

FINITE GROUPS HAVE MORE CONJUGACY CLASSES

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ABSTRACT. We prove that for every $\epsilon > 0$ there exists a $\delta > 0$ such that every group of order $n \geq 3$ has at least $\delta \log_2 n / (\log_2 \log_2 n)^{3+\epsilon}$ conjugacy classes. This sharpens earlier results of Pyber and Keller. Bertram speculates whether it is true that every finite group of order n has more than $\log_3 n$ conjugacy classes. We answer Bertram's question in the affirmative for groups with a trivial solvable radical.

1. INTRODUCTION

For a finite group G let $k(G)$ denote the number of conjugacy classes of G . Answering a question of Frobenius, Landau [16] proved in 1903 that for a given k there are only finitely many groups having k conjugacy classes. Making this result explicit, we have $\log \log |G| < k(G)$ for any non-trivial finite group G (see Brauer [5], Erdős and Turán [10], Newman [20]). (Here and throughout the paper the base of the logarithms will always be 2 unless otherwise stated.) Problem 3 of Brauer's list of problems [5] is to give a substantially better lower bound for $k(G)$ than this.

Pyber [21] proved that there exists a constant $\epsilon > 0$ such that for every finite group G of order at least 3 we have $\epsilon \log |G| / (\log \log |G|)^8 < k(G)$. Almost 20 years later Keller [15] replaced the 8 in the previous bound by 7. Our first result gives a further improvement to Pyber's theorem.

Theorem 1.1. *For every $\epsilon > 0$ there exists a $\delta > 0$ such that for every finite group G of order at least 3 we have $\delta \log |G| / (\log \log |G|)^{3+\epsilon} < k(G)$.*

There are many lower bounds for $k(G)$ in terms of $|G|$ for the various classes of finite groups G . For example, Jaikin-Zapirain [14] gave a better than logarithmic lower bound for $k(G)$ when G is a nilpotent group. For supersolvable G Cartwright [7] showed $(3/5) \log |G| <$

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$k(G)$. For solvable groups the best bound to date is a bit worse than logarithmic and is due to Keller [15].

The conjecture whether there exists a universal constant $c > 0$ such that $c \log |G| < k(G)$ for any finite group G has been intensively studied by many mathematicians including Bertram, see for instance [3]. Bertram observed that $k(G) = \lceil \log_3(|G|) \rceil$ when $G = \text{PSL}_3(4)$ or M_{22} and checked the proposed bound for certain small groups [2, p. 96]. He then speculates whether $\log_3 |G| < k(G)$ is true for every finite group G . In our second result we answer Bertram's question in the affirmative for groups with a trivial solvable radical.

Theorem 1.2. *Let G be a finite group with a trivial solvable radical. Then $\log_3 |G| < k(G)$.*

The paper is structured as follows. We prove Theorem 1.1 in Section 2. This is done by first improving [21, Lemma 4.7] which gives the lower bound for $\log k(G)$ in terms of $\log |G|$ for finite groups with a trivial solvable radical and then applying the argument in [21] and [15] to get the required result for arbitrary finite groups. In Section 3, we compute explicitly the constant c_2 arising from Lemma 2.3. In Section 4 we verify Theorem 1.2 for some almost simple groups whose automorphism groups have a bounded number of orbits on their socles and finally the full proof of Theorem 1.2 is carried out in Section 5.

2. ASYMPTOTICS

In this section we first improve [21, Lemma 4.7].

Theorem 2.1. *For every $\epsilon > 0$ there exists $\delta > 0$ such that for every non-trivial finite group G with trivial solvable radical we have $\delta \cdot (\log |G|)^{1/(3+\epsilon)} < \log k(G)$.*

We will prove Theorem 2.1 in this section. Let G be a non-trivial finite group with trivial solvable radical. Suppose that G has r minimal normal subgroups M_1, \dots, M_r . Then each M_i with $1 \leq i \leq r$ is equal to a direct product $T_{i,1} \times \dots \times T_{i,n_i}$ of n_i isomorphic non-abelian simple groups $T_{i,j}$ with $1 \leq j \leq n_i$. Put $n = \sum_{i=1}^r n_i$, and let N be the socle of G , that is, $M_1 \times \dots \times M_r$.

The group G permutes the simple direct factors of each M_i for $1 \leq i \leq r$. Let B be the kernel of the action of G on the set of n simple direct factors of N . Then B contains N and B/N embeds in the direct product of the outer automorphism groups of the n simple direct factors of N . Furthermore G/B is a subgroup of $S_{n_1} \times S_{n_2} \times \dots \times S_{n_r} \leq S_n$.

For a non-abelian finite simple group T let $k^*(T)$ denote the number of $\text{Aut}(T)$ -orbits on T . By Burnside's theorem, $|T|$ has at least 3 different prime divisors, so $k^*(T) \geq 4$ by Cauchy's theorem. Further, [21, Lemma 2.5] and [21, Lemma 4.4] yield the following.

Lemma 2.2. *There exists a universal constant $c_1 > 0$ such that whenever G is a finite group with a composition factor isomorphic to a non-abelian simple group T , then*

$$\log k(G) \geq \log k^*(T) > c_1 (\log a / \log \log a)^{1/2}$$

where $a = |\text{Aut}(T)|$.

From this we may derive the following inequality.

Lemma 2.3. *There exists a universal constant $c_2 > 0$ such that whenever T is a non-abelian finite simple group then $\log |\text{Aut}(T)| < c_2(\log k^*(T))^2 \log \log k^*(T)$.*

Proof. From Lemma 2.2 we have $\log |\text{Aut}(T)| < (1/c_1^2)(\log k^*(T))^2 \log \log |\text{Aut}(T)|$. From Lemma 2.2 we also have that $2 \log \log k^*(T) > 2 \log c_1 + \log \log |\text{Aut}(T)| - \log \log \log |\text{Aut}(T)|$. Notice that this lower bound is non-positive for only at most finitely many T 's and it tends to infinity as $|\text{Aut}(T)|$ tends to infinity. Thus $2 \log \log k^*(T) > c_3 \log \log |\text{Aut}(T)|$ for some universal constant $c_3 > 0$. From these the lemma follows. \square

In the next section, we show that c_2 can be chosen to be 1.954.

To slightly simplify notation, for every i with $1 \leq i \leq r$, put $k_i = k^*(T_{i,j})$ for every j with $1 \leq j \leq n_i$. We may now give an upper bound for $\log |G|$.

Lemma 2.4. *Let c_2 be as above. Then $\log |G| < n \log n + c_2 \sum_{i=1}^r n_i (\log k_i)^2 (\log \log k_i)$.*

Proof. Clearly Lemma 2.3 implies $\log |G| < \sum_{i=1}^r (n_i \log n_i + c_2 n_i (\log k_i)^2 (\log \log k_i))$. \square

The following lemma will also be useful.

Lemma 2.5. *For every i with $1 \leq i \leq r$ the number of conjugacy classes of G lying inside M_i is larger than $(k_i/n_i)^{n_i}$.*

Proof. Fix an index i . Observe that M_i has at least $k_i^{n_i}$ conjugacy classes and that these are non-trivially permuted by a certain factor group of size at most $n_i! < n_i^{n_i}$. \square

For a permutation group H let $s(H)$ be the number of orbits on the power set of the underlying set. The following is [1, Theorem 1].

Lemma 2.6. *Let H be a permutation group of degree n . If H has no composition factor isomorphic to A_m for $m > t \geq 5$, then $s(H) \geq 2^{c_4(n/t)}$ for some absolute constant $c_4 > 0$.*

Let $t \geq 5$ be the largest integer such that A_t is a composition factor of G/B . If no such t exists then set $t = 4$. By Lemma 2.2 we have $\log k(G) \geq \log k^*(A_t)$, provided that $t \geq 5$. If $t \geq 5$ this is at least $c_5 \sqrt{t}$ by [21, Lemma 4.3] for some absolute constant $c_5 > 0$. Thus in all cases we have $\log k(G) \geq c_6 \sqrt{t}$ for some other absolute constant $c_6 > 0$.

If $t > (\delta^2/c_6^2) \cdot (\log |G|)^{2/(3+\epsilon)}$ then we are finished. Choose $\delta^2 < c_6^2$ and assume that $t < (\log |G|)^{2/(3+\epsilon)}$.

By Lemma 2.6 we see that $\log k(G) > c_4(n/t) > c_4(n/(\log |G|)^{2/(3+\epsilon)})$. If this is at least $\delta(\log |G|)^{1/(3+\epsilon)}$, then we are finished. So assume that $(c_4/\delta)n < (\log |G|)^{3/(3+\epsilon)}$. We may choose δ smaller than c_4 so we assume that $n^{1+(\epsilon/3)} < \log |G|$.

Lemma 2.7. *Under our assumptions there exists a constant c_7 such that*

$$n^{1+(\epsilon/3)} < c_7 \sum_{i=1}^r n_i (\log k_i)^2 (\log \log k_i).$$

Proof. Notice that if n is bounded then we are finished. So assume that $n \rightarrow \infty$. By our assumption and Lemma 2.4 we have

$$n^{1+(\epsilon/3)} < n \log n + c_2 \sum_{i=1}^r n_i (\log k_i)^2 (\log \log k_i).$$

Since $(n \log n)/n^{1+(\epsilon/3)} \rightarrow 0$ as $n \rightarrow \infty$, there exists a constant $c_7 > 0$ such that

$$(c_2/c_7)n^{1+(\epsilon/3)} < n^{1+(\epsilon/3)} - n \log n < c_2 \sum_{i=1}^r n_i (\log k_i)^2 (\log \log k_i)$$

for large enough n . Therefore the proof is complete. \square

Set $N(\epsilon)$ to be a large enough integer such that $(N(\epsilon)/c_7)^{1/3} > 2 \log N(\epsilon) \geq 1$ and $m^{\epsilon/18} > 2 \log m$ for all m with $m \geq N(\epsilon)$.

Let J be the set of those i 's with $1 \leq i \leq r$ such that $N(\epsilon) \cdot n^{\epsilon/6} < c_7 (\log k_i)^2 (\log \log k_i)$. We may assume that J is non-empty. Otherwise n is bounded by Lemma 2.7 and so all the k_i 's are bounded. This means that $|G|$ is bounded and thus $k(G)$ is bounded. We may set δ small enough such that the theorem holds for these finitely many groups G .

Lemma 2.8. *We may assume that there exists a constant c_8 such that*

$$\log |G| < c_8 \sum_{i \in J} n_i (\log k_i)^2 (\log \log k_i).$$

Proof. By our discussion about J above, our assumption, and Lemma 2.4, we get

$$n^{1+(\epsilon/3)} < \log |G| < n \log n + (c_2 N(\epsilon)/c_7) n^{1+(\epsilon/6)} + c_2 \sum_{j \in J} n_j (\log k_j)^2 (\log \log k_j).$$

Let $K(\epsilon)$ be an integer such that whenever $n \geq K(\epsilon)$ then

$$\log |G| - n \log n - (c_2 N(\epsilon)/c_7) n^{1+(\epsilon/6)} > 0.$$

Then there exists a constant $c_8 > 0$ such that

$$(c_2/c_8) \log |G| < \log |G| - n \log n - (c_2 N(\epsilon)/c_7) n^{1+(\epsilon/6)}$$

whenever $n \geq K(\epsilon)$. Thus we may assume that $n < K(\epsilon)$. Then there exists a positive constant $M(\epsilon)$ such that

$$\log |G| < M(\epsilon) + c_2 \sum_{j \in J} n_j (\log k_j)^2 (\log \log k_j).$$

If the second summand on the right-hand side of the previous inequality is larger than $M(\epsilon)$ then the claim follows. This means that $|G|$ is bounded. But since $J \neq \emptyset$ we can certainly choose (in this case) a suitable c_8 to satisfy the statement of the lemma. \square

Lemma 2.9. *We can assume that for all $i \in J$ we have $\log k_i - \log n_i > (\log k_i)/2$.*

Proof. Since $i \in J$, we have $N(\epsilon) \cdot n^{\epsilon/6} < c_7(\log k_i)^3$. From this it follows that

$$(N(\epsilon)/c_7)^{1/3} n^{\epsilon/18} < \log k_i.$$

Finally, $(N(\epsilon)/c_7)^{1/3} n^{\epsilon/18} > 2 \log n \geq 2 \log n_i$ by our choice of $N(\epsilon)$. \square

Finally, by Lemmas 2.8, 2.9 and 2.5, we have

$$\begin{aligned} \delta^3 \log |G| &< \delta^3 c_8 \cdot \sum_{i \in J} n_i (\log k_i)^2 (\log \log k_i) < \left((1/2) \sum_{i \in J} n_i \log k_i \right)^3 < \\ &< \left(\sum_{i \in J} n_i (\log k_i - \log n_i) \right)^3 < (\log k(G))^3 \end{aligned}$$

whenever δ satisfies $\delta^3 c_8 < 1/8$. This proves Theorem 2.1.

Proof of Theorem 1.1. The proof of Theorem 1.1 depends on Theorem 2.1. Indeed, in the proof of [15, Corollary 3.3], which is an improved version of the argument on [21, page 248], we can replace 7 by $3 + \epsilon$. Notice that the δ 's in the statements of Theorems 2.1 and 1.1 are different. \square

3. COMPUTING c_2

Now we turn our attention to Bertram's question aiming to give a specific logarithmic lower bound for $k(G)$ in terms of $|G|$ where G is an arbitrary finite group. In order to prove Theorem 1.2, we need to compute specific values of c_2 in Lemma 2.3.

We first fix some notation. Let T be a non-abelian simple group, let $A := \text{Aut}(T)$ and $k := k^*(T)$. We have

$$(1) \quad k \geq k(T)/|\text{Out}(T)|.$$

Denote by $\Gamma = \{x_i\}_{i=1}^m$ a representative set for all conjugacy classes of A , i.e., $A = \cup_{i=1}^m x_i^A$. By definition, we see that

$$(2) \quad k = |\{i \in \Gamma : x_i^A \cap T \neq \emptyset\}|.$$

Notice that $k = k(T)$ when $\text{Out}(T) = 1$. It follows from Lemma 2.3 that

$$(3) \quad \gamma := \gamma(T) := \frac{\log |A|}{(\log k)^2 \log \log k} < c_2.$$

The following lemma is used frequently, whose proof is straightforward and is omitted.

Lemma 3.1. *Let $q = p^f \geq 2$ be a power of a prime p , where $f \geq 1$ is an integer and let $2 \leq a \leq b$ be integers. Then*

- (1) $(q^a - 1)(q^b - 1) \leq q^{a+b}$;
- (2) $(q^a - 1)(q^b + 1) \leq q^{a+b}$;

- (3) $q \geq 2f$ and if $q \geq 16$, then $q \geq 3f$;
(4) If $f \neq 3$, then $2 \log f \leq f$.

Theorem 3.2. *Let T be a non-abelian simple group. Then $\gamma(T) < 1.613$ unless $T \cong A_5$ or $\text{PSL}_3(4)$. For the exceptions, we have $\gamma(A_5) \leq 1.727$ and $\gamma(\text{PSL}_3(4)) \leq 1.954$. Therefore, we can choose $c_2 = 1.954$ in all cases. Furthermore, $k \geq 5$ unless $T \cong A_5$.*

For brevity, let $c := 1.613$. Using [8, Page xvi], we can easily obtain Table 1, where $q = p^f$ and p is the defining characteristic of T .

TABLE 1. The finite simple groups of Lie type

T	d	$ \text{Out}(T) $	$ \text{Aut}(T) \leq$
$\text{PSL}_n(q)$	$\gcd(n, q-1)$	$2df, n \geq 3$ $df, n = 2$	$2fq^{n^2-1}$ fq^3
$\text{PSU}_n(q)$	$\gcd(n, q+1)$	$2df, n \geq 3$	$2fq^{n^2-1}$
$\text{PSp}_{2n}(q)$	$\gcd(2, q-1)$	$df, n \geq 3$ $2f, n = 2$	fq^{2n^2+n} $2fq^{10}$
$\Omega_{2n+1}(q), q$ odd	2	$2f$	fq^{2n^2+n}
$\text{P}\Omega_8^+(q)$	$\gcd(4, q^4-1)$	$6df$	$2fq^{28}$
$\text{P}\Omega_{2n}^+(q)$	$\gcd(4, q^n-1)$	$2df, n \neq 4$	$2fq^{2n^2-n}$
$\text{P}\Omega_{2n}^-(q), n \geq 4$	$\gcd(4, q^n+1)$	$2df$	$2fq^{2n^2-n}$
${}^2\text{B}_2(q^2), q^2 = 2^{2m+1}$	1	$2m+1$	$(2m+1)2^{5(2m+1)}$
${}^2\text{G}_2(q^2), q^2 = 3^{2m+1}$	1	$2m+1$	$(2m+1)3^{7(2m+1)}$
${}^2\text{F}_2(q^2), q^2 = 2^{2m+1}$	1	$2m+1$	$(2m+1)2^{26(2m+1)}$
${}^3\text{D}_4(q)$	1	$3f$	$6fq^{28}$
${}^2\text{E}_6(q)$	$\gcd(3, q+1)$	$2df$	$2fq^{78}$
$\text{G}_2(q), q \geq 3$	1	$f, \text{ if } p \neq 3$ $2f, \text{ if } p = 3$	fq^{14} $2fq^{14}$
$\text{F}_4(q)$	1	$\gcd(2, p)f$	$\gcd(2, p)fq^{52}$
$\text{E}_6(q)$	$\gcd(3, q-1)$	$2df$	$2fq^{78}$
$\text{E}_7(q)$	$\gcd(2, q-1)$	df	fq^{133}
$\text{E}_8(q)$	1	f	fq^{248}

Let \mathcal{S} be the set consisting of all 26 sporadic simple groups, the alternating groups A_n with degree $5 \leq n \leq 22$ and the following nonabelian simple groups of Lie type, where q denotes a prime power:

$$\begin{aligned} & \text{PSL}_2(q)(q \leq 169), \text{PSL}_3(q)(q \leq 9), \text{PSU}_3(q)(q \leq 9), \text{PSU}_4(q)(q \leq 7), \text{PSU}_5(q)(q \leq 4), \\ & \text{PSU}_n(2)(4 \leq n \leq 7), \text{PSp}_4(q)(q \leq 8), \text{PSp}_6(q)(q \leq 3), \Omega_7(3), \text{P}\Omega_8^\pm(q)(q \leq 3), \text{P}\Omega_{10}^+(2), \\ & {}^2\text{B}_2(q^2), (q^2 = 8, 32), {}^2\text{G}_2(3^3), {}^2\text{F}_4(8), {}^3\text{D}_4(2), {}^2\text{E}_6(2), \text{G}_2(q)(q \leq 5), {}^2\text{F}_4(2)', \text{F}_4(2). \end{aligned}$$

Let T be any simple group in \mathcal{S} . The number $k = k^*(T)$ can be computed using [13] via the ‘fusions’ of conjugacy classes of T onto that of $\text{Aut}(T)$. Notice that the character tables of T and almost all the character tables of $A = \text{Aut}(T)$ are available in [13, 8]. In

the case when the character table of $\text{Aut}(T)$ is not available, we can use the obvious lower bound for $k^*(T)$ which is the number of distinct element orders of T , i.e.,

$$k = k^*(T) \geq e(T) := |\{|x| : x \in T\}|,$$

where $|x|$ denotes the order of the element $x \in T$.

As an example, let $T = \text{PSL}_3(4)$. Then $A = \text{PSL}_3(4) \cdot \text{D}_{12}$. We first compute the character tables of A and T .

```
>t:=CharacterTable("L3(4).D12");; s:=CharacterTable("L3(4)");;
```

Here t and s are the character tables of A and T respectively.

Next, we compute the fusion of conjugacy classes of T onto that of A .

```
>fus:=FusionConjugacyClasses(s,t);;
```

Now we can easily obtain $k = k^*(T)$ via:

```
>k:=Size(Set(fus));;
```

We can also obtain both $k(T)$ and $e(T)$ from [13] by first obtaining the conjugacy class names.

```
>cl:=ClassName(s);; k(T):=Size(cl);;
```

To obtain $e(T)$, we count the number of classes with name 'ia' where $i = 1, 2, \dots$. For example, if $T = \text{M}_{12}$, then $cl := ["1a", "2a", "3a", "5a", "5b"]$ so $e(T) = 4$.

Next, we obtain $|A|$ via the GAP command

```
>a:=Size(t);;
```

Finally, we can easily compute γ using Equation (3).

For sporadic and alternating simple groups of small degrees, $\gamma(T)$ and $k^*(T)$ are given in Table 2.

Lemma 3.3. *If T is a sporadic simple group, the Tits group or the alternating group of degree $n \geq 6$, then $\gamma(T) < c$ while $c < \gamma(\text{A}_5) \leq 1.727$. Moreover, $k \geq 5$ unless $T = \text{A}_5$.*

Proof. (i) Assume first that T is a sporadic simple group or the Tits group. From Table 2, we see that $10 \leq k^*(T) \leq k^*(\text{M}) = 194$ and $\gamma(T) \leq \gamma(\text{M}) < 1.06 < c$. So the lemma holds in this case.

(ii) Assume that $T = \text{A}_n$ with $5 \leq n \leq 21$. From Table 2, if $6 \leq n \leq 21$, then $\gamma(T) < 1 < c$ and $k \geq 5$ while $c < \gamma(\text{A}_5) < 1.727$ and $k^*(\text{A}_5) = 4$.

(iii) Assume that $T = \text{A}_n$ with $n \geq 22$. Since $|\text{S}_n : \text{A}_n| = 2$, Clifford's theorem gives that $k(\text{S}_n) \leq 2k(\text{A}_n)$ and thus by (1) we have $k \geq k(\text{A}_n)/2 \geq k(\text{S}_n)/4 = p(n)/4$, where $p(n)$ is the number of partitions of n . By [19, Corollary 3.1], we have $p(n)/4 \geq e^{2\sqrt{n}}/56$ and so, as $n \geq 22$, we obtain that $k \geq 250$ and $\log k \geq 2\sqrt{n} \log e - \log 56 \geq \sqrt{n}$. Now we can easily

TABLE 2. Some alternating and sporadic simple groups

T	k	$\gamma <$	T	k	$\gamma <$
M ₁₁	10	0.678	M ₁₂	12	0.741
M ₂₂	11	0.923	M ₂₃	17	0.687
M ₂₄	26	0.565	J ₁	15	0.581
J ₂	16	0.632	J ₃	17	0.784
HS	21	0.642	Suz	37	0.615
McL	19	0.817	Ru	36	0.586
He	26	0.668	Ly	53	0.673
O'N	25	0.833	Co ₁	101	0.511
Co ₂	60	0.507	Co ₃	42	0.550
Fi ₂₂	59	0.530	Fi ₂₃	98	0.519
Fi' ₂₄	97	0.684	HN	44	0.671
Th	48	0.728	B	184	0.678
M	194	1.06	² F ₄ (2)'	17	0.740
A ₅	4	1.727	A ₆	5	1.602
A ₇	8	0.863	A ₈	12	0.647
A ₉	16	0.578	A ₁₀	22	0.509
A ₁₁	29	0.470	A ₁₂	40	0.423
A ₁₃	52	0.399	A ₁₄	69	0.374
A ₁₅	90	0.355	A ₁₆	118	0.336
A ₁₇	151	0.324	A ₁₈	195	0.310
A ₁₉	248	0.300	A ₂₀	$\geq 162^*$	0.395
A ₂₁	$\geq 204^*$	0.379	A ₂₂	$\geq 256^*$	0.365

* We use the bound $k \geq k(A_n)/2$.

check that

$$\gamma \leq \frac{\log n!}{(2\sqrt{n} \log e - \log 56)^2 \log n^{1/2}} < \frac{2n}{(2\sqrt{n} \log e - \log 56)^2} < c.$$

This completes the proof. \square

Let \mathbf{G} be a simply connected simple algebraic group of rank $r > 0$ and let F be a Steinberg endomorphism of \mathbf{G} associated to a prime power q . Then $L = \mathbf{G}^F$ is a quasi-simple group and $L/\mathbf{Z}(L) \cong T$ is a finite simple group of Lie type with $d = |\mathbf{Z}(L)|$. From [12, Theorem 3.1] and [12, Lemma 2.1], we have that $k(L) \geq q^r$ and $k(L) \leq k(\mathbf{Z}(L))k(L/\mathbf{Z}(L))$ and thus $k(T) \geq k(L)/k(\mathbf{Z}(L)) \geq q^r/d$ hence by (1), we have

$$(4) \quad k = k^*(T) \geq \max\left\{e(T), \frac{q^r}{d|\text{Out}(T)|}\right\}.$$

Denote by $\text{Irr}(H)$ the set of complex irreducible characters of a finite group H . Then it is well-known that $k(H) = |\text{Irr}(H)|$ and by Brauer's permutation lemma, the numbers of $\text{Aut}(H)$ -orbits on irreducible characters and on conjugacy classes of H are the same.

Therefore, if we write $\text{cd}(H)$ for the set of character degrees of H , then $k^*(H) \geq |\text{cd}(H)|$. It follows that

$$(5) \quad k^*(T) \geq |\text{cd}(T)|.$$

Lemma 3.4. *Theorem 3.2 holds for finite simple groups of Lie type.*

Proof. For the proof of this lemma, we only give a detailed proof for $T = \text{PSL}_n(q)$ with $n \geq 2$ and $q = p^f$ for some prime p and integer $f \geq 1$, which is the most difficult case. Other families can be dealt with a similar argument.

(i) Assume $T = \text{PSL}_2(q)$ with $q = 2^f$. By Lemma 3.3, we can assume that T is not an alternating group. So $f \geq 3$. In this case, we have that $|A| = q(q^2 - 1)f \leq f \cdot 2^{3f}$. Now, if $3 \leq f \leq 6$, then k is given in Table 3. For these cases, it is easy to check that $k \geq 5$ and

$$\gamma = \frac{\log |A|}{(\log k)^2 \log \log k} \leq \frac{3f + \log f}{(\log k)^2} < c.$$

Notice that $1.612006 < \gamma(\text{PSL}_2(8)) \leq 1.613 = c$. We now assume that $f \geq 7$. We use the lower bound given in (1) where $|\text{Out}(T)| = f$ and $k(T) = q + 1$ (see [9, Theorem 38.2]). So

$$k \geq k(T)/|\text{Out}(T)| = (q + 1)/f > 2^f/f > 18.$$

Thus $\gamma \leq (3f + \log f)/(f - \log f)^2$. Direct computation using the previous inequality shows that $\gamma < c$ when $f \leq 16$. So we assume that $f \geq 17$. Then $f \geq f/2 \geq \log f$ and thus $\gamma \leq 4f/(f - f/2)^2 = 16/f < 1$.

(ii) $T = \text{PSL}_2(q)$ with $q = 7$ or $q = p^f \geq 11$ odd. From [9, Theorem 38.1] we derive that $k(T) = (q + 5)/2$. Moreover, we have $|A| = q(q^2 - 1)f$ and $|\text{Out}(T)| = 2f$.

(ii)(a) Assume first that $p = 3$. Then $f \geq 3$. If $f = 3, 4$ or 5 , then $k = 7, 15$ or 27 . Direct calculation shows that $\gamma < c$. Assume next that $f \geq 6$. We have $k \geq (q + 5)/4f \geq 12$ and $\log |A| < \log(fq^3) = 3f \log 3 + \log f \leq 6f$ so $\log k \geq \log(q/4f) = f \log 3 - \log(4f) \geq f - 2$. If $f \geq 10$, then $\gamma < 6f/(f - 2)^2 < c$. So assume that $6 \leq f \leq 9$. Then direct calculation using the bound $k \geq (3^f + 5)/4f$ confirms that $\gamma < c$.

(ii)(b) Assume $p \geq 5$ and $f = 1$. Since $\text{PSL}_2(5) \cong A_5$, we assume that $p \geq 7$. Then $\gamma \leq 3 \log p / (\log(p + 5) - 2)^2 < 3 \log p / (\log p - 2)^2$. Clearly, $\gamma < c$ whenever $\log p \geq 6$. So assume that $\log p < 6$ or equivalently $p < 2^6 = 64$ and hence $p \leq 61$. Now we can check that $\gamma < c$ by using Table 3. If $7 \leq p \leq 71$, then $k \geq 5$ by Table 3. So assume $p \geq 71$. Then $k \geq (p + 5)/4 \geq 19 > 5$.

(ii)(c) Assume $p \geq 5$ and $f = 2$. If $p \leq 13$, then the result follows by using Table 3. So we assume $p \geq 17$. Then $k \geq (p^2 + 5)/8 \geq 614$ and $\gamma < (6 \log p + 1)/(2 \log p - 3)^2 < c$ since $\log p \geq 4$.

(ii)(d) Assume $p \geq 5$ and $3 \leq f \leq 4$. Then $k = (q + 5)/4f > 10$ and we can use the same argument as in the previous case to show that $\gamma < c$.

(ii)(e) Assume $p \geq 5$ and $f \geq 5$. We have $k \geq (q+5)/4f > 232$ and $t = f \log p \geq 11$. So $\log f \leq f \log p/4 = t/4$ and

$$\gamma < \frac{3f \log p + \log f}{(f \log p - 2 - \log f)^2} \leq \frac{3t + t/4}{(3t/4 - 2)^2} = \frac{52t}{(3t - 8)^2}.$$

Since $t \geq 11$, we see that $52t/(3t - 8)^2 < c$ and thus $\gamma < c$ as wanted.

(iii) $T = \text{PSL}_3(q)$ with $q = p^f \geq 3$. Let $d = \gcd(3, q - 1)$. Then $|A| < 2fq^8 \leq q^9$, $|\text{Out}(T)| = 2df$ and $k(T) \geq (q^2 + q)/d$ (see [17]) so $k \geq (q^2 + q)/2d^2f$.

(iii)(a) Assume first that $d = \gcd(3, q - 1) = 3$. We have $q \geq 2f$ so $k \geq q/9$ and thus $\gamma < 9 \log q / (\log q - \log 9)^2 \leq 9 \log q / (\log q - 3)^2$. If $\log q \geq 12$, then $9 \log q / (\log q - 3)^2 < c$ and $k \geq 819$. So assume $\log q < 12$ or $q < 2^{12}$.

Now if $q = 4$, then $\gamma < 1.954$; if $q = 7$, then $\gamma < c$ by direct calculation using Table 3. If $q = 16$, then $k \geq e(T) = 12$ and we get that $\gamma < c$. Assume that $q \notin \{4, 7, 16\}$. Then $\gamma < c$ by direct calculation using the definition of γ with $k \geq (q^2 + q)/18f$ and $|A| \leq 2fq^8$. By Table 3, we see that $k \geq 5$ if $q \leq 9$. Assume $q \geq 11$. If $q/9 > 4$ or $q > 36$ then $k \geq 5$. So we may assume $11 \leq q \leq 35$. Except for $q = 16$, we see that $k \geq (q^2 + q)/18f \geq 5$. For $q = 16$, we can see by [13] that $k \geq e(\text{PSL}_3(16)) = 12$.

(iii)(b) Assume $d = 1$. Here, the argument is similar with $k \geq (q^2 + q)/2f \geq q + 1 > q$ and so $\gamma < (8 \log q + \log(2f)) / (\log q)^2 \leq 9 / \log q$. Clearly if $q \geq 53$, then $9 / \log q < c$ and thus $\gamma < c$. For the remaining values of $q > 2$, direct calculation confirms that $\gamma < c$. Now, if $q \geq 4$, then $k \geq q + 1 \geq 5$. For the remaining values of q , we see that $k \geq 5$.

(iv) Assume $n \geq 3$ and $q = 2$. Then we may assume that $n \geq 5$ as $\text{PSL}_4(2) \cong A_8$ and $\text{PSL}_3(2) \cong \text{PSL}_2(7)$. If $n = 5$, then $k = 20$ and $\gamma < c$. So assume $n \geq 6$. We have that $d = (n, q - 1) = 1$ and $f = 1$ so $|\text{Out}(T)| = 2$. Hence $k \geq 2^{n-2} \geq 16$ and thus $\gamma < n^2 / ((n - 2)^2 \log(n - 2))$. Since $n \geq 6$ we see that

$$\frac{n^2}{(n - 2)^2 \log(n - 2)} \leq \frac{9}{8} < c.$$

So we can assume from now on that $n \geq 4$ and $q \geq 3$. Then, we have $k(T) \geq q^{n-1}/d$ (see [12, Corollary 3.7]) and thus $k \geq q^{n-1}/(2d^2f) \geq q^{n-2}/d^2 \geq q^{n-3}/d \geq q^{n-4}$. Therefore

$$(6) \quad \gamma < \frac{(n^2 - 1) \log q + \log(2f)}{((n - 1) \log q - \log(2d^2f))^2 \log((n - 1) \log q - \log(2d^2f))}$$

or

$$(7) \quad \gamma < \frac{(n^2 - 1) \log q + \log(2f)}{((n - 2) \log q - 2 \log d)^2 \log((n - 2) \log q - 2 \log d)}.$$

(v) Assume $4 \leq n \leq 7$ and $q \geq 3$. We can use the same argument as in Case (iii) above to obtain the result. As an example, assume that $n = 4$. We deduce from Inequality (7) that

$$\gamma < \frac{15 \log q + \log(2f)}{(2 \log(q) - 2 \log d)^2} \leq \frac{4 \log q}{(\log q - \log d)^2} \leq \frac{4 \log q}{(\log q - 2)^2}.$$

TABLE 3. $\mathrm{PSL}_2(q)$ and $\mathrm{PSL}_3(q)$ with small q

T	k	$\gamma <$	T	k	$\gamma <$
$\mathrm{PSL}_2(8)$	5	1.613	$\mathrm{PSL}_2(16)$	7	1.193
$\mathrm{PSL}_2(32)$	9	1.036	$\mathrm{PSL}_2(64)$	15	0.686
$\mathrm{PSL}_2(7)$	5	1.281	$\mathrm{PSL}_2(11)$	7	0.884
$\mathrm{PSL}_2(13)$	8	0.778	$\mathrm{PSL}_2(17)$	10	0.642
$\mathrm{PSL}_2(19)$	11	0.595	$\mathrm{PSL}_2(23)$	13	0.525
$\mathrm{PSL}_2(25)$	10	0.782	$\mathrm{PSL}_2(27)$	7	1.351
$\mathrm{PSL}_2(29)$	16	0.456	$\mathrm{PSL}_2(31)$	17	0.438
$\mathrm{PSL}_2(37)$	20	0.397	$\mathrm{PSL}_2(41)$	22	0.375
$\mathrm{PSL}_2(43)$	23	0.366	$\mathrm{PSL}_2(47)$	25	0.349
$\mathrm{PSL}_2(49)$	17	0.526	$\mathrm{PSL}_2(53)$	28	0.329
$\mathrm{PSL}_2(59)$	31	0.312	$\mathrm{PSL}_2(61)$	32	0.307
$\mathrm{PSL}_2(67)$	35	0.294	$\mathrm{PSL}_2(71)$	37	0.286
$\mathrm{PSL}_2(121)$	37	0.337	$\mathrm{PSL}_2(169)$	50	0.292
$\mathrm{PSL}_3(4)$	6	1.954	$\mathrm{PSL}_3(7)$	15	0.781
$\mathrm{PSL}_3(3)$	9	0.805	$\mathrm{PSL}_3(5)$	19	0.518
$\mathrm{PSL}_3(8)$	17	0.783	$\mathrm{PSL}_3(9)$	32	0.471

We see that $4 \log q / (\log q - 2)^2 < c$ whenever $\log q \geq 6$ and thus $\gamma < c$. For all $q \geq 3$ with $\log q < 6$ or equivalently $q < 2^6 = 64$, direct calculation using Equation (6) shows that $\gamma < c$. Since $k \geq q^2/d^2 \geq q^2/16$, we see that $k \geq 5$ if $q > 8$. For $3 \leq q \leq 8$, we can check directly that $k \geq 5$.

(vi) Assume $n \geq 8$ and $q \geq 3$. Then $k \geq q^{n-4} \geq 81$,

$$\frac{n^2}{(n-4)^2} \leq 4$$

and $\log((n-4) \log q) \geq \log 4 = 2$. From Inequality (6), we have that

$$\gamma < \frac{n^2}{(n-4)^2 \log q \log((n-4) \log q)} \leq \frac{4}{2 \log q} < c.$$

This completes the proof. □

The proof of Theorem 3.2 now follows by combining Lemmas 3.3 and 3.4.

4. ALMOST SIMPLE GROUPS

In this section, we prove the following.

Theorem 4.1. *Let G be an almost simple group with a non-abelian simple socle T . Suppose that $k = k^*(T) \leq 153$. Then*

$$(8) \quad \log |G| \leq (\log 3)k(G).$$

We now describe our strategy for the proof of this theorem. We consider the following setup. Let T be a non-abelian simple group and, $A := \text{Aut}(T)$ and $k = k^*(T)$. Let G be an almost simple group with socle T , i.e., $T \trianglelefteq G \leq A$.

Firstly, if T is a sporadic simple group, the Tits group or an alternating group of degree at most 22, then the result follows by direct computation with [13] or [4]. For $T = A_n$ with $n \geq 23$, it follows from the proof of Lemma 3.3 that $k^*(T) \geq 250 > 153$. So we may assume that T is a finite simple group of Lie type.

Now suppose that T is of Lie rank r and defined over a field of size q . Let d be defined as in Section 3. Then we know that $k(T) \geq q^r/d$ and thus $k = k^*(T) \geq q^r/d |\text{Out}(T)|$. We now use the restriction $k \leq 153$ to obtain a finite list \mathcal{L} of all simple groups T with $k \leq 153$. Since $k(G) \geq k^*(T)$ by [21, Lemma 2.5] and $\log |G| \leq \log |A|$, if we can show that

$$(9) \quad \log |A| \leq (\log 3)k$$

then obviously Inequality (8) holds. Finally, for the remaining groups, we can check Inequality (8) directly using the known bound for $k(T)$ or using [4, 13, 17].

For the purpose of computation, the following observation will be useful. Suppose that $A := \text{Aut}(T) = \Gamma\langle\tau\rangle$, where $T \trianglelefteq \Gamma \leq A$ with $|A : \Gamma| = s$ for some integer $s \geq 1$. Now, if we can prove that for every almost simple group G with $T \trianglelefteq G \leq \Gamma$, we have $s \cdot |G| \leq 3^{k(G)/s}$, then we get $|H| \leq 3^{k(H)}$ for all almost simple groups H with socle T . This follows from the fact that if $T \trianglelefteq H \leq A$, then $G := H \cap \Gamma$ has index at most s in H , so $|H| \leq s|G|$ and $k(H) \geq k(G)/s$. Therefore, if $s \cdot |G| \leq 3^{k(G)/s}$, then obviously $3^{k(H)} \geq 3^{k(G)/s} \geq s|G| \geq |H|$ as wanted. This will be useful when we can compute $k(G)$ for all $T \trianglelefteq G \leq \Gamma$. This observation applies when, for example, $T = \text{PSL}_n(q)$, ($n \geq 3$), $\Gamma = \text{P}\Gamma\text{L}_n(q)$ and $A = \Gamma\langle\tau\rangle$, where τ is a graph automorphism of T of order 2.

Lemma 4.2. *Theorem 4.1 holds for $T = \text{PSL}_n(q)$ with $q = p^f$ and $n \geq 2$.*

Proof. (i) Assume that $n = 2$. Suppose first that $q = 2^f$. From [9, Theorem 38.2], we have $k(T) = 2^f + 1$. Using [13], we can check that the result holds for $2 \leq f \leq 7$. Assume $f > 7$. Since $153 \geq k \geq (2^f + 1)/f$, we deduce that $7 < f \leq 11$. We have that $\log |G| \leq \log |\text{Aut}(T)| \leq 3f + \log f$. If $G = T$, then the result is obvious, so we may assume $G \neq T$. We now can use [4] to show that Inequality (8) holds for all almost simple groups G with socle $T = \text{PSL}_2(2^f)$, with $7 < f \leq 11$.

Assume next that $q = p^f \geq 7$ is odd. Then $k(T) = (q + 5)/2$ and $k(\text{PGL}_2(q)) = q + 2$, see [9, Theorem 38.1]. Clearly, we can check that the result holds in these cases. So we may assume from now on that $G \not\cong \text{PSL}_2(q)$ nor $\text{PGL}_2(q)$. Moreover, if $f \geq 5$ and $p \geq 5$, then $k \geq (p^{2f} + 5)/(4f) \geq (5^{2f} + 5)/(4f) \geq 154$. So we only need to consider the following cases.

If $f = 1$, then $q = p^f = p \geq 5$. Since $153 \geq k \geq (p + 5)/4$, we have $p \leq 607$. So $G = \text{PSL}_2(p)$ or $\text{PGL}_2(p)$ with $p \leq 607$ and the result follows using [4].

If $f = 2$, then, arguing as above, we obtain that $p \leq 31$. Similarly, if $f = 3$, then $p \leq 11$ and finally, if $f = 4$, then $p \leq 7$. Now, we can use [4] to verify that Inequality (8) holds in these cases.

(ii) Assume that $q = 2$ and $n \geq 3$. Then $k \geq 2^{n-1}/(2d^2f) = 2^{n-2}$ as $f = d = 1$. Since $k \leq 153$, we have $n \leq 9$. Now, if $n \geq 7$, then $(\log 3)k \geq (\log 3)2^{n-2} \geq n^2 \geq \log |A|$, hence Inequality (9) holds and so Inequality (8) holds in this case. For $3 \leq n \leq 6$, we can check directly that Inequality (8) holds using [13].

(iii) Assume that $q = 3$ and $n \geq 3$. Then $d = \gcd(n, q-1) = \gcd(n, 2) \leq 2$ and $f = 1$, so $k \geq 3^{n-1}/(2d^2f) = 3^{n-1}/8$. Since $k \leq 153$, we have $n \leq 7$.

If $n = 7$, then $d = \gcd(7, 2) = 1$ so $(\log 3)k \geq (\log 3)3^{n-1}/2 > n^2 \log 3 > \log |A|$ and thus Inequality (9) holds.

If $n = 6$, then $d = \gcd(6, 2) = 2$ and $k(T) = 204$ by [4]. So $k \geq k(T)/|\text{Out}(T)| \geq 51$. Now we can check that $\log |A| < 36 \log 3 < 51 \log 3 < (\log 3)k$.

If $n = 5$, then $d = \gcd(5, 2) = 1$ and $k(T) = 116$, so $k \geq 116/2 = 58$. Hence Inequality (9) holds.

Finally, if $n = 3, 4$, then the results follow by using [4].

(iv) Assume now that $n = 3$ and $q \geq 4$. We have that $k(T) \geq (q^2 + q)/d$ and thus $k \geq (q^2 + q)/(2d^2f) \geq (q+1)/d^2 \geq (q+1)/9$. Since $k \leq 153$, we have $q \leq 1376$. For these values of q , we can check directly that $\log |A| \leq 9 \log q \leq (\log 3)(q^2 + q)/(2d^2f) \leq k(\log 3)$ unless $q \in \{4, 7, 8, 13, 16, 19, 25\}$.

The cases when $q = 4, 7, 8$ can be checked directly using [13]. For $q = 16, 25$, we can check that $2|G| \leq 3^{k(G)/2}$ for all $T \trianglelefteq G \leq \Gamma$ and thus the results follow by the observation above.

(v) Assume that $n = 4$ and $q \geq 4$. Since $d = \gcd(n, q-1) \leq n = 4$ and $153 \geq k \geq q^3/(2d^2f) \geq q^2/16$, we deduce that $4 \leq q \leq 49$. However, we can check that $\log |A| < 36 \log q < (\log 3)q^3/(2d^2f) \leq (\log 3)k \leq (\log 3)k$ unless $q = 4, 5, 9$. Now we use the observation and [4] to show that Inequality (8) holds for the remaining cases.

(vi) Assume that $n = 5$ and $q \geq 4$. Since $d = \gcd(n, q-1) \leq 5$ and $153 \geq k \geq q^4/(2d^2f) \geq q^3/25$, we deduce that $q \leq 15$, so $q = 4, 5, 7, 8, 9, 11, 13$. However, we can check with [4] that $\log |A| < 25 \log q < (\log 3)q^4/(2d^2f) \leq (\log 3)k$, so Inequality (9) holds.

(vii) Assume that $n = 6$ and $q \geq 4$. Since $d = \gcd(n, q-1) \leq 6 = n$ and $153 \geq k \geq q^5/(2d^2f) \geq q^4/36$, we deduce that $q \leq 8$, so $q = 4, 5, 7, 8$. However, we can check that $\log |A| < 36 \log q < (\log 3)q^5/(2d^2f) \leq (\log 3)k$ unless $q = 4$. Now we use the observation together with [4] to verify (8) for this case.

(viii) Assume that $n = 7$ and $q \geq 4$. Since $d = \gcd(n, q-1) \leq n = 7$ and $153 \geq k \geq q^6/(2d^2f) \geq q^5/49$, we deduce that $q \leq 5$, so $q = 4, 5$. However, we can check that $\log |A| < 49 \log q < (\log 3)q^6/(2d^2f) \leq (\log 3)k$.

(ix) Assume that $n \geq 8$ and $q \geq 4$. We see that $k \geq q^{n-1}/(2d^2f) \geq q^{n-2}/d^2 \geq q^{n-4} \geq 4^4 = 256 > 153$. So this case cannot occur. \square

For almost simple groups with non-abelian simple socle $T = \text{PSU}_n(q)$, the argument is exactly the same. So we skip the proof.

Lemma 4.3. *Theorem 4.1 holds for $T = \text{PSU}_n(q)$ with $n \geq 3$.*

Lemma 4.4. *Theorem 4.1 holds for $T = \text{PSp}_{2n}(q)$ with $n \geq 2$, and for $T = \Omega_{2n+1}(q)$ with $n \geq 3$, q odd.*

Proof. Notice that $\Omega_{2n+1}(q)$ and $\text{PSp}_{2n}(q)$, where $n \geq 3$ and q is odd, have the same order and their full automorphism groups also have the same order. Since $d = 2$, they also have the same lower bound $q^n/d|\text{Out}(T)| = q^n/4f$ for $k = k^*(T)$.

(1) Assume $n = 2$. Then $T \cong \text{PSp}_4(q)$ and $k(T) \geq (q^2 + 5q)/2$ if $q \geq 3$ is odd and $k(T) \geq q^2 + 2q$ if q is even.

Assume first that $q \geq 3$ is odd. We can assume $q \geq 5$ as $\text{PSp}_4(3) \cong \text{PSU}_4(2)$. Since $k \leq 153$, we have $153 \geq k \geq q(q+5)/(4f) \geq q$. Now for odd q with $5 \leq q \leq 153$, we see that $\log |G| < 10 \log q + \log f < (\log 3)(q^2 + 5q)/(4f) \leq (\log 3)k \leq (\log 3)k(G)$, unless $q = 5, 9$. For the exceptions, we can check with [13] that the results hold.

For even q , since $153 \geq k \geq (q^2 + 2q)/2f \geq q + 2$, we have $q \leq 151$. We see that $\log |G| < 10 \log q + \log f < (\log 3)(q^2 + 2q)/(2f) \leq (\log 3)k \leq (\log 3)k(G)$, unless $q = 4, 8$. For $q = 4$, the result holds by using [13]. We are left with $T = \text{PSp}_4(8)$. We have that $|\text{Out}(T)| = 6$ and T has a field automorphism of order 3 and a graph automorphism of order 2. Observe that $T \cdot 3 = \text{P}\Gamma\text{Sp}_4(8)$. By [4], we have that $k(T \cdot 3) = 57$ and $k(T) = 83$. Now we can check that for $T \trianglelefteq H \leq T \cdot 3$, we have $2|H| < 3^{k(H)/2}$ such that $|G| \leq 3^{k(G)}$ for all almost simple groups G with socle T by the observation above.

(2) Assume that $n \geq 3$ and $q = 2$. Then $T \cong \text{PSp}_{2n}(2)$, $d = 1 = f$ and $k \geq 2^n$. Since $k \leq 153$, we deduce that $3 \leq n \leq 7$. Notice that $|\text{Out}(T)| = 1$ so $G = T$. Now we can check directly that Inequality (8) holds in these cases.

(3) Assume that $n \geq 3$ and $q \geq 4$ is even. Then $T \cong \text{PSp}_{2n}(q)$, $k \geq q^n/(d^2f) = q^n/f \geq q^{n-1} \geq 4^{n-1}$. As $k \leq 153$, we have $n \leq 4$.

Assume that $n = 4$. Then $153 \geq k \geq q^4/f \geq q^3$ which implies that $q \leq 5$. So we have $q = 4$. Since $|\text{Out}(T)| = |\text{Out}(\text{PSp}_8(4))| = 2$, $G = T$ or $T \cdot 2$. However, we see that $\log |G| \leq \log(|A|) \leq 73 < 128(\log 3) \leq (\log 3)k$.

Assume that $n = 3$. Then $153 \geq k \geq q^3/f \geq q^2$. So $q \leq 11$. Hence $q = 4, 8$. However, we see that $\log(|A|) < (2n^2 + n) \log q + \log f < (\log 3)q^3/f \leq (\log 3)k$ in these cases.

(4) Assume that $n \geq 3$ and $q \geq 3$ is odd. We have that $153 \geq k \geq q^n/(d^2f) \geq q^{n-1}/2 \geq 3^{n-1}/2$ so $n \leq 6$.

Assume that $n = 3$. Then $153 \geq k \geq q^3/4f \geq q^2/2$, so $3 \leq q \leq 17$ and q is odd. Now we can check that $\log |A| < 21 \log q + \log f < (\log 3)q^3/(4f) \leq (\log 3)k$ unless $q = 3$. For $\text{PSP}_6(3)$ or $\Omega_7(3)$, using [13] $k = 50, 52$ respectively and we can check that $\log |A| < (\log 3)k$.

Assume that $n = 4$. Then $153 \geq k \geq q^4/4f \geq q^3/2$, so $q = 3, 5$. As above, we can see that $\log |A| < 21 \log q + \log f < (\log 3)q^4/(4f) \leq (\log 3)k$ unless $q = 3$. For $T = \text{PSp}_8(3)$ or $\Omega_9(3)$, we see that $|\text{Out}(T)| = 2$ and $k(T) \geq 218$. Now, we can check that $\log |A| < (\log 3)k$ with $k \geq k(T)/2f \geq 109$.

Assume that $n = 5$. Then $153 \geq k \geq q^5/4f \geq q^4/2$, so $q = 3$ and $T \cong \text{PSp}_{10}(3)$ or $\Omega_{11}(3)$. From [17], we can check that $k(T) \geq 430$ so $k \geq k(T)/2 \geq 215 > 153$.

Finally, assume that $n = 6$. Then $k \geq q^6/4f \geq q^5/2$ which implies that $q = 3$. But then $k \geq 3^6/4f = 3^6/4 > 182 > 153$. \square

Lemma 4.5. *Theorem 4.1 holds for $T = \text{P}\Omega_{2n}^+(q)$ with $n \geq 4$.*

Proof. (1) Assume first that $n = 4$. Recall that $|\text{Out}(T)| = 6df$, so $k \geq q^4/6d^2f$. Since $153 \geq k \geq q^4/(6d^2f) \geq q^3/2^6$, we have $q \leq 21$. We see that $\log |A| < 28 \log q + \log(6f) < (\log 3)q^4/(6d^2f) \leq (\log 3)k$ unless $q = 2, 3, 4, 5, 7, 9$. If $2 \leq q \leq 4$, then we can use [13] to verify Inequality (8).

Assume that $q = 7$. The character table of T is available in [13] and we can see that T has exactly 91 distinct character degrees. Since the number of orbits of A on the conjugacy classes of T is the same as that of A on $\text{Irr}(T)$, we see that $k^*(T)$ is at least the number of distinct character degrees of T which implies that $k \geq 91$. Now, we can check that Inequality (9) holds.

Using [17], we see that for odd q , we have

$$\begin{aligned} k(\text{PGO}_8^+(q)) &= q^4 + q^3 + 7q^2 + 10q + 18 \\ k(\text{SO}_8^+(q)) &= q^4 + q^3 + 8q^2 + 15q + 25 \end{aligned}$$

and if $q \equiv 1 \pmod{4}$, then

$$k(\text{Spin}_8^+(q)) = q^4 + q^3 + 13q^2 + 28q + 45.$$

It follows that $k(T) \geq k(\text{Spin}_8^+(q))/4 \geq (q^4 + q^3 + 13q^2 + 28q + 45)/4$.

Assume that $q = 9$. Then $|A : T| = 48$, $\log |A| \leq 93$ and $k(T) \geq 2160$. Now if $T \trianglelefteq G \leq A$, then $|G : T| \leq 24$ and we can check that $\log |A| < 93 < 142 \leq (\log 3)k(T)/24 \leq (\log 3) \log |G|$, so the lemma holds whenever $G \neq A$. For $G = A$, we see that $k(A) \geq k(\text{PGO}_8^+(q))/|A : \text{PGO}_8^+(q)| \geq 3982$ and clearly $\log |A| \leq (\log 3)k(A)$ in this case.

Assume that $q = 5$. Then $|A : T| = 24$, $\log |A| \leq 68$ and $k(T) \geq 315$. If $|G : T| \leq 6$, then $\log |G| \leq 66 < 83 \leq (\log 3)k(T)/6 \leq (\log 3)k(G)$. If $\text{PGO}_8^+(5) \leq G \leq A$, then Inequality (8) holds as $262 < (\log 3)k(\text{PGO}_8^+(5))/6 \leq k(G)$. So if G/T induces only graph or diagonal automorphisms, then $|G : T| \leq 6$ and the lemma holds. Hence, we only need to consider the cases $|G : T| = 8, 12$ or 24 . In these cases, we observe that G must contain an outer diagonal automorphism of order 2. There are three such extensions G_i of T in $\text{PGO}_8^+(3)$ and they are fused by the graph automorphism of T of order 3, hence they all have the

same number of conjugacy classes which is at least $k(\mathrm{SO}_8^+(5))/2 \geq 525$. Now, we see that $(\log 3)k(G) \geq (\log 3)k(G_1)/12 \geq 69 > \log |A| \geq \log |G|$ and the result holds.

(2) Assume that $n \geq 5$ and $q = 2$. Then $f = 1 = d$ and so $153 \geq k \geq q^n/2 = 2^{n-1}$, hence $5 \leq n \leq 8$. Now we see that $\log |A| \leq 2n^2 - n + 1 \leq (\log 3)2^{n-1} \leq (\log 3)k$ unless $n = 5, 6$. For $q = 5, 6$ we have that $k(T) = 97, 271$, respectively and $|\mathrm{Out}(T)| = 2$. We can check in this case that Inequality (9) holds using the bound $k \geq k(T)/2$.

(3) Assume that $n \geq 5$ and $q = 3$. Then $f = 1$ and $d = \gcd(4, 3^n - 1) \leq 4$. Since $153 \geq k \geq 3^n/2d^2f \geq 3^n/2^5$, we have $5 \leq n \leq 7$. If $n = 7$, then Inequality (9) holds using the bound $k \geq 3^n/(2d^2)$. If $n = 5$, then $k(T) = 393$ and Inequality (9) also holds with $k \geq k(T)/|\mathrm{Out}(T)|$. For $n = 6$, from [17], we deduce that $k(T) \geq 692$ and thus $k \geq k(T)/2d \geq 86$, hence $\log |A| \leq (2n^2 - n + 1) \log 3 = 67 \log 3 \leq 86 \log 3 \leq (\log 3)k$.

(4) Assume $n \geq 5$ and $q \geq 4$. Then $d = \gcd(4, q^n - 1) \leq 4$ and $153 \geq k \geq q^n/(2d^2f) \geq q^{n-1}/2^4$, so $5 \leq n \leq 6$.

Assume that $n = 6$. Then $153 \geq q^6/2d^2f \geq q^5/16$, hence $q = 4$. However, we can check that $\log |G| \leq (2n^2 - n + 1) \log q \leq (\log 3)q^n/(2d^2f) \leq (\log 3)k(G)$.

Assume that $n = 5$. Then $153 \geq q^5/2d^2f \geq q^4/16$, hence $q = 4, 5, 7$. However, we can check that $\log |G| \leq (2n^2 - n + 1) \log q \leq (\log 3)q^n/(2d^2f) \leq (\log 3)k(G)$. \square

The proof of the next lemma is similar.

Lemma 4.6. *Theorem 4.1 holds for $T = \mathrm{P}\Omega_{2n}^-(q)$ with $n \geq 4$.*

Lemma 4.7. *Theorem 4.1 holds for T a finite simple exceptional group of Lie type.*

Proof. (1) Assume $T = {}^2\mathrm{B}_2(q^2)$ with $q^2 = 2^{2m+1}$, $m \geq 1$. We have that $k(T) = 2^{2m+1} + 3$ and $|\mathrm{Out}(T)| = 2m + 1$. So $|A| < (2m + 1)2^{10m+5}$ and $k \geq (2^{2m+1} + 3)/(2m + 1)$. Since $153 \geq k \geq (2^{2m+1} + 3)/(2m + 1)$, we must have $1 \leq m \leq 5$. If $m = 4, 5$, then $\log |A| \leq (\log 3)(2^{2m+1} + 3)/(2m + 1) \leq (\log 3)k$. If $m = 1, 2$, then the results follow by using [13]. For $m = 3$, we see that $k(T) = 2^7 + 3 = 131$ and we can check directly that $\log |A| \leq (\log 3)131/7 \leq (\log 3)k$.

(2) Assume $T = {}^2\mathrm{G}_2(q^2)$, $q^2 = 3^{2m+1}$, $m \geq 1$. We have $k(T) = 3^{2m+1} + 8$ and $|\mathrm{Out}(T)| = f$. So $|A| < (2m + 1)3^{7(2m+1)}$ and $153 \geq k \geq k(T)/f \geq (3^{2m+1} + 8)/(2m + 1)$. Hence $m = 1, 2$. If $m = 1$, then the lemma holds by using [13]. For $m = 2$, we can check that $\log |A| \leq (\log 3)(3^5 + 8)/5 \leq (\log 3)k$.

(3) Assume $T = {}^2\mathrm{F}_4(q^2)$, $q^2 = 2^{2m+1}$, $m \geq 1$. Then $|\mathrm{Out}(T)| = 2m + 1$ and $|A| < (2m + 1)2^{26(2m+1)} < 2^{27(2m+1)}$ and $k \geq (q^4 + 4q^2 + 17)/f$. If $m \geq 2$, then $\log |A| \leq (\log 3)(q^4 + 4q^2 + 17)/f \leq (\log 3)k$. If $m = 1$, then the character table of $T = {}^2\mathrm{F}_4(8)$ is available on [13] and we have that $\log |G| \leq 80$ and $k(T) = 113$. So Inequality (8) holds for T . It follows from [6, § 13.9] that T has 21 unipotent characters and they all extend to A by [18, Theorems 2.4, 2.5]. Therefore, we see that $k(A) \geq 21 \cdot 3 + (113 - 21)/3 \geq 93$, hence Inequality (8) holds for A .

(4) Assume $T = {}^3D_4(q)$. Then $|\text{Out}(T)| = 3f$, $k \geq q^4/(3f)$ and $|A| < 6fq^{28}$. If $q = 2$, then the lemma holds by using [13]. So assume $q \geq 3$. As $153 \geq q^4/3f \geq q^3/3$, we have $q \leq 7$. Now $\log |A| \leq 28 \log q + \log(6f) \leq (\log 3)q^4/(3f) \leq (\log 3)k$ unless $q = 3$. Now from [17], we have that $k(T) = 126$ and so $\log |A| \leq (\log 3)126/(3f) \leq (\log 3)k$.

(5) Assume $T = G_2(q)$, $q \geq 3$. Then $d = 1$, $|\text{Out}(T)| = f$ if $p \neq 3$ and $|\text{Out}(T)| = 2f$ if $p = 3$, $k \geq (q^2 + 2q + 8)/(2f) \geq q + 2$ and $|A| < 2fq^{14} \leq q^{15}$. If $q = 3, 4, 5$ then the results follow by using [13]. So assume $q \geq 7$. Since $153 \geq k \geq q + 2$, we have $q \leq 151$. Then $14 \log q + \log(2f) \leq (\log 3)(q^2 + 2q + 8)/|\text{Out}(T)| \leq (\log 3)k(G)$ unless $q = 9$. Now, suppose that $T = G_2(9)$. Then $k(T) = 9^2 + 2 \cdot 9 + 8 = 107$ and $\log(2|T|) \leq 45 < (\log 3)k(T)/2$, so Inequality (8) holds true for T and $T \cdot 2$. We now need to verify Inequality (8) for $A = \text{Aut}(T)$. Notice that A/T is an abelian group of order 4. From [6, § 13.9], T has 10 unipotent characters and they all extend to $\text{Aut}(T) = A$ by [18, Theorems 2.4, 2.5], as $|A/T| = 4$, we deduce that $k(A) \geq 10 \cdot 4 + (k(T) - 10)/4 \geq 40 + (107 - 10)/4 \geq 64$ and hence we can check that Inequality (8) holds for A .

For the remaining groups, the argument is similar. \square

5. MORE ON GROUPS WITH A TRIVIAL SOLVABLE RADICAL

This section is devoted to proving Theorem 1.2. We use the notations and assumptions of Section 2. We start with a lemma.

Lemma 5.1. *With the notation and assumption in Section 2, we have*

$$\prod_{i=1}^r \binom{n_i + k_i - 1}{k_i - 1} \leq k(G).$$

Proof. It is sufficient to show that the number of orbits of G on the set of conjugacy classes of N is at least $\prod_{i=1}^r \binom{n_i + k_i - 1}{k_i - 1}$. For this it is sufficient to show that the number of orbits of G on the set of conjugacy classes of M_i (for any fixed i with $1 \leq i \leq r$) is at least $\binom{n_i + k_i - 1}{k_i - 1}$. The number of orbits of G on the set of conjugacy classes of M_i is at least the number of orbits of S_{n_i} (with its natural permutation action on the factors of M_i) on the set of conjugacy classes of M_i . By [11, Lemma 2.6], this latter number is precisely $\binom{n_i + k_i - 1}{k_i - 1}$. \square

We continue with another lemma.

Lemma 5.2. *Let $4 \leq k \in \mathbf{N}$. Then $(\log k)^2 \log \log k \leq k^2/2$.*

Proof. Let $x = \log k \geq 2$. Then $\log \log k \leq \log k$ and hence, it suffices to prove that $4^x \geq 2x^3$ which is always true when $x \geq 5$. For $2 \leq x < 5$ or $4 \leq k < 32$, we can check directly that the inequality in the lemma holds true. \square

Consider the inequality

$$(10) \quad n_i \log n_i + c_2 n_i (\log k_i)^2 (\log \log k_i) \leq w_i \cdot (\log 3) \binom{n_i + k_i - 1}{k_i - 1}$$

for a fixed positive number w_i .

Lemma 5.3. *In Inequality (10), let $n = n_i \geq 1$, $k = k_i \geq 4$, $c_2 = 1.954$, and let $w = w_i$. Then*

- (i) *If $n = 1$ and $k \geq 222$, then Inequality (10) holds with $w = 1$.*
- (ii) *If $n = 2$ and $k \geq 9$, then Inequality (10) holds with $w = 1$.*
- (iii) *Inequality (10) always holds with $w = 1$ if $n \geq 3$.*
- (iv) *If $n = 2$ and $4 \leq k < 9$, then Inequality (10) holds with $w = 1.17$.*
- (v) *If $n = 1$ and $k \geq 4$, then Inequality (10) holds with $w = 2.5$.*

Proof. (i) Assume that $n = 1$ and $w = 1$. Then Inequality (10) is equivalent to

$$(11) \quad c_2(\log k)^2 \log \log k \leq k \log 3.$$

Since $k \geq 4$, we see that $\log k \leq k$ and so $\log \log k \leq \log k$. Hence $c_2(\log k)^2 \log \log k \leq c_2(\log k)^3$. Thus it suffices to show that $c_2(\log k)^3 \leq (\log 3)k$ or

$$2^x \geq c_2 x^3 / \log 3$$

where $x = \log k$. Clearly, we can see that this inequality holds when $x \geq 11$ or equivalently $k \geq 2^{11}$. For $k < 2^{11}$, we can check that Inequality (11) holds provided that $k \geq 222$.

(ii) Assume that $n = 2$ and $w = 1$. Then Inequality (10) is equivalent to

$$(12) \quad 2 + 2c_2(\log k)^2 \log \log k \leq (\log 3)k(k+1)/2.$$

Observe that $2 + 2c_2(\log k)^2 \log \log k \leq 2 + 2c_2(\log k)^3$ and $(\log 3)k(k+1)/2 \geq 3k^2/4$. So it suffices to show that $3k^2/4 \geq 2 + 2c_2(\log k)^3$. We can see that this inequality holds true when $k \geq 32$. For $4 \leq k < 31$, we can check that Inequality (12) holds only when $k \geq 9$.

(iii) Assume that $n \geq 3$. Suppose first that $n = 3$. Arguing as in (ii), we see that Inequality (10) is equivalent to

$$(13) \quad 3 \log 3 + 3c_2(\log k)^2 \log \log k \leq (\log 3)k(k+1)(k+2)/6.$$

Observe that

$$3 \log 3 + 3c_2(\log k)^2 \log \log k \leq 6 + 3c_2(\log k)^3$$

and

$$(\log 3)k(k+1)(k+2)/6 \geq k^3/4.$$

So it suffices to show that $k^3/4 \geq 6 + 3c_2(\log k)^3$. Clearly, the latter inequality holds true when $k \geq 8$. For $4 \leq k < 8$, we can check directly that Inequality (12) holds. The same argument can be applied for $n = 4, 5$ to show that Inequality (10) holds.

So assume that $n \geq 6$. Assume next that $k = 4$. Then Inequality (10) is equivalent to

$$(14) \quad n \log n + 4c_2 n \leq (\log 3)(n+1)(n+2)(n+3)/6.$$

Since $n \log n + 4c_2 n \leq n^2 + 8n$ and

$$\binom{n+3}{3} \log 3 \geq (n+3)(n+2)(n+1)/4,$$

to prove Inequality (14), it suffices to show that $4n(n+8) \leq (n+3)(n+2)(n+1)$ which is always true as $n \geq 6$. Therefore, one can assume that $n \geq 6$ and $k \geq 5$.

Since $k-1 \geq 4$, we deduce that

$$\binom{n+k-1}{n} = \binom{n+k-1}{k-1} \geq \binom{n+k-1}{4}.$$

Hence, as $\log 3 \geq 3/2$, we have

$$(\log 3) \binom{n+k-1}{k-1} \geq \frac{3}{2} \binom{n+k-1}{4} = \frac{(n+k-1)(n+k-2)(n+k-3)(n+k-4)}{16}.$$

Since $(\log k)^2 \log \log k \leq k^2/2$ by Lemma 5.2 and $\log n \leq n$, we deduce that

$$n \log n + c_2 n (\log k)^2 (\log \log k) \leq n^2 + nk^2.$$

Therefore, it suffices to show that

$$(15) \quad (n-1+k)(n-2+k)(n-3+k)(n+k-4) \geq 16n(n+k^2).$$

Since $n+k-4 \geq n$, to prove (15), it suffices to prove that

$$(16) \quad (n-1+k)(n-2+k)(n-3+k) \geq 16n + 16k^2.$$

We have that

$$(n-1+k)(n-2+k)(n-3+k) = (n-1)(n-2)(n+k-3) + (2n-3)k(n+k-3) + k^2(n+k-3).$$

Since $n+k-3 \geq n \geq 6$, we have

$$(17) \quad (n-1)(n-2)(n+k-3) \geq 5 \cdot 4 \cdot n = 20n > 16n.$$

Since $n+k-3 \geq k \geq 5$ and $n \geq 6$, we have

$$(18) \quad k(2n-3)(n+k-3) \geq 9k^2$$

and

$$(19) \quad (n+k-3)k^2 \geq (6+5-3)k^2 = 8k^2.$$

Adding (18) and (19), we obtain that

$$(20) \quad k(2n-3)(n+k-3) + (n+k-3)k^2 \geq 17k^2 > 16k^2.$$

Now (16) follows by adding (17) and (20).

Finally, (iv) and (v) can be checked using a computer. \square

Using the information from Lemma 5.3 we define numbers w_i for each i with $1 \leq i \leq r$. If $n_i = 1$ and $4 \leq k_i < 222$, then put $w_i = 2.5$. If $n_i = 2$ and $4 \leq k_i < 9$, then put $w_i = 1.17$. In all other cases put $w_i = 1$. We need another lemma.

Lemma 5.4. *Let r be a positive integer and let x_1, \dots, x_r be integers which are at least 4. Then the following are true.*

- (i) *If $r \geq 3$ then $2.5 \cdot \sum_{i=1}^r x_i \leq \prod_{i=1}^r x_i$.*
- (ii) *If $r = 2$ then $2.5x_1 + 1.17x_2 \leq x_1x_2$.*

(iii) If $r = 2$ and $x_i \geq 5$, then $2.5x_1 + 2.5x_2 \leq x_1x_2$.

Proof. (i) can be seen by induction on r . (ii) and (iii) are easy computations. \square

Proof of Theorem 1.2. By Lemmas 2.4 and 5.3, we have

$$\log |G| < \sum_{i=1}^r \left(n_i \log n_i + c_2 n_i (\log k_i)^2 (\log \log k_i) \right) \leq (\log 3) \sum_{i=1}^r w_i \binom{n_i + k_i - 1}{k_i - 1}.$$

By Lemma 5.4 and the fact that the binomial coefficients we consider are all at least 4 (since $k_i \geq 4$ and $n_i \geq 1$ for every i with $1 \leq i \leq r$), this is at most

$$(\log 3) \prod_{i=1}^r \binom{n_i + k_i - 1}{k_i - 1} \leq (\log 3) k(G)$$

where the last inequality follows from Lemma 5.1, unless possibly if one of the following cases holds.

- (1) $r = 1$, $n_1 = 1$ and $4 \leq k_1 < 222$;
- (2) $r = 1$, $n_1 = 2$ and $4 \leq k_1 < 9$; or
- (3) $r = 2$, $n_1 = n_2 = 1$ and $k_1 = k_2 = 4$.

In all cases the group G has a socle which is the product of at most two non-abelian simple groups.

Case $r = 1$ and $n_1 = 2$. Observe that when $n_1 = 2$, then Inequality (10) holds for simple groups T with $\gamma(T) \leq 1.613$ and $w_1 = 1$. So $\log |G| < (\log 3)k(G)$ whenever $\text{Soc}(G) \cong T^2$ and $T \not\cong \text{PSL}_3(4), \text{A}_5$. For the remaining cases, we see that

$$\text{Soc}(G) \cong T^2 \trianglelefteq G \leq \text{Aut}(T^2) \cong \text{Aut}(T) \wr \text{Sym}(2).$$

Now using [4], we can check that $\log |G| \leq (\log 3)k(G)$.

Case $r = 2$, $n_1 = n_2 = 1$ and $k_1 = k_2 = 4$. Then $\text{Soc}(G) \cong T_1 \times T_2$ and

$$T_1 \times T_2 \trianglelefteq G \leq \text{Aut}(T_1) \times \text{Aut}(T_2),$$

where T_i is a non-abelian simple group with $k_i = k^*(T_i) = 4$ for $i = 1$ and 2. It follows from Theorem 3.2 that $T_i = \text{A}_5$ with $i = 1, 2$. Hence $\text{A}_5^2 \trianglelefteq G \leq \text{S}_5 \times \text{S}_5$. Using [4] again, it is routine to check that $\log |G| \leq (\log 3)k(G)$.

Therefore, we are left with the case $r = 1, n_1 = 1$ and $4 \leq k_1 \leq 221$. So G is an almost simple group with non-abelian simple socle T and $4 \leq k = k^*(T) \leq 221$. Clearly, $\log |G| \leq (\log 3)k(G)$ if $T \cong \text{A}_5$ or $\text{PSL}_3(4)$. So we may assume that T is not one of those groups. Then $\gamma(T) < 1.613$ by Theorem 3.2 ($\gamma(T)$ is defined in Section 3). We can now bound k_1 by 153 (see the proof of Lemma 5.3(i)). We obtain the inequality $\log_3 |G| \leq k(G)$ by applying Theorem 4.1. As by assumption G is not a 3-group, the latter is a strict inequality and the result follows. \square

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