

Existence of a maximum balanced matching in the hypercube

Gyula O.H. Katona *
Rényi Institute
Budapest, Hungary
ohkatona@renyi.hu

Krisztián Tichler †
Eötvös University
Budapest, Hungary
ktichler@inf.elte.hu

June 27, 2012

Abstract

We prove, that for $n \neq 2$ the maximum possible $\lfloor 2^n/2n \rfloor$ edges can be chosen simultaneously from each parallel class of the n -cube in such a way, that no two edges have a common vertex.

1 Introduction

We consider the following problem for the n dimensional hypercube. Select as many edges as possible from each parallel class simultaneously in such a way, that the set of edges form a matching of the hypercube. Here, matching is a subset of the edges, such that no two edges have a common vertex. More precisely, among all matchings of the hypercube maximize the minimum number of edges of the n parallel classes of the edges. Obviously, no more than $\lfloor 2^n/2n \rfloor$ is possible, since each n edges of a matching, one from each parallel class, need $2n$ of the 2^n vertices of the hypercube. A matching is called a *maximum balanced matching* if it contains $\lfloor 2^n/2n \rfloor$ edges from each parallel class. Our main result is the following.

*The first author was supported by the Hungarian National Foundation for Scientific Research, grant number NK78439.

†This article is supported by the European Union and co-financed by the European Social Fund (ELTE TÁMOP-4.2.2/B-10/1-2010-0030).

Theorem 1.1. *There exists a maximum balanced matching of the n -cube for $n \neq 2$.*

The problem emerged as a possible solution for a question of the authors ([2]) in combinatorial search theory.

There is a similar, well examined problem. List all words of length n over the binary alphabet $\Sigma = \{0, 1\}$ in such a way, that for each word the succeeding word differs only by a single bit, that is for each consecutive pair of words their Hamming distance is 1. (The Hamming distance of words $u = t_1 \cdots t_n$ and $v = t'_1 \cdots t'_n$ over the alphabet Σ is defined by $H(u, v) = |\{i \in \{1, \dots, n\} \mid t_i \neq t'_i\}|$.) In other formulation, construct a Hamiltonian path (or cycle) in the n dimensional hypercube.

One such Hamiltonian cycle for the n -cube is generated recursively from the Hamiltonian cycle for the $(n - 1)$ -cube. Take the same Hamiltonian path (eliminate an edge from the Hamiltonian cycle for $n - 1$) in two parallel hyperplanes and add two edges, that connect their first and last vertices. This results in a Hamiltonian cycle for the n -cube. For the list of words this construction corresponds to the following recursive recipe: take two copies of the list for the words of length $n - 1$, add a 0 prefix to each word in the first copy, reflect the order of the words in the second copy of the list and add a 1 prefix to each word, concatenate the two modified lists to get the list for word length n .

This list of words is called the *binary-reflected Gray code*. The name “Gray” refers to F. Gray, who patented this list of words as a solution to a communication problem involving digitization of analogue data ([3]).

More generally, any Hamiltonian path (cycle) in the n -cube is called a (*cyclic*) *Gray code*. There are many papers on Gray codes satisfying certain properties, for a survey see [1].

A long standing open problem on Gray codes was to construct a (cyclic) balanced one, i.e., one that contains a balanced number of edges from each of the n parallel classes of edges. Since the number of edges in each parallel class must be even for a cyclic Gray code, the smallest possible positive difference is two. So for word lengths of non-2-powers, a balanced Gray code must have either the smallest even integer larger, or the largest even integer smaller than $2^n/n$ edges in each parallel class. Finally, G. S. Bhat and C. D. Savage ([4]) constructed a balanced Gray code for all n using a proposed construction of J. Robinson and M. Cohn ([5]).

Note, that despite the similarity neither a balanced Gray code, nor a

maximum balanced matching imply the existence of the other.

In section 2 we introduce some notations and prove our main lemma in proving Theorem 1.1. We complete its proof in section 3. In section 4 we introduce a generalization of the problem and prove some initial results in section 5. However, the problem remains open in general.

2 Balanced cycle cover of the hypercube

First, let us introduce some notations. Let $[n] = \{1, \dots, n\}$ and $\binom{[n]}{r} = \{S \subseteq [n] \mid |S| = r\}$. Furthermore let $\llbracket x \rrbracket_r = r \lfloor x/r \rfloor$. If $r = 2$ we write shortly $\llbracket x \rrbracket$ instead of $\llbracket x \rrbracket_2$. If Σ is an alphabet let Σ^n denote the set of words of length n over Σ .

Let B_n be the n dimensional hypercube, $B_n = \langle V(B_n), \mathcal{E}(B_n) \rangle$, where $V(B_n) = \{0, 1\}^n$ is the set of binary words of length n and $\mathcal{E}(B_n) = \{\{u, v\} \mid H(u, v) = 1\}$.

$\mathcal{E} = \mathcal{E}(B_n)$ has a natural decomposition $\mathcal{E} = \cup_{i=1}^n \mathcal{E}_i$ according to the directions, formally

$$\{b_1 \cdots b_n, b'_1 \cdots b'_n\} \in \mathcal{E}_i \text{ if and only if } b_j = b'_j, j \neq i \text{ and } b_i \neq b'_i.$$

For $\mathcal{E}' \subseteq \mathcal{E}$ and $i \in [n]$ let

$$\lambda_i = \lambda_i(\mathcal{E}') = |\mathcal{E}' \cap \mathcal{E}_i|$$

furthermore let

$$\chi(\mathcal{E}') = (\lambda_1, \dots, \lambda_n)$$

be the *profile vector* of \mathcal{E}' .

For a subgraph $G = \langle V, \mathcal{E} \rangle$ of B_n and $b \in \{0, 1\}$ let

$$G^b = \langle \{vb \mid v \in V\}, \{\{v_1b, v_2b\} \mid \{v_1, v_2\} \in \mathcal{E}\} \rangle.$$

If $\mathcal{G} = \{G_1, \dots, G_k\}$, then let $\mathcal{G}^b = \{G_1^b, \dots, G_k^b\}$ and $\mathcal{E}(\mathcal{G}) = \bigcup_{i=1}^k \mathcal{E}(G_i)$.

For $v = b_1 \cdots b_n \in V(B_n)$ let

$$\sigma_i(v) = b_1 \cdots b_{i-1} \bar{b}_i b_{i+1} \cdots b_n \quad (\bar{b} = 1 - b).$$

If $E \in \mathcal{E}(B_n)$ let

$$\sigma_i(E) = \begin{cases} \{\sigma_i(u), \sigma_i(v)\} & \text{if } \{u, v\} \notin \mathcal{E}_i \\ \{u, v\} & \text{if } \{u, v\} \in \mathcal{E}_i \end{cases}.$$

Let us introduce the notations $\sigma_i(V') = \{\sigma_i(v) \mid v \in V'\}$ for $V' \subseteq V(B_n)$ and $\sigma_i(\mathcal{E}') = \{\sigma_i(E) \mid E \in \mathcal{E}'\}$ for $\mathcal{E}' \subseteq \mathcal{E}(B_n)$. Given a subgraph $G = \langle V, \mathcal{E} \rangle$ of B_n let $\sigma_i(G) = \langle \sigma_i(V), \sigma_i(\mathcal{E}) \rangle$. So σ_i gives nothing else, but the mirror image w.r.t. direction i .

We know ([4]) that, there exists a balanced Grey code. On one hand, the following lemma states less, the existence of a balanced cover of cycles instead of a single balanced Hamiltonian cycle. On the other hand, the lemma gives us a small, specific cycle, containing edges in all direction, that will be used for correcting a later specified almost balanced matching.

Lemma 2.1. *For $n \geq 3$ there exist a set of cycles $\mathcal{C}_n = \{C_0, C_1, \dots, C_t\}$ of B_n for some $t = t(n)$ having the following properties.*

- (i) $\bigcup_{i=0}^t V(C_i) = V(B_n)$,
- (ii) $V(C_i) \cap V(C_j) = \emptyset$ ($i \neq j; 0 \leq i, j \leq t$),
- (iii) $C_0 = (v_1, E_1, \dots, v_{2n}, E_{2n})$, $E_i = \{v_i, v_{i(\bmod 2n)+1}\}$ ($i \in [2n]$),
 $E_i, E_{2n-i} \in \mathcal{E}_i$, ($i \in [n-1]$), $E_n, E_{2n} \in \mathcal{E}_n$,
- (iv) let $\lambda_i = \lambda_i(\mathcal{E}(\mathcal{C}_n))$, then $|\lambda_i - \lambda_j| \leq 2$ ($1 \leq i, j \leq n$).

A set of cycles satisfying (i) – (iv) is called a *balanced cycle cover* (bcc).

Note, that since B_n is a bipartite graph, it has only even cycles so the value of λ_j is even as well ($1 \leq j \leq n$). Furthermore, $\lambda_j(\mathcal{E}(C_i))$ is even, too, for $0 \leq i \leq t, 1 \leq j \leq n$.

Circuits of the form $(v_1, E, v_2, E), v_1, v_2 \in V(B_n), E = \{v_1, v_2\}, E \in \mathcal{E}(B_n)$ are considered to be cycles, as well.

Proof of Lemma 2.1. The proof is by induction. It is easy to construct a bcc for $n = 3$ or $n = 4$. Suppose that we have a bcc for B_n and let us construct one for B_{n+1} .

The edges of \mathcal{E}_{n+1} connect two disjoint copies of B_n in B_{n+1} since $\mathcal{E}_{n+1} = \{\{u0, u1\} \mid u \in \{0, 1\}^n\}$. By the induction hypothesis there exist a bcc $\mathcal{C}_n = \{C_0, \dots, C_t\}$ in B_n , so that it has a profile

$$\chi(\mathcal{E}(\mathcal{C}_n)) = (\lambda_1, \dots, \lambda_n),$$

where $\lambda_1 = \dots = \lambda_s, \lambda_{s+1} = \dots = \lambda_n, \lambda_{s+1} = \lambda_s + 2$, for some $s \in [n]$ and all λ_i 's are even.

Then let \mathcal{C} be the following cover of $V(B_{n+1})$ by vertex disjoint cycles $\mathcal{C} = \mathcal{C}_n^0 \cup \mathcal{C}_n^1 = \{C_0^0, \dots, C_t^0, C_0^1, \dots, C_t^1\}$. So $C_0^b = \{v_1b, E_1^b, \dots, v_{2n}b, E_{2n}^b\}$, where $E_i^b = \{v_ib, v_{i(\bmod 2n)+1}b\}$ ($b \in \{0, 1\}$). By the induction hypothesis $E_i^b, E_{2n-i}^b \in \mathcal{E}_i$, $E_n^b, E_{2n}^b \in \mathcal{E}_n$ ($i \in [n-1], b \in \{0, 1\}$).

Observe, that \mathcal{C} has the property

$$C \in \mathcal{C} \Leftrightarrow \sigma_{n+1}(C) \in \mathcal{C}, \quad (1)$$

so

$$E \in \mathcal{E}(\mathcal{C}) \Leftrightarrow \sigma_{n+1}(E) \in \mathcal{E}(\mathcal{C}) \quad (2)$$

holds as well.

\mathcal{C} has properties (i)-(ii), but does not satisfy properties (iii)-(iv). We have

$$\chi(\mathcal{E}(\mathcal{C})) = (2\lambda_1, \dots, 2\lambda_n, 0).$$

Replace C_0^0 and C_0^1 by two other cycles. Let the set of their edges be

$$\{E_1^0, \dots, E_n^0, \{v_{n+1}0, v_{n+1}1\}, E_n^1, \dots, E_1^1, \{v_10, v_11\}\} \quad (3)$$

and

$$\{E_{n+2}^0, \dots, E_{2n-1}^0, \{v_{2n}0, v_{2n}1\}, E_{2n-1}^1, \dots, E_{n+2}^1, \{v_{n+2}0, v_{n+2}1\}\}.$$

By renaming the cycles we get a set of vertex disjoint cycles $\{C_0, \dots, C_{2t+1}\}$ covering $V(B_{n+1})$, where $\mathcal{E}(C_0)$ equals (3). We use the same notation \mathcal{C} for the new cycle system. Note, that \mathcal{C} satisfies (i)-(iii) and (1). Furthermore,

$$\chi(\mathcal{E}(\mathcal{C})) = (2\lambda_1, \dots, 2\lambda_{n-2}, 2\lambda_{n-1} - 2, 2\lambda_n - 2, 4).$$

The first n components of the profile vector differ by maximum 2 and are at least 4 for $n \geq 4$. Take an edge $E \in \mathcal{E}(\mathcal{C} \setminus \{C_0\})$ of \mathcal{E}_i ($i \in [n]$), where $\lambda_i(\mathcal{E}(\mathcal{C}))$ is at least as large as any other component. W.l.o.g. suppose, that $E = \{u0, v0\}$ ($u, v \in \{0, 1\}^n$). Then $E' = \sigma_{n+1}(E) = \{u1, v1\} \in \mathcal{E}(\mathcal{C})$ holds as well by (2). Replace E and E' by $E'' = \{u0, u1\}$ and $E''' = \{v0, v1\}$ (see Figure 1). This transformation decreases $\lambda_i(\mathcal{E}(\mathcal{C}))$ by 2 and increases $\lambda_{n+1}(\mathcal{E}(\mathcal{C}))$ by 2, while properties (i)-(iii) still hold.

Observe, that if E and E' belong to different cycles

$$C_1 = (w_0, E_0, \dots, w_k, E_k) \text{ and } C_2 = \sigma_{n+1}(C_1) = (w'_0, E'_0, \dots, w'_k, E'_k)$$

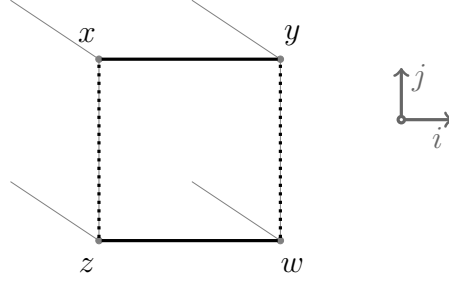


Figure 1: The following basic transformation is used many times. Suppose, that $\{x, y\}, \{z, w\} \in \mathcal{E}$ and both have color (direction) i , suppose furthermore, that $\{x, z\}, \{y, w\} \notin \mathcal{E}$ and both have color (direction) j , then flipping the pairs of edges decreases λ_i by 2 and increases λ_j by 2.

where $k \geq 1$, $w_0 = u_0, w_1 = v_0, E_0 = E, E'_0 = E', w'_i = \sigma_{n+1}(w_i)$ ($0 \leq i \leq k$), then C_1 and C_2 is replaced by a single, larger cycle

$$C = (w_1, E_1, \dots, w_k, E_k, w_0, E'', w'_0, E'_k, w'_k, \dots, E'_1, w'_1, E''').$$

On the other hand if E and E' are edges of the same cycle

$$C = (w_0, E_0, \dots, w_k, E_k)$$

satisfying $\sigma_{n+1}(C) = C$, where $k \geq 3$, $E_0 = E, E_t = E'$ (for some $2 \leq t \leq k - 1$), $w_0 = u_0, w_1 = v_0, w_t = v_1, w_{t+1} = u_1$, than C is replaced by two smaller cycles

$$C_1 = (w_1, E_1, \dots, w_{t-1}, E_{t-1}, w_t, E''') \text{ and } C_2 = (w_{t+1}, E_{t+1}, \dots, w_k, E_k, w_0, E'').$$

Easy to check, that in both cases also (1) holds for the modified family of cycles. We use the same notation \mathcal{C} for for the new cycle system.

Repeat the previous step until the cycle cover becomes balanced. We can do this, since the preconditions of the transformation (properties (i)-(iii) and (1)) still hold after each execution.

We also need, that there is at least one pair of edges not belonging to C_0 to flip. But this is true, since

$$|\mathcal{E}(C_0)| + \lambda_{n+1}(\mathcal{E}(C)) \leq 2n + 2 + \frac{2^{n+1}}{n+1} < 2^{n+1} = |\mathcal{E}(C)| \quad (n \geq 4).$$

For that actual \mathcal{C} let $\mathcal{C}_{n+1} = \mathcal{C}$. Properties (i)-(iv) hold for \mathcal{C}_{n+1} . \square

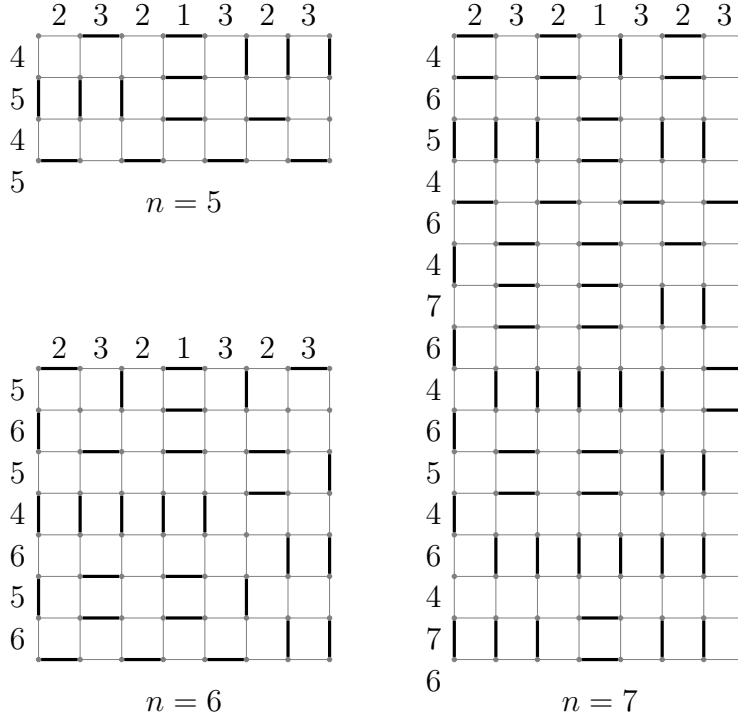


Figure 2: Maximum balanced matchings for $n = 5, 6, 7$. The parallel classes $\mathcal{E}_i (1 \leq i \leq 7)$ are denoted shortly by 1, 2, 3, 4, 5, 6, 7.

code $G(2^t)$ of B_{2^t} , ($t \geq 2$), such that its odd edges form the desired complete matching. Furthermore, the Grey code will have the following property:

$$\begin{aligned} & \text{the } i\text{th and the } (i + 2^{2^t-1})\text{th element belong} \\ & \text{to the same parallel class } (1 \leq i \leq 2^{2^t-1}). \end{aligned} \tag{5}$$

For $n = 4$ we have already constructed a cyclic Grey code. By (4) it has property (5). Suppose, that we have already constructed a Grey code $G(2^t) = v_1, \dots, v_{2^{2^t}}$ satisfying (5). We construct a Grey code satisfying (5) for $B_{2^{t+1}} = B_{2^t} \times B_{2^t}$. By the induction hypothesis, the following Hamiltonian cycle is

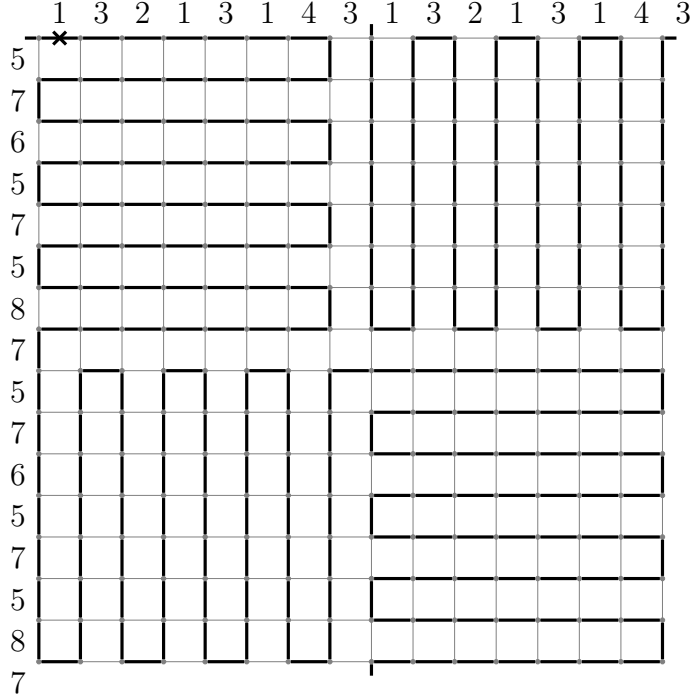


Figure 3: Construction of a cyclic Grey code for the power of 2 ($n = 8$). Taking every second edge from the marked one yields a maximum balanced matching.

appropriate (for $n = 8$, see Figure 3).

$$\begin{aligned}
G(2^{t+1}) = & (v_1, v_1), (v_1, v_2), \dots, (v_1, v_{2^{2t-1}}), (v_2, v_{2^{2t-1}}), \dots, (v_2, v_1), (v_3, v_1), \\
& \dots, (v_3, v_{2^{2t-1}}), (v_4, v_{2^{2t-1}}), \dots, \dots, (v_{2^{2t-1}}, v_1), (v_{2^{2t-1}+1}, v_1), (v_{2^{2t-1}+2}, v_1), \\
& \dots, (v_{2^{2t}}, v_1), (v_{2^{2t}}, v_2), \dots, (v_{2^{2t-1}+1}, v_2), (v_{2^{2t-1}+1}, v_3), \dots, \\
& \dots, (v_{2^{2t-1}+1}, v_{2^{2t-1}}), (v_{2^{2t-1}+1}, v_{2^{2t-1}+1}), (v_{2^{2t-1}+1}, v_{2^{2t-1}+2}), \dots, \\
& (v_{2^{2t-1}+1}, v_{2^{2t}}), (v_{2^{2t-1}+2}, v_{2^{2t}}), \dots, (v_{2^{2t-1}+2}, v_{2^{2t-1}+1}), (v_{2^{2t-1}+3}, v_{2^{2t-1}+1}), \\
& \dots, \dots, (v_{2^{2t}}, v_{2^{2t-1}+1}), (v_1, v_{2^{2t-1}+1}), \dots, (v_{2^{2t-1}}, v_{2^{2t-1}+1}), (v_{2^{2t-1}}, v_{2^{2t-1}+2}), \\
& \dots, (v_1, v_{2^{2t-1}+2}), (v_1, v_{2^{2t-1}+3}), \dots, \dots, (v_1, v_{2^{2t}}).
\end{aligned}$$

3.3 Case of $n \geq 9$, n is not a power of 2

For $n \geq 9$, n is not a power of 2, we construct a maximum balanced matching using a balanced cycle cover of Lemma 2.1 for B_{n-4} . Note, that in this case $2^n - 2n \lfloor 2^n/2n \rfloor \geq 2$ holds, so we can afford not to cover at least 2 vertices.

$B_n = B_{n-4} \times B_4$, so we can assume that the vertices of B_n are of the form (u_i, v_j) , $1 \leq i \leq 2^{n-4}$, $0 \leq j \leq 15$, where $G(4) = v_0, \dots, v_{15}$. Let $\mathcal{C}_{n-4} = \{C_0, C_1, \dots, C_t\}$ be a balanced cycle cover of B_{n-4} , such that

$$\bigcup_{i=0}^t V(C_i) = \{u_1, \dots, u_{2^{n-4}}\}$$

and

$$\begin{aligned} \mathcal{E}(C_0) = & \{\{u_1, u_2\}, \{u_2, u_3\}, \dots, \{u_{2^{n-9}}, u_{2^{n-8}}\}, \{u_{2^{n-8}}, u_1\}\}, \\ & \{u_i, u_{i+1}\}, \{u_{2^{n-8}-i}, u_{2^{n-7}-i}\} \in \mathcal{E}_i \quad (1 \leq i \leq n-5), \\ & \{u_{n-4}, u_{n-3}\}, \{u_{2^{n-8}}, u_1\} \in \mathcal{E}_{n-4}. \end{aligned} \quad (6)$$

By (4) we have

$$\begin{aligned} \{(u_i, v_{2j}), (u_i, v_{2j+1})\}, \{(u_i, v_{2j+8}), (u_i, v_{2j+9})\} \in \mathcal{E}_{n-3+j}, \\ j = 0, 1, 2, 3, \quad 1 \leq i \leq 2^{n-4}. \end{aligned}$$

Let \mathcal{M} be the following matching. If $E = \{u_i, u_j\}$ is an odd edge of C_i ($i \geq 1$), then let

$$\{(u_i, v_0), (u_j, v_0)\}, \dots, \{(u_i, v_7), (u_j, v_7)\} \in \mathcal{M}, \quad (7)$$

otherwise let

$$\{(u_i, v_8), (u_j, v_8)\}, \dots, \{(u_i, v_{15}), (u_j, v_{15})\} \in \mathcal{M}. \quad (8)$$

If E is an odd edge of C_0 , then let

$$\{(u_i, v_1), (u_j, v_1)\}, \{(u_i, v_3), (u_j, v_3)\}, \dots, \{(u_i, v_{15}), (u_j, v_{15})\} \in \mathcal{M},$$

otherwise let

$$\{(u_i, v_0), (u_j, v_0)\}, \{(u_i, v_2), (u_j, v_2)\}, \dots, \{(u_i, v_{14}), (u_j, v_{14})\} \in \mathcal{M}.$$

These edges are called C_0 -edges.

If \mathcal{C}_{n-4} has a profile $(\lambda'_1, \dots, \lambda'_{n-4})$, $\lambda'_1 = \dots = \lambda'_s$, $\lambda'_{s+1} = \dots = \lambda'_{n-4}$, $\lambda'_{s+1} = \lambda'_s + 2$, for some $1 \leq s < n - 4$, then we have

$$\chi(\mathcal{M}) = (8\lambda'_1, \dots, 8\lambda'_{n-4}, 0, 0, 0, 0).$$

Take 2 edges $E = \{(u_i, v_j), (u_{i'}, v_j)\}$ and $E' = \{(u_i, v_{j+1}), (u_{i'}, v_{j+1})\}$, such that j is even and $\{u_i, u_{i'}\} \in \mathcal{E}(\mathcal{C}_{n-4} \setminus \{C_0\})$. Remove E and E' from \mathcal{M} and add $\{(u_i, v_j), (u_i, v_{j+1})\}$ and $\{(u_{i'}, v_j), (u_{i'}, v_{j+1})\}$. So if $E, E' \in \mathcal{M} \cap \mathcal{E}_k$, then we are decreased $\lambda_k(\mathcal{M})$ by 2, while increased one of the last 4 components of $\chi(\mathcal{M})$ by 2 (by (4)).

Repeating the above transformation in an appropriate order, we can reach, that all components of $\chi(\mathcal{M})$ differ by either 0 or 2 if there are enough edges initially in $\mathcal{E}(\mathcal{C}_{n-4} \setminus \{C_0\}) \cap \mathcal{E}_k$ ($1 \leq k \leq n - 4$).

In the initial matching there are at least $8\lceil 2^{n-4}/(n-4) \rceil - 16$ edges in $\mathcal{E}(\mathcal{C}_{n-4} \setminus \{C_0\})$ in each parallel class, while at most $\lceil 2^n/2n \rceil$ needed. Substituting $n = 9$ the first quantity is larger than the second one. For $n \geq 10$ we have

$$8\left\lceil \frac{2^{n-4}}{n-4} \right\rceil - 16 \geq 8\left(\frac{2^{n-4}}{n-4} - 2\right) - 16 \geq \frac{2^n}{2n} \geq \left\lceil \frac{2^n}{2n} \right\rceil$$

The middle inequality is equivalent to the inequality $2^{n-4} \geq n(n-4)$, which holds for $n \geq 10$.

So we have a matching \mathcal{M} , such that

$$\chi(\mathcal{M}) = (\lambda_1, \dots, \lambda_n),$$

where $\lambda_{i_1} = \dots = \lambda_{i_s}$, $\lambda_{i_{s+1}} = \dots = \lambda_{i_n}$, $\lambda_{i_{s+1}} = \lambda_{i_s} + 2$ with $2(n-s) = 2^n - 2n\lceil 2^n/2n \rceil$ and all λ_{i_j} 's are even.

Note, that we can set $\{i_1, \dots, i_s\}$ to be any specific s -subset of $[n]$ and \mathcal{M} still contains all C_0 -edges. λ_{i_1} equals either $\lceil 2^n/2n \rceil - 1$ or $\lceil 2^n/2n \rceil$. If $\lambda_{i_1} = \lceil 2^n/2n \rceil - 1$ then the C_0 -edges will be used for correction. We distinguish 5 cases (Figure 4).

Case 1. If $s \geq n/2$, then we are either ready, since $\lambda_{i_1} = \lceil 2^n/2n \rceil$ (if $s > n/2$) or n is a power of 2 (if $s = n/2$), since $2^n/2n = \lceil 2^n/2n \rceil$ can not hold otherwise. The case of n is a power of 2 is already discussed.

Case 2. Let $s < (n-4)/2$. Assume, that $\lceil 2^n/2n \rceil - 1 = \lambda_1 = \lambda_3 = \dots =$

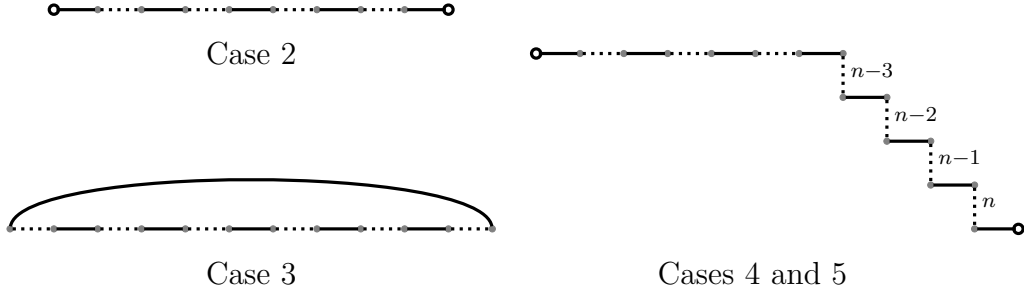


Figure 4: Balanceness correction using the C_0 -edges. (The original edges are replaced by the dotted ones.)

λ_{2s-1} . Let us introduce the notation

$$\begin{aligned} \mathcal{D}_{k,s} = & \{ \{ (u_k, v_0), (u_{k(\bmod (2n-8))+1}, v_0) \}, \\ & \{ (u_{k+1(\bmod (2n-8))+1}, v_0), (u_{k+2(\bmod (2n-8))+1}, v_0) \}, \\ & \dots, \{ (u_{k+2s-3(\bmod (2n-8))+1}, v_0), (u_{k+2s-2(\bmod (2n-8))+1}, v_0) \} \}. \end{aligned}$$

By (6), (7) and (8) $\mathcal{M} \setminus \mathcal{D}_{2n-8,s+1} \cup \mathcal{D}_{1,s}$ is a maximum balanced matching, since the λ_{2i} 's are decreased for $i = n-4$ and $i \in [s]$, while the λ_{2i-1} 's are increased by 1, for $i \in [s]$.

Case 3. If $s = (n-4)/2$ and $\lfloor 2^n/2n \rfloor - 1 = \lambda_1 = \lambda_3 = \dots = \lambda_{n-5}$ then $\mathcal{M} \setminus \mathcal{D}_{2,(n-4)/2} \cup \mathcal{D}_{1,(n-4)/2}$ is a maximum balanced matching.

Case 4. $s = \lfloor (n-4)/2 \rfloor + 1$. We can assume, that $\lfloor 2^n/2n \rfloor - 1 = \lambda_3 = \dots = \lambda_{2\lfloor (n-4)/2 \rfloor - 5} = \lambda_{n-3} = \lambda_{n-2} = \lambda_{n-1} = \lambda_n$, while all other components of $\chi(\mathcal{M})$ equal to $\lfloor 2^n/2n \rfloor + 1$. Let

$$\begin{aligned} \mathcal{D}_4^- = & \{ \{ (u_{2\lfloor (n-4)/2 \rfloor - 3}, v_1), (u_{2\lfloor (n-4)/2 \rfloor - 2}, v_1) \}, \\ & \{ (u_{2\lfloor (n-4)/2 \rfloor - 2}, v_2), (u_{2\lfloor (n-4)/2 \rfloor - 1}, v_2) \}, \{ (u_{2\lfloor (n-4)/2 \rfloor - 1}, v_3), (u_{2\lfloor (n-4)/2 \rfloor}, v_3) \}, \\ & \{ (u_{2\lfloor (n-4)/2 \rfloor}, v_8), (u_{2\lfloor (n-4)/2 \rfloor + 1}, v_8) \} \} \end{aligned}$$

and

$$\begin{aligned} \mathcal{D}_4^+ = & \{ \{ (u_{2\lfloor (n-4)/2 \rfloor - 3}, v_0), (u_{2\lfloor (n-4)/2 \rfloor - 3}, v_1) \}, \\ & \{ (u_{2\lfloor (n-4)/2 \rfloor - 2}, v_1), (u_{2\lfloor (n-4)/2 \rfloor - 2}, v_2) \}, \{ (u_{2\lfloor (n-4)/2 \rfloor - 1}, v_2), (u_{2\lfloor (n-4)/2 \rfloor - 1}, v_3) \}, \\ & \{ (u_{2\lfloor (n-4)/2 \rfloor}, v_3), (u_{2\lfloor (n-4)/2 \rfloor}, v_8) \} \}. \end{aligned}$$

Note, that in $G(4)$ $\{v_0, v_1\}, \{v_1, v_2\}, \{v_2, v_3\}, \{v_3, v_8\}$ belong to 4 different classes of edges. $\mathcal{M} \setminus (\mathcal{D}_{2,s-3} \cup \mathcal{D}_4^-) \cup \mathcal{D}_{3,s-4} \cup \mathcal{D}_4^+$ is a maximum balanced matching, since the λ_{2i} 's for $1 \leq i \leq \lfloor (n-4)/2 \rfloor - 2$ and the λ_i 's for $2\lfloor (n-4)/2 \rfloor - 3 \leq i \leq 2\lfloor (n-4)/2 \rfloor$ are decreased, while the λ_{2i-1} 's for $2 \leq i \leq \lfloor (n-4)/2 \rfloor - 2$ and the λ_i 's for $n-3 \leq i \leq n$ are increased by 1.

Case 5. $s = \lfloor (n-4)/2 \rfloor + 2$ and n is odd. (Note, that the case of even n was already considered in Case 1.) We can assume, that $\lfloor 2^n/2n \rfloor - 1 = \lambda_1 = \lambda_3 = \dots = \lambda_{2\lfloor (n-4)/2 \rfloor - 5} = \lambda_{n-3} = \lambda_{n-2} = \lambda_{n-1} = \lambda_n$, while all other components of $\chi(\mathcal{M})$ equal to $\lfloor 2^n/2n \rfloor + 1$.

$\mathcal{M} \setminus (\mathcal{D}_{2n-8,s-3} \cup \mathcal{D}_4^-) \cup \mathcal{D}_{1,s-4} \cup \mathcal{D}_4^+$ is a maximum balanced matching, since λ_{n-4} , the λ_{2i} 's for $1 \leq i \leq \lfloor (n-4)/2 \rfloor - 2$ and the λ_i 's for $2\lfloor (n-4)/2 \rfloor - 3 \leq i \leq 2\lfloor (n-4)/2 \rfloor$ are decreased, while the λ_{2i-1} 's for $1 \leq i \leq \lfloor (n-4)/2 \rfloor - 2$ and the λ_i 's for $n-3 \leq i \leq n$ are increased by 1. (Note, that we have $n-4 \neq 2\lfloor (n-4)/2 \rfloor$ in this case.)

We could achieve in all the 5 cases, that each of the parallel classes contain at least $\lfloor 2^n/2n \rfloor$ elements. \square

4 Balanceness of hypergraphs

Let us consider the following generalization of our problem. Let $\mathcal{H} = \langle V, \mathcal{E} \rangle$ be a hypergraph (i.e., $\mathcal{E} \subseteq 2^V$) and $\kappa : \mathcal{E} \rightarrow [n]$ be a (total) coloring of the edges. For $i \in [n]$ let

$$\mathcal{E}_i = \{E \in \mathcal{E} \mid \kappa(E) = i\}$$

be the set of those edges that have color i , we call \mathcal{E}_i the i th color class.

If $\mathcal{E}' \subseteq \mathcal{E}$ and $i \in [n]$ let

$$\lambda_i = \lambda_i(\mathcal{E}') = |\mathcal{E}' \cap \mathcal{E}_i|,$$

furthermore let

$$\chi(\mathcal{E}') = (\lambda_1, \dots, \lambda_n)$$

be the *profile* of \mathcal{E}' . The *balanceness of an edge set* $\mathcal{E}' \subseteq \mathcal{E}$ w.r.t. the coloring κ is defined by

$$\text{bal}(\mathcal{E}') = \text{bal}_\kappa(\mathcal{E}') = \min_{i \in [n]} \lambda_i(\mathcal{E}').$$

$\mathcal{M} \subseteq \mathcal{E}$ is called a *matching*, if $E_1, E_2 \in \mathcal{M}$ implies $E_1 \cap E_2 = \emptyset$ (in other formulation \mathcal{M} is a set of independent edges). The *matching balanceness* of

the hypergraph \mathcal{H} w.r.t. the coloring κ is defined by

$$\text{bal}(\mathcal{H}) = \text{bal}_\kappa(\mathcal{H}) = \max_{\mathcal{M} \text{ is a matching in } \mathcal{H}} \text{bal}(\mathcal{M}).$$

Let $\mathcal{B}_{n,k,d}$ denote the following k^d -uniform hypergraph ($k \geq 2, d \in [n]$). The vertices of $\mathcal{B}_{n,k,d}$ are words of length n over the alphabet $\Sigma = \{0, \dots, k-1\}$. The edges are those k^d -sets E , called d -spaces, that have an index set $I \subseteq [n]$, $|I| = d$, such that for each $u = t_1 \cdots t_n \in E$ and $v = t'_1 \cdots t'_n \in E$ the property $t_j = t'_j$ holds whenever $j \notin I$. For $k = 2$ and $d = 1$, $\mathcal{B}_{n,k,d}$ is nothing else, but the n -cube, B_n (the edges are those pair of n -bit strings that have Hamming distance 1).

There is a natural coloring κ_{nat} of $\mathcal{B}_{n,k,d}$ with $\binom{n}{d}$ colors, those edges are colored with the same color that have the same I in the definition of the edges of $\mathcal{B}_{n,k,d}$. Each color class contains k^{n-d} edges. As a special case, the edges of B_n are colored by n colors according to the n parallel classes, each color class has 2^{n-1} edges.

Let us introduce the short notation

$$b(n, k, d) = \text{bal}_{\kappa_{\text{nat}}}(\mathcal{B}_{n,k,d}).$$

Given an r -uniform hypergraph $\mathcal{H} = \langle V, \mathcal{E} \rangle$ and coloring $\kappa : \mathcal{E} \rightarrow [n]$ we call a matching \mathcal{M} a *maximum balanced matching* if

$$\text{bal}(\mathcal{M}) = \min \left\{ \min_{i \in [n]} |\mathcal{E}_i|, \left\lfloor \frac{|V|}{rn} \right\rfloor \right\} \quad (9)$$

holds. The balanceness of a matching obviously can not be larger than the RHS of (9). For the case of B_n , this RHS is equal to $\lfloor 2^n/2n \rfloor$. So, our main result, Theorem 1.1, can be formulated in the following way.

$$b(n, 2, 1) = \lfloor 2^n/2n \rfloor \quad (n \neq 2).$$

5 Balanced d -spaces

In this section we prove a general lower bound on $b(n,k,d)$. Note, that this lower bound is an initial result, determining the exact value remains open in most of the cases.

Lemma 5.1. *Let \mathcal{S} be the multiset, that contain exactly s copies of each element of $\binom{[n]}{d}$, where $s = d/\gcd(d, n - d + 1)$. Then for the multiset \mathcal{T} of $(n - d + 1)s/d$ copies of $\binom{[n]}{d-1}$, there exists a bijection $\varphi : \mathcal{S} \rightarrow \mathcal{T}$, such that $S \supset \varphi(S)$ holds for all $S \in \mathcal{S}$.*

Proof. The bipartite graph $\langle \mathcal{S}, \mathcal{T}, \mathcal{E} \rangle$, where $\{S, T\} \in \mathcal{E} \Leftrightarrow T \subset S$ is $(n - d + 1)s$ -regular, therefore it has a matching. \square

Corollary 5.1. *Given $s\binom{n}{d}$ edges (d -spaces) of $\mathcal{B}_{n,k,d}$, where $s = d/\gcd(d, n - d + 1)$ and exactly s of the edges have the same color in κ_{nat} for each color class. Then we can replace each d -space by k $(d - 1)$ -spaces of the same color class of $\mathcal{B}_{n,k,d-1}$ in such a way, that there will be exactly $k(n - d + 1)s/d$ edges in each of the $\binom{n}{d-1}$ color classes of $\mathcal{B}_{n,k,d-1}$ w.r.t κ_{nat} .*

Proof. Let \mathcal{S} correspond to the color classes of $\mathcal{B}_{n,k,d}$, while \mathcal{T} to the color classes of $\mathcal{B}_{n,k,d-1}$. Replace a d -space of color class $S \in \mathcal{S}$ by k $(d - 1)$ -spaces of the color class $\varphi(S)$. \square

The following theorem gives a recursive method to count a general lower bound for $b(n, k, d)$.

Theorem 5.1.

$$b(n + 1, k, d) \geq kb(n, k, d) - ks \left\lceil \frac{db(n, k, d)}{(n + 1)s} \right\rceil, \quad (10)$$

where $s = d/\gcd(d, n - d + 1)$.

Proof. Suppose, that we have a matching \mathcal{M}_n having $b(n, k, d)$ d -spaces of each color. $V(\mathcal{B}_{n+1,k,d}) = X_0 \cup \dots \cup X_{k-1}$, where $X_i = \{ui \mid u \in V(\mathcal{B}_{n,k,d})\}$ ($0 \leq i \leq k - 1$). Let the edge set \mathcal{D} consist of k isomorphic copies of \mathcal{M}_n on the vertex sets X_i ($0 \leq i \leq k - 1$). \mathcal{D} have a profile vector

$$\chi(\mathcal{D}) = (kb(n, k, d), \dots, kb(n, k, d), 0, \dots, 0),$$

where we have 0 for those d -sets of $[n + 1]$, that contain $n + 1$ (let these be the last $\binom{n}{d-1}$ components).

Replace s d -spaces of each color by $(d - 1)$ -spaces over X_0 according to Corollary 5.1. Each type of $(d - 1)$ -space will occur $k(n - d + 1)s/d$ times. Do exactly the same for X_1, \dots, X_{k-1} . Replace each k corresponding $(d - 1)$ -spaces

in X_0, \dots, X_{k-1} by a single d -space. So the first $\binom{n}{d}$ components of $\chi(\mathcal{D})$ are decreased by ks , while the last $\binom{n}{d-1}$ one are increased by $k(n-d+1)s/d$.

Repeating this transformation ℓ times, we have the following profile for the actual edge set \mathcal{D} .

$$\chi(\mathcal{D}) = \left(kb(n, k, d) - \ell ks, \dots, kb(n, k, d) - \ell ks, \ell k \frac{(n-d+1)s}{d}, \dots, \ell k \frac{(n-d+1)s}{d} \right).$$

Let ℓ_0 be the least integer satisfying

$$kb(n, k, d) - \ell_0 ks \leq \ell_0 k \frac{(n-d+1)s}{d},$$

i.e., $\ell_0 = \lceil db(n, k, d)/(n+1)s \rceil$. Then all components of $\chi(\mathcal{D})$ is at least the RHS of (10). \square

We omit the elementary, but space and paper consuming counting of the following.

Corollary 5.2. *Let $n_0 \geq kd/(k-1)$. Then we have*

$$\left\lfloor \frac{k^{n-d}}{\binom{n}{d}} \right\rfloor \geq b(n, k, d) \geq \frac{k^{n-d}}{\binom{n}{d}} \frac{\binom{n_0}{d}}{k^{n_0-d}} \left(b(n_0, k, d) - d \frac{n_0+1}{n_0-d+1} \frac{(n_0+2-d)k}{(n_0+2-d)k-n_0-2} \right).$$

We can see, that there is a big room to improve. For $d=1$ the same inductive argument gives somewhat better.

Theorem 5.2. *For $n \geq 4$*

$$\left\lfloor \frac{k^{n-1}}{n} \right\rfloor \geq b(n, k, 1) \geq \left\lfloor \left\lfloor \frac{k^{n-1}}{n} \right\rfloor \right\rfloor_k.$$

Proof. There is a maximum balanced matching for $n=4$. Suppose, that we have a matching \mathcal{M}_n ($n \geq 4$) having $\lfloor k^{n-1}/n \rfloor_k$ 1-spaces in each direction. Let $V(\mathcal{B}_{n+1, k, 1}) = X_0 \cup \dots \cup X_{k-1}$, where $X_i = \{ui \mid u \in V(\mathcal{B}_{n, k, 1})\}$ ($0 \leq i \leq k-1$). Take isomorphic copies $\mathcal{M}_n^{(i)}$ of \mathcal{M}_n in each X_i and add the 1-spaces that consist of the corresponding vertices of $V(\mathcal{M}_n^{(i)}) - X_i$ ($0 \leq i \leq k-1$).

A set of k 1-spaces of direction r , $E_i = \{t_1 \dots t_{r-1} x t_{r+1} \dots t_n i \mid 0 \leq x \leq k-1\}$ ($0 \leq i \leq k-1$) can be replaced by another k 1-spaces of direction $n+1$, $E'_i = \{t_1 \dots t_{r-1} i t_{r+1} \dots t_n x \mid 0 \leq x \leq k-1\}$ ($0 \leq i \leq k-1$). Consider

again the following transformation: replace kn edges, k from each direction and of the above type, by kn edges of direction $n + 1$.

Repeat the transformation while the number of edges of direction i ($i \in [n]$) is bigger than $\lfloor k^n/(n + 1) \rfloor$. Note, that the initial number of edges of direction i ($i \in [n]$) in $\mathcal{B}_{n+1,k,1}$ is divisible by k . The transformations do not change this property, so the statement follows. \square

References

- [1] C. D. Savage. A Survey of Combinatorial Gray Codes. SIAM Review 39 (4): 605-629, 1997.
- [2] G. O. H. Katona and K. Tichler. Search when the lie depends on the target, submitted.
- [3] F. Gray. Pulse Code Communication, U.S Patent No. 2632058, March 1953.
- [4] G. S. Bhat and C. D. Savage. Balanced Gray codes. Electronic Journal of Combinatorics 3 (1): R25, 1996.
- [5] J. Robinson and M. Cohn. Counting Sequences. IEEE Transactions on Computers, C-30: 17-23, 1981.