ON THE NUMBER OF CONJUGACY CLASSES OF π -ELEMENTS IN FINITE GROUPS

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ABSTRACT. Let G be a finite group and π be a set of primes. Put $d_{\pi}(G) = k_{\pi}(G)/|G|_{\pi}$ where $k_{\pi}(G)$ is the number of conjugacy classes of π -elements in G and $|G|_{\pi}$ is the π -part of the order of G. In this paper we initiate the study of this invariant by showing that if $d_{\pi}(G) > 5/8$ then G possesses an abelian Hall π -subgroup, all Hall π -subgroups of G are conjugate, and every π -subgroup of G lies in some Hall π -subgroup of G. Furthermore we have $d_{\pi}(G) = 1$ or $d_{\pi}(G) = 2/3$. This extends and generalizes a result of W. H. Gustafson.

1. INTRODUCTION

For a finite group G let d(G) be the probability that two elements of G commute. It is easy to see that d(G) = k(G)/|G| where k(G) denotes the number of conjugacy classes of G. Several authors have studied this invariant under the name of commutativity degree [7, 1] or commuting probability [6, 10, 5].

Let $\pi(G)$ be the set of prime divisors of the order of G and π a non-empty set of primes. Furthermore, let $k_{\pi}(G)$ be the number of conjugacy classes of π -elements in G and $|G|_{\pi}$ the π -part of the order of G. Since d(G) encodes a lot of structural information of G, it is expected that $d_{\pi}(G) := k_{\pi}(G)/|G|_{\pi}$ also provides some information on the π -local structure of G.

Our first observation is that $d(G) \leq d_{\pi}(G) \leq d_{\mu}(G)$ whenever μ is a subset of π , see part (1) of Proposition 5. In particular, if μ consists of a single prime, then $d_{\pi}(G) \leq$ $d_{\mu}(G) \leq 1$ by Sylow's theorems. In fact, we have $d_{\pi}(G) \leq d(P)$ where P is any Sylow p-subgroup of G for any prime p in π . From this and a result of P. M. Neumann [8] it follows that if $d_{\pi}(G)$ is bounded from below by a positive constant then P is bounded by abelian by bounded; that is, P is 'almost' abelian for every $p \in \pi$. Furthermore, by the same reason, if G is π -solvable and $d_{\pi}(G)$ is bounded from below by a positive constant then every Hall π -subgroup of G is bounded by abelian by bounded.

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One of the goals of this work is to impose an explicit lower bound for $d_{\pi}(G)$ in order to ensure the existence of an abelian Hall π -subgroup in G.

Theorem 1. Let π be a set of primes and G a finite group with $d_{\pi}(G) > 5/8$. Then G contains an abelian Hall π -subgroup, all Hall π -subgroups of G are conjugate in G, and every π -subgroup of G lies in some Hall π -subgroup of G. Furthermore $d_{\pi}(G) = 1$ or $d_{\pi}(G) = 2/3$.

This theorem can be viewed as a local version and extension of Gustafson's result [6] stating that if $d(G) = d_{\pi(G)}(G) > 5/8$ then G is abelian. We note that the bound 5/8 in the theorem is tight since if G is the direct product of a group of odd order and the dihedral group D_8 then $d_2(G) = 5/8$. (Here and in what follows, for a prime p we write $k_p(G)$ and $d_p(G)$ in place of $k_{\{p\}}(G)$ and $d_{\{p\}}(G)$ respectively.) Also, from the condition $d_{\pi}(G) > 5/8$ it does not follow that G is π -solvable. For if G is a non-abelian simple group with a Sylow 3-subgroup of order 3 then G is not 3-solvable but $d_3(G) = 2/3$ by Proposition 6.

Our next goal is to describe groups G with $d_{\pi}(G) = 1$ or $d_{\pi}(G) = 2/3$. By Proposition 7 we see that $d_{\pi}(G) = 1$ if and only if G has a normal π -complement and an abelian Hall π -subgroup. On the other hand, by Theorem 1 and Propositions 5 and 9 we see that $d_{\pi}(G) = 2/3$ if and only if $3 \in \pi$, $2 \notin \pi$, $d_3(G) = 2/3$ and $d_{\pi \setminus \{3\}}(G) = 1$. In the next theorem we describe groups G with $d_3(G) = 2/3$. By Propositions 10, 7 and Theorem 1, for this we may assume that $O_{3'}(G) = 1$.

Theorem 2. Let G be a finite group with $d_3(G) = 2/3$ and $O_{3'}(G) = 1$. Let P be a Sylow 3-subgroup in G. Then P is abelian, $\mathbf{N}_G(P)/\mathbf{C}_G(P)$ has order 2, $[P, \mathbf{N}_G(P)]$ has order 3, and one of the following holds.

- (1) P is a self-centralizing normal subgroup in G; or
- (2) $G = A \times B$ where A is an almost simple finite group with a Sylow 3-subgroup of order 3 contained in the socle of A and B is an abelian 3-group.

Theorem 1 is independent of the classification theorem of finite simple groups, however Theorem 2 depends on the fact that if S is a non-abelian finite simple group with a Sylow 3-subgroup of order 3 then the size of the outer automorphism group of S is not divisible by 3.

2. Proof of Theorem 1

The starting point of our investigations is the following result which was communicated to one of us in 2001.

Proposition 3 (Robinson; [9]). Let $\pi = \{p_1, \ldots, p_t\}$ be a subset of $\pi(G)$ for a finite group G. Then there exists a p_i -subgroup Q_i of G for each i with $1 \leq i \leq t$ so that $k_{\pi}(G) \leq \prod_{i=1}^{t} k(Q_i)$.

Hall's theorem about solvable groups extends to π -solvable groups (assuming the Odd Order Theorem [2]).

Proposition 4 (Hall; Theorems 6.4.5 and 6.4.6 of [4]). A π -solvable group G contains a Hall π -subgroup, all Hall π -subgroups of G are conjugate, and every π -subgroup in G lies in some Hall π -subgroup.

The proof of Proposition 3 can be used to establish the following claims.

Proposition 5. Let G be a finite group and let $\mu \subseteq \pi$ be two non-empty sets of primes.

- (1) Then $d(G) \leq d_{\pi}(G) \leq d_{\mu}(G) \leq 1$. Moreover if π is the disjoint union $\mu \cup \{p\}$ then $k_{\pi}(G) \leq k_{\mu}(G)k_{p}(N)$ for some subgroup N of G.
- (2) Suppose that π is the disjoint union $\mu \cup \{p\}$ and that G is μ -solvable with $d_{\mu}(G) = 1$. Then $d_{\pi}(G) = (1/|H|) \sum_{h \in H} k_p(\mathbf{C}_G(h))/|G|_p$ where H is an abelian Hall μ -subgroup of G.
- (3) If G contains an abelian Hall π -subgroup then $\prod_{p \in \pi} d_p(G) \leq d_{\pi}(G)$.

Proof. Assume that π is the disjoint union of μ and $\{p\}$. Put $k = k_{\mu}(G)$ and let x_1, \ldots, x_k be representatives of the *G*-conjugacy classes of μ -elements of *G*. For each $1 \leq i \leq k$ let $y_{i,1}, \ldots, y_{i,m(i)}$ be representatives of the $m(i) = k_p(\mathbf{C}_G(x_i))$ conjugacy classes of p-elements inside $\mathbf{C}_G(x_i)$.

We claim that any π -element z of G is conjugate to $x_i y_{i,j}$ for some i and j. Write z = xywhere x is the μ -part of z and y is the p-part of z. By conjugating by a suitable element of G if necessary, we may assume that $x = x_i$ for some i. But then y lies inside $\mathbf{C}_G(x_i)$ and therefore is conjugate in $\mathbf{C}_G(x_i)$ to some $y_{i,j}$. This proves the claim. It is also clear that the elements $x_i y_{i,j}$ are pairwise non-conjugate. Thus

$$k_{\pi}(G) = \sum_{i=1}^{k_{\mu}(G)} k_{p}(\mathbf{C}_{G}(x_{i})).$$

Let N be a subgroup of G satisfying $k_p(N) = \max_{1 \le i \le k} k_p(\mathbf{C}_G(x_i))$. Then $k_{\pi}(G) \le k_{\mu}(G)k_p(N)$ which gives the second statement of part (1). The first statement of part (1) readily follows.

Suppose now that G is μ -solvable and that $d_{\mu}(G) = 1$. Then, by Proposition 4, $\{x_1, \ldots, x_k\}$ can be taken to be a Hall μ -subgroup H of G. Thus $k_{\pi}(G) = \sum_{h \in H} k_p(\mathbf{C}_G(h))$. After dividing both sides of this equality by $|G|_{\pi}$ we obtain part (2).

Finally suppose that G contains an abelian Hall π -subgroup $H = \prod_{p \in \pi} H_p$ where H_p is a Sylow p-subgroup of G. For $p \in \pi$ let $x_{p,1}, \ldots, x_{p,k_p(G)}$ be representatives in H_p of the G-conjugacy classes of p-elements in G. It is easy to see that the π -elements $\prod_{p \in \pi} x_{p,i_p}$ and $\prod_{p \in \pi} x_{p,j_p}$ are conjugate in G if and only if $i_p = j_p$ for all $p \in \pi$. This gives $\prod_{p \in \pi} k_p(G) \leq k_{\pi}(G)$, from which part (3) readily follows.

Another key tool in our proof of Theorem 1 is the following.

Proposition 6 (Burnside's *p*-complement theorem; Theorem 7.2.1 of [4]). If a finite group G contains a Sylow *p*-subgroup P with $\mathbf{C}_G(P) = \mathbf{N}_G(P)$, then G contains a normal *p*-complement.

Although the proof of the next proposition does not require the full strength of Proposition 6 (as kindly pointed out to us by G. R. Robinson), we will give a proof using it (since we will need Proposition 6 later anyway).

Proposition 7. Let G be a finite group and π a non-empty set of primes. Then we have the following.

- (1) If $d_p(G) = 1$ for every $p \in \pi$ then G contains a normal π -complement and G is π -solvable.
- (2) $d_{\pi}(G) = 1$ if and only if G has a normal π -complement and an abelian Hall π -subgroup.

Proof. We claim that if $d_p(G) = 1$ for $p \in \pi$ then G contains a normal p-complement. Notice that once this claim is established, part (1) follows just by applying this fact repeatedly for the primes in $\pi \cap \pi(G)$. But this claim follows directly from Proposition 6.

The 'if' direction of part (2) is clear by Proposition 4, while the 'only if' direction of part (2) follows from part (1) of Proposition 5, part (1), and Proposition 4. \Box

As we have already described groups G with $d_{\pi}(G) = 1$, we now consider groups G with $d_{\pi}(G) < 1$. In doing so our first tool is a result we mentioned before.

Proposition 8 (Gustafson; [6]). Let G be a finite group with d(G) > 5/8. Then G is abelian.

An important application of Proposition 8 is the following.

Proposition 9. Let G be a finite group and π a set of primes such that $d_{\pi}(G) < 1$. Then we have $d_{\pi}(G) \leq 2/3$. Furthermore if $3 \notin \pi$ or if |G| is odd, then $d_{\pi}(G) \leq 5/8$.

Proof. Assume that G is a finite group with $d_{\pi}(G) > 5/8$.

Assume first that $d_p(G) = 1$ for every $p \in \pi$. Applying Proposition 7, we have that G is π -solvable and that every Hall π -subgroup of G is abelian by Propositions 8 and 4. But then part (3) of Proposition 5 gives $d_{\pi}(G) = 1$.

Hence we may assume that $d_p(G) < 1$ for some $p \in \pi$, and thus it is sufficient to prove the proposition in the special case when $\pi = \{p\}$. Let P be a Sylow p-subgroup of G. This must be abelian. Consider the action of the p'-group $X = \mathbf{N}_G(P)/\mathbf{C}_G(P)$ on P. Suppose that X has r fixed points on P. Then we must have r > |P|/4.

If $p \ge 5$ then this can only be if r = |P| and thus $d_p(G) = 1$ by Proposition 6. A contradiction.

If p = 2 then the only case to consider is when r = |P|/2, since otherwise we may apply Proposition 6 as before. Suppose that Q is the subgroup of P all of whose elements are fixed by X. We may assume that there exists an element x of X which acts non-trivially on P. Let $\alpha \in P$ be an element of a non-trivial $\langle x \rangle$ -orbit. Then α is mapped to $\alpha\beta$ by x for some β in Q. This means that α lies in an $\langle x \rangle$ -orbit of length a non-trivial power of 2. A contradiction.

Finally let p = 3. If $d_3(G) > 2/3$ then r > |P|/3 and this forces $d_3(G) = 1$ by Proposition 6. A contradiction. On the other hand, if |G| is odd then so is |X|. Thus the smallest prime divisor of |X| is at least 5 and so $d_{\pi}(G) \le d_3(G) \le 7/15$.

We will also need a useful lemma.

Proposition 10 (Fulman and Guralnick; Lemma 2.3 of [3]). Let G be a finite group and π a set of primes. Then $d_{\pi}(G) \leq d_{\pi}(N)d_{\pi}(G/N)$ for any normal subgroup N of G.

Now we can turn to the proof of Theorem 1. Let G be a finite group and π a set of primes with $d_{\pi}(G) > 5/8$.

We first claim that G contains an abelian Hall π -subgroup.

By Sylow's theorem and Proposition 8 we may assume that $|\pi| \ge 2$. Also, by Proposition 4 and Proposition 8, there is nothing to show when G is a π -solvable group. So assume that S is any non-abelian simple composition factor of G which is not π -solvable. Then $d_{\pi}(G) \le d_{\pi}(S) < 1$ by Proposition 10 and by part (2) of Proposition 7. By Proposition 9 we may assume that $\pi(S) \cap \pi = \{3\}$ for any such S (for if $\pi(S) \cap \pi$ contains a prime p different from 3 then $d_{\pi}(G) \le d_p(G) \le d_p(S) \le 5/8$ by Proposition 9). Furthermore if |S| is odd then $d_{\pi}(S) \le d_3(S) \le 5/8$ again by Proposition 9. So |S| must be even and thus we may assume that the set $\mu = \pi \setminus \{3\}$ consists of primes at least 5.

Clearly, the group G is a μ -solvable group and so by Proposition 4 there exists a Hall μ subgroup in G intersecting every conjugacy class of μ -elements in G. Moreover, as $d_{\mu}(G) \geq d_{\pi}(G) > 5/8$ by Proposition 5, we must have $d_{\mu}(G) = 1$ by Proposition 9. Let H_1 be a Hall μ -subgroup of G. Then $d_{\pi}(G) = (1/|H_1|) \sum_{h \in H_1} k_3(\mathbf{C}_G(h))/|G|_3$ by part (2) of Proposition 5.

Given $h \in H_1$. Then $k_3(\mathbf{C}_G(h))/|G|_3 \leq 1/3$, or $\mathbf{C}_G(h)$ contains a Sylow 3-subgroup of G, in which case $k_3(\mathbf{C}_G(h))/|G|_3 \leq 1$. We claim that $\mathbf{C}_G(h)$ contains a Sylow 3-subgroup of G for more than $|H_1|/4$ of the h's. For otherwise we would have

$$5/8 < d_{\pi}(G) \le (3/4)(1/3) + (1/4)(1) = 1/2$$

which is a contradiction.

This means that a Sylow 3-subgroup P of G centralizes more than $|H_1|/4 \mu$ -elements in G (from different G-orbits). But $\mathbf{C}_G(P)$ is also a μ -solvable group and so contains a Hall μ -subgroup K. Then we have $|H_1|/4 < k_{\mu}(\mathbf{C}_G(P)) \leq |K|$ which forces $|K| = |H_1|$ since μ consists of primes at least 5. Thus $H := K \times P$ is an abelian Hall π -subgroup in $\mathbf{C}_G(P)$ and also in G. This finishes the proof of our claim.

Next we claim that $d_{\pi}(G) = 2/3$ or $d_{\pi}(G) = 1$.

By parts (1) and (3) of Proposition 5 we have $\prod_{p \in \pi} d_p(G) \leq d_\pi(G) \leq d_q(G)$ for any prime q in π . By Proposition 9, we may take q = 3 and we also see that $d_p(G) = 1$ for any prime $p \neq 3$ in π . This forces $d_{\pi}(G) = d_3(G)$. By Burnside's Lemma (see [4, Theorem 7.1.5]), we know that $d_3(G) = d_3(\mathbf{N}_G(P))$ which must be 2/3 or 1 (if larger than 5/8).

Note that the above arguments show that if $d_{\pi}(G) = 2/3$ then $3 \in \pi$, $d_3(G) = 2/3$, and also that $d_{\mu}(G) = 1$ where $\mu = \pi \setminus \{3\}$.

Finally, to finish the proof of Theorem 1, we show that if L is a π -subgroup of G then it is conjugate to some subgroup of H, where H is a fixed abelian Hall π -subgroups of G.

If $d_{\pi}(G) = 1$ then there is nothing to show by Propositions 7 and 4. (Or in general we may assume that G is not π -solvable.) Thus we may assume that $d_{\pi}(G) = 2/3$, in particular that $3 \in \pi$ and $d_{\mu}(G) = 1$ where $\mu = \pi \setminus \{3\}$. (By Sylow's Theorem we may also assume that $\mu \neq \emptyset$.) Write H in the form $P \times K$ where P is an abelian Sylow 3-subgroup of G and K is an abelian Hall μ -subgroup of G.

Since $d_{\mu}(G) = 1$, the group G contains a normal μ -complement, say M, by Proposition 7. So L projects into G/M with kernel equal to a (normal) Sylow 3-subgroup P_1 of L. By the weak version of the Schur-Zassenhaus Theorem (see [4, Theorem 3.3.1]), P_1 has an (abelian) complement K_1 in G. Since G is a μ -solvable group, the subgroup K_1 is conjugate to a subgroup of K by Proposition 4. Thus, to show that L is conjugate to a subgroup of H, we may assume that $K_1 \leq K$.

Now consider the K_1 -orbits in P_1 . Since $d_3(G) = 2/3$, these have lengths 1 or 2. But there cannot be a K_1 -orbit of length 2 in P_1 since we may assume that μ consists of primes at least 5, as our application of Proposition 10 above shows. This means that P_1 is central in L and so $L = P_1 \times K_1$. But then both P_1 and P lie in $\mathbf{C}_G(K_1)$ and so there exists $c \in \mathbf{C}_G(K_1)$ with $P_1^c \leq P$. With this same c we have $L^c \leq H$.

3. Proof of Theorem 2

In this section we turn to the proof of Theorem 2.

Let G be a finite group with $d_3(G) = 2/3$ and $O_{3'}(G) = 1$. Let P be a Sylow 3-subgroup in G. Then P is abelian by Proposition 8. By Burnside's Lemma (see Theorem 7.1.5 in [4]), we see that $2/3 = d_3(G) = d_3(\mathbf{N}_G(P))$. This implies that $\mathbf{N}_G(P)/\mathbf{C}_G(P)$ has order 2, the subgroup $\mathbf{N}_G(P)$ centralizes a subgroup of index 3 in P, and so $[P, \mathbf{N}_G(P)]$ has order 3 and $P = [P, \mathbf{N}_G(P)] \times (P \cap \mathbf{Z}(\mathbf{N}_G(P)))$.

Since $O_{3'}(G) = 1$, the Fitting subgroup $\mathbf{F}(G)$ of G is a 3-group. Also, by the Focal Subgroup Theorem, see [4, pages 165-167], we have that $P \cap G'$ has order 3.

Let $E = \mathbf{E}(G)$ be the subgroup generated by the components of G. We claim that E = 1 or E is a simple group with a Sylow 3-subgroup of order 3.

Suppose that $E \neq 1$. Then the Sylow 3-subgroup of E has order 3 since $E \leq G'$ and $O_{3'}(G) = 1$. Again since $O_{3'}(G) = 1$, the subgroup $\mathbf{Z}(E)$ is a 3-group. Then, by the Focal Subgroup Theorem, $\mathbf{Z}(E)$ has order 1 or 3. If $|\mathbf{Z}(E)| = 3$ then E must be the central

product of simple Suzuki groups by [11], however a simple Suzuki group cannot have a Schur multiplier of order divisible by 3. Thus $\mathbf{Z}(E) = 1$. So E is a direct product of simple groups and each factor has order divisible by 3, whence E is a simple group whose Sylow 3-subgroup has order 3.

So in this case the generalized Fitting subgroup $\mathbf{F}^*(G)$ is $E \times B$ where B is an abelian 3-group. Note that G centralizes B (since $B \cap G' = 1$) and so $B = \mathbf{Z}(G)$. Thus G/B embeds in the automorphism group of E (which is almost simple since E is simple). Inspection of the simple groups with Sylow 3-subgroup of order 3 shows that G/EB has order prime to 3. As $H^2(E, B) = 0$ (since E has a cyclic Sylow 3-subgroup, its Schur multiplier has order prime to 3), the extension $1 \to B \to G \to G/B \to 1$ splits and therefore (2) holds.

If E = 1, then $\mathbf{F}^*(G) = B$ is a 3-group and G/B acts faithfully on B, whence B = P and G/B has order 2. Therefore (1) holds and the proof is complete.

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