

# ON PARTIAL AUGMENTATIONS OF ELEMENTS IN INTEGRAL GROUP RINGS

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ABSTRACT. Inner relations are derived between partial augmentations of certain elements (units or idempotents) in group rings.

## 1. INTRODUCTION

Let  $KG$  be the group ring of a group  $G$  over a commutative ring  $K$  with identity. Let  $U(KG)$  be the group of units of  $KG$ . The subgroup

$$V(KG) = \left\{ \sum_{g \in G} \alpha_g g \in U(KG) \mid \alpha_g \in K, \sum_{g \in G} \alpha_g = 1 \right\}$$

of  $U(KG)$  is called the *normalized group of units* of  $KG$ . It is easy to see that if  $U(K)$  denotes the group of units of the ring  $K$ , then

$$U(KG) = V(KG) \times U(K)$$

and that  $G$  is a subgroup of  $V(KG)$ .

For  $g \in G$  let  $g^G$  denote the conjugacy class of  $g$  in  $G$ . Let  $u = \sum_{g \in G} \alpha_g g \in KG$ . For  $y \in G$  let  $\nu_y(u) = \sum_{g \in y^G} \alpha_g$  be the *partial augmentation* of  $u$  with respect to  $y$ . Observe that  $\nu_x(u)$  is the same for all  $x \in y^G$ .

The element  $\text{Tr}^{(n)}(u) = \sum_{g \in G\{n\}} \alpha_g \in K$  is called the  $n^{\text{th}}$  *generalized trace* of the element  $u$  (see [2, p. 2932]), where  $G\{n\}$  is the set of elements of order  $p^n$  of  $G$  where  $n$  is a non-negative integer and  $p$  is a prime. Clearly,  $\text{Tr}^{(0)}(u)$  coincides with  $\nu_1(u) = \alpha_1$  of  $u \in KG$ .

Let  $K = \mathbb{Z}$ , the ring of integers. Let  $u = \sum_{g \in G} \alpha_g g \in V(\mathbb{Z}G)$  be a torsion unit, that is, an element of finite order  $|u|$ . There are several connections between  $|u|$ , the partial augmentations  $\nu_g(u)$  ( $g \in G$ ) and  $\text{Tr}^{(i)}(u)$  for  $i = 0, 1, \dots, |u|$ . Such a relationship was first obtained by Higman and Berman (see [2, p. 2932] or [14]), namely that  $\nu_1(u) = 0$  for a finite group  $G$ . More generally, it is also a consequence of the Higman-Berman Theorem that  $\nu_g(u) = 0$  for every central element  $g$  of a finite group  $G$ . The Higman-Berman Theorem was extended for arbitrary groups  $G$  by Bass and Bovdi (see [2, Fact 1.2, p. 2932], [3, Proposition 8.14, p. 185], [4]). Note that it is still an open question whether  $\nu_g(u) = 0$  for every central element  $g$  of an arbitrary group  $G$ ?

The *spectrum* of a group is the set of orders of its torsion elements. A main unsolved problem in the theory of integral group rings is the *Spectrum Problem* (SP) which says that the spectra of  $G$  and  $V(\mathbb{Z}G)$  coincide. A stronger version of SP was the *Zassenhaus Conjecture* (ZC), which says that for a finite group  $G$  each torsion unit of  $V(\mathbb{Z}G)$  is rationally conjugate to an element of  $G$ . The ZC can also be reformulated in terms of conditions on  $\nu_g(u)$  for each torsion unit  $u \in V(\mathbb{Z}G)$ . A historical overview of this topic may be found in the survey [13].

For certain finite groups  $G$ , the cornerstone for solving the ZC is the so-called *Luthar-Passi method* introduced in [12]. Together with results such as [9, Proposition 5], [10, Proposition 3.1], [11, Proposition 2.2], [7] and  $(p, q)$ -character theory from [6], the Luthar-Passi method provides ZC for certain groups  $G$  (see [13]) as well as a counterexample to ZC (see [8]).

After the negative solution of the ZC a question asked by Bovdi (see [2, Fact 1.5, p. 2932]) is gaining relevance. Is it true that if  $u$  is a torsion unit of  $\mathbb{Z}G$  of order  $p^n$  where  $p$  is a prime and  $n$  is a positive integer, then  $\text{Tr}^{(i)}(u) = 0$  for all  $i < n$  and  $\text{Tr}^{(n)}(u) = 1$ ?

Note that the above methods work exclusively only when  $G$  is finite. With the exception of the Bass-Bovdi Theorem, there is no result which gives a restriction for  $\nu_g(u)$  where  $G$  is an infinite group and  $u$  is a torsion unit.

Recall that the Möbius function  $\mu$  is defined on the set of positive integers as follows:  $\mu(1) = 1$ ,  $\mu(n) = 0$  if  $n$  is divisible by the square of a prime, and  $\mu(n) = (-1)^\ell$  if  $n = \prod_{i=1}^\ell p_i$  where  $p_1, \dots, p_\ell$  are distinct primes.

Our first result is a new relation between partial augmentations of a torsion unit of  $\mathbb{Z}G$  where  $G$  is a finite group.

**Theorem 1.** *Let  $u \in V(\mathbb{Z}G)$  be a torsion unit of the integral group ring  $\mathbb{Z}G$  of a finite group  $G$ . Let  $k, n$  be positive integers such that  $k$  is coprime to the exponent of  $G$ . If  $n$  and  $k$  are both congruent to 1 modulo  $|u|$ , then for every  $s \in G$  we have*

$$(1) \quad \nu_s(u) = \sum_{r|t|n} \mu(r) \cdot \left( \sum_{\substack{x \in G, \exists y \in G: \\ y^{(knr)}/t = x^k \sim s}} \nu_x(u) \right).$$

Formula (9), which is part of the proof of Theorem 1, may be of independent interest. The proof of Theorem 1 also depends on the following result in which  $G$  is not necessarily a finite group and  $u$  is not necessarily a unit.

**Theorem 2.** *Let  $u$  be an element of the integral group ring  $\mathbb{Z}G$  of a group  $G$ . Let  $p$  be a prime and  $q = q' \cdot m$  a positive integer such that  $m$  is the  $p$ -part of  $q$  and  $q'$  is not divisible by  $p$ . For every  $s \in G$  we have*

$$(2) \quad \nu_s(u^q) \equiv \sum_{r|t|q'} \mu(r) \cdot \left( \sum_{\substack{x^G, \exists y \in G: \\ y^{\frac{qr}{t}} = x^m \sim s}} \nu_x(u^{q'}) \right) \pmod{p}.$$

In the special case when  $G$  is a finite group and  $u \in \mathbb{Z}G$  is a torsion unit the main result of Wagner (see [15]) could be compared with our Theorem 2.

Note that Theorem 2 may be applied to the case when  $u$  is a nilpotent element of  $\mathbb{Z}G$  with nilpotency index larger than  $q'$ .

Let  $G$  be a finite group. Let  $\mathbb{Q}$  and  $\mathbb{C}$  be the fields of rational and complex numbers respectively. If  $e$  is an idempotent of  $\mathbb{C}G$ , then  $\nu_1(e) \in \mathbb{Q}$  and  $0 < \nu_1(e) < 1$  unless  $e \in \{0, 1\}$  (see [17]). Furthermore,  $|\nu_g(e)|^2 \leq |g^G| \cdot \nu_1(e)$  (see [16, Theorem 2, p. 208]) and  $\sum_{i=1}^m |\nu_i(e)|^2 / |a_i^G| \leq 1$ , where  $\{a_1, \dots, a_m\}$  is a set of representatives of the conjugacy classes of  $G$  (see [9, Corollary 2.6, p. 2330]).

A consequence of Theorem 2 is a new relation between the partial augmentations of an idempotent in  $\mathbb{Q}G$  where  $G$  is an arbitrary group.

**Corollary 1.** *Let  $e$  be an idempotent of  $\mathbb{Q}G$  of a group  $G$ . Let  $\beta \in \mathbb{Z}$  such that  $u = \beta e \in \mathbb{Z}G$ . Let  $p$  be a prime and  $q = q' \cdot m$  a positive integer such that  $m$  is the  $p$ -part of  $q$  and  $q'$  is not divisible by  $p$ . If  $p$  does not divide  $\beta$ , then for every  $s \in G$  we have*

$$(3) \quad \nu_s(u) \equiv \sum_{r|t|q'} \mu(r) \cdot \left( \sum_{\substack{x^G, \exists y \in G: \\ y^{\frac{qr}{t}} = x^m \sim s}} \nu_x(u) \right) \pmod{p}.$$

Moreover, if  $G$  is finite and  $p > 4q' \cdot |\beta| \cdot |G|^{3/2}$ , then in (3) equality holds.

## 2. PROOFS

*Proof of Theorem 2.* For elements  $x$  and  $y$  in  $G$  we write  $x \sim y$  if  $x$  is conjugate to  $y$ .

Let  $s \in G$ . We wish to give an expression for  $\nu_s(u^q)$ . We need some notation.

Consider the set  $\mathcal{K} = \{(g_1, \dots, g_q) \in G^q \mid g_1 \cdots g_q \sim s\}$ . There is a permutation  $\pi$  acting on  $\mathcal{K}$  by sending  $(g_1, g_2, \dots, g_q) \in \mathcal{K}$  to  $(g_2, \dots, g_q, g_1) \in \mathcal{K}$ . Let  $t$  be a positive divisor of  $q$ . Let the union of those  $\langle \pi \rangle$ -orbits on  $\mathcal{K}$  which have lengths dividing  $t$  be denoted by

$$\mathcal{K}_t = \{(g_1, \dots, g_q) \in \mathcal{K} \mid g_{i+t} = g_i \text{ for every } i \text{ with } 1 \leq i \leq q - t\}$$

and let the union of orbits length  $t$  on  $\mathcal{K}$  be  $\mathcal{K}_t^*$ . Observe that  $\mathcal{K} = \mathcal{K}_q$  and  $\mathcal{K}_t = \cup_{r|t} \mathcal{K}_r^*$ .

Write  $u = \sum_{g \in G} \alpha_g g \in \mathbb{Z}G$ . It is easy to see that

$$(4) \quad \nu_s(u^q) = \sum_{(g_1, \dots, g_q) \in \mathcal{K}} \prod_{j=1}^q \alpha_{g_j} = \sum_{t|q} \sum_{(g_1, \dots, g_q) \in \mathcal{K}_t^*} \prod_{j=1}^q \alpha_{g_j}.$$

Since  $\mathcal{K}_t^*$  is the union of all  $\langle \pi \rangle$ -orbits of length exactly  $t$ , the multiplicity of each summand in the sum  $\sum_{(g_1, \dots, g_q) \in \mathcal{K}_t^*} \prod_{j=1}^q \alpha_{g_j}$  is divisible by  $t$ . Thus (4) provides

$$(5) \quad \begin{aligned} \nu_s(u^q) &\equiv \sum_{\substack{t|q \\ p \nmid t}} \sum_{(g_1, \dots, g_q) \in \mathcal{K}_t^*} \prod_{j=1}^q \alpha_{g_j} \equiv \sum_{t|q'} \sum_{(g_1, \dots, g_q) \in \mathcal{K}_t^*} \prod_{j=1}^q \alpha_{g_j} \\ &\equiv \sum_{t|q'} \sum_{(g_1, \dots, g_q) \in \mathcal{K}_t^*} \left( \prod_{j=1}^{q'} \alpha_{g_j} \right)^m \equiv \sum_{t|q'} \sum_{(g_1, \dots, g_q) \in \mathcal{K}_t^*} \prod_{j=1}^{q'} \alpha_{g_j} \pmod{p}. \end{aligned}$$

If  $f_1$  and  $f_2$  are two functions from  $\mathbb{Z}$  to  $\mathbb{Z}$  such that  $f_1(t) = \sum_{r|t} f_2(r)$ , then  $f_2(t) = \sum_{r|t} \mu(r) f_1(t/r)$ . This is the Möbius inversion formula (see [1, Theorem 2.9, p. 32]).

For positive integers  $t$  and  $r$ , put

$$f_1(t) = \sum_{(g_1, \dots, g_q) \in \mathcal{K}_t} \prod_{j=1}^{q'} \alpha_{g_j} \quad \text{and} \quad f_2(r) = \sum_{(g_1, \dots, g_q) \in \mathcal{K}_r^*} \prod_{j=1}^{q'} \alpha_{g_j}.$$

The Möbius inversion formula then yields

$$(6) \quad \sum_{(g_1, \dots, g_q) \in \mathcal{K}_t^*} \prod_{j=1}^{q'} \alpha_{g_j} = \sum_{r|t} \mu(r) \sum_{(g_1, \dots, g_q) \in \mathcal{K}_{t/r}} \prod_{j=1}^{q'} \alpha_{g_j}.$$

Formulas (5) and (6) yield

$$\begin{aligned} \nu_s(u^q) &\equiv \\ &\equiv \sum_{t|q'} \left( \sum_{r|t} \mu(r) \sum_{(g_1, \dots, g_q) \in \mathcal{K}_{t/r}} \prod_{j=1}^{q'} \alpha_{g_j} \right) \equiv \sum_{r|t|q'} \mu(r) \cdot \left( \sum_{(g_1, \dots, g_q) \in \mathcal{K}_{t/r}} \prod_{j=1}^{q'} \alpha_{g_j} \right) \\ &\equiv \sum_{r|t|q'} \mu(r) \cdot \left( \sum_{\substack{(g_1, \dots, g_{q'}) \in G^{q'} \\ (g_1 \cdots g_{t/r})^{qr/t} = (g_1 \cdots g_{q'})^{m \sim s}}} \prod_{j=1}^{q'} \alpha_{g_j} \right) \\ &\equiv \sum_{r|t|q'} \mu(r) \cdot \left( \sum_{\substack{x \in G \\ \exists y \in G: \\ y^{qr/t} = x^{m \sim s}}} \nu_x(u^{q'}) \right) \pmod{p}. \end{aligned}$$

□

*Proof of Theorem 1.* Let  $s, p, q, q'$  and  $m$  be as in Theorem 2. Let  $n = q'$  and  $m = p$ . By (2) of Theorem 2 we have

$$(7) \quad \nu_s(u^{np}) \equiv \sum_{r|t|n} \mu(r) \cdot \left( \sum_{\substack{x^G, \exists y \in G: \\ y^{npr/t} = x^p \sim_s}} \nu_x(u^n) \right) \pmod{p}.$$

Let  $k$  be a positive integer coprime to the exponent  $e$  of  $G$ . Choose  $p$  such that  $p \equiv k \pmod{e}$ . There are infinitely many such primes by Dirichlet's theorem on arithmetic progressions [1, Chapter 7].

Since  $p \equiv k \pmod{e}$ , in (7) we have  $y^{\frac{npr}{t}} = y^{\frac{nk r}{t}}$  and  $x^p = x^k$ . Moreover,  $u^{np} = u^{nk}$  by the Cohn-Livingstone Theorem [7, Corollary 4.1]. This yields

$$(8) \quad \nu_s(u^{nk}) \equiv \sum_{r|t|n} \mu(r) \cdot \left( \sum_{\substack{x^G, \exists y \in G: \\ y^{nkr/t} = x^k \sim_s}} \nu_x(u^n) \right) \pmod{p}.$$

The absolute value of every partial augmentation of  $G$  is at most  $\sqrt{|G|}$  (really  $\nu_y(x)^2 \leq |y^G|$ ) by [9, Corollary 2.3, p. 2329] or [5]. The number of summands on the right-hand side of (8) is at most  $(2\sqrt{n})^2 \cdot |G|$ . Choose  $p$  such that  $p > (2\sqrt{n})^2 \cdot |G|^{3/2}$ . Since both sides of the congruence (8) have absolute value less than  $p$ ,

$$(9) \quad \nu_s(u^{nk}) = \sum_{r|t|n} \mu(r) \cdot \left( \sum_{\substack{x^G, \exists y \in G: \\ y^{(knr)/t} = x^k \sim_s}} \nu_x(u^n) \right).$$

If  $k$  and  $n$  are both congruent to 1 modulo  $|u|$ , then we get (1).  $\square$

*Proof of Corollary 1.* Let  $s, p, q, q'$  and  $m$  be as in Theorem 2. Since  $u^r = \beta^{r-1}u$ , we get  $\nu_s(u^r) = \nu_s(\beta^{r-1}u) = \beta^{r-1}\nu_s(u)$ , where  $r \in \{q, q'\}$ . Theorem 2 gives

$$\beta^{q-q'} \nu_s(u) \equiv \sum_{r|t|q'} \mu(r) \cdot \left( \sum_{\substack{x^G, \exists y \in G: \\ y^{qr/t} = x^m \sim_s}} \nu_x(u) \right) \pmod{p}.$$

Congruence (3) follows by observing that  $\beta^{q-q'} = (\beta^m)^{q'} \beta^{-q'} \equiv 1 \pmod{p}$  since  $m$  is a  $p$ -power.

The absolute value of the left-hand side of (3) is at most  $|\beta| \cdot \sqrt{|G|}$  and the absolute value of the right-hand side of (3) is at most  $(2\sqrt{q'})^2 \cdot |G| \cdot |\beta| \cdot \sqrt{|G|}$ , by [16, Theorem 2, p. 208]. If  $p > 4q' \cdot |\beta| \cdot |G|^{3/2}$ , then equality in (3) holds.  $\square$

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