $\log \phi(m)$ is additive. Hence we find that, if $\phi(m) \geq 1$, $N(\phi; c)/n$ tends to a limit as $n \rightarrow \infty$.

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