Class number one problem for real quadratic fields of a certain type ENFANT workshop, Hausdorff Center for Mathematics, Bonn

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- $K = \mathbb{Q}(\sqrt{d})$ is a quadratic field
- $\bullet~\mbox{Class group}=\mbox{free group of fractional ideals}/\mbox{principal fractional ideals}$
- Class number h(d) = the finite order of the class group

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- Gauss conjectures:
 - 1 If d < 0 and $|d| \to \infty$, then $h(d) \to \infty$. (solved)
 - 2 There are infinitely many d > 0, for which h(d) = 1. (open)

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Real quadratic fields are harder to deal with it.

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Dirichlet class number formula

$$egin{aligned} h(d) &= rac{\omega}{2\pi} |d|^{1/2} L(1,\chi_d), & d < 0, \ h(d) \log \epsilon_d &= d^{1/2} L(1,\chi_d), & d > 0, \end{aligned}$$

where $\chi_d = \left(\frac{\cdot}{d}\right)$ is the Jacobi symbol, ω is the number of roots of unity in K and ϵ_d is the fundamental unit of $\mathbb{Q}(\sqrt{d})$ for d > 0.

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Siegel's theorem $L(1, \chi_d) \gg_{\epsilon} |d|^{-\epsilon}.$

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Siegel's theorem

 $L(1, \chi_d) \gg_{\epsilon} |d|^{-\epsilon}.$

If ϵ_d is small, i.e. $\log \epsilon_d \approx \log d$, then $h(d) \gg_{\epsilon} d^{1/2-\epsilon}$ and $h(d) \to \infty$ (just like for d < 0).

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$$d=(\mathit{an})^2+\mathit{ka}$$
 with $\mathit{a},\mathit{n}>0\,,\,\pm \mathit{k}\in\{1,2,4\}$.

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- Recall that Siegel's theorem is ineffective.
- Class number one problem : Find the exact d for which h(d) = 1.

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Class Number One Problem for R-D Fields

Biró solves the class number one problem in the following cases:

Theorem (Biró 2003)

- Yokoi's conjecture is true : Let $d = n^2 + 4$. Then h(d) > 1 if n > 17;
- Chowla's conjecture is true : Let d = 4n² + 1. Then h(d) > 1 if n > 13.

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Until now not known results for two-parameter R-D discriminants without GRH, except

Theorem (L., 2012)

If $d = (an)^2 + 4a$ is square-free for the odd positive integers a and n and $43 \cdot 181 \cdot 353$ divides n, then h(d) > 1.

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Theorem (Biró, Gyarmati, L., 2014)

If $d = (an)^2 + 4a$ is square-free for a and n odd positive integers and d > 1253, then h(d) > 1.

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Tools we use to prove the theorem:

- In the R-D fields "small primes are inert".
- Formula for a special value of a "sectorial" Dedekind zeta function (after Biró and Granville).
- Computer calculations.
- If $(43 \cdot 181 \cdot 353) \mid n$, then $h((an)^2 + 4a) > 1$.

Proof

From now on assume that h(d) = 1 for the square-free discriminant $d = (an)^2 + 4a$, and a > 1.

Small primes are inert

We have that a and $an^2 + 4$ are primes, and for any prime $p \neq a$ such that 2 we have

$$\left(\frac{d}{p}\right) = -1.$$

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The Condtion $q \rightarrow r$

- χ is an odd primitive character with conductor q > 1 and (q, 2d) = 1.
- ullet The ideal $\mathfrak{R}\in\mathbb{Z}[\zeta_q]$ over the odd prime r is such that

$$\sum_{\leq u\leq q-1}u\chi(u)\in\mathfrak{R}.$$

K. Lapkova (Rényi Institute)

Class Number One Problem

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Consider the 2-dimensional "Gauss sum"

$$\mathcal{G}_{\chi}(\mathsf{a},\mathsf{n}) = \sum_{1 \leq u, v \leq q-1} \chi(\mathsf{a}u^2 + \mathsf{a}\mathsf{n}uv - v^2)uv$$
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Main identity

If $q \rightarrow r$ holds and h(d) = 1, then

$$4G_{\chi}(a,n) + n(a + \bar{\chi}(a)) B \equiv 0 \pmod{\mathfrak{R}}$$

for a certain $B \in \mathbb{Z}[\zeta_q]$.

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- If q → r holds, the main identity "sieves" the couples (a, n) (mod qr).
- We check with computer if the main identity holds for suitably chosen *q* and *r*.

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 q → r holds if r | h⁻(q), where h⁻(q) is the relative class number of the cyclotomic field Q(ζ_q).

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- Many different parameters q and r, e.g.

$$\begin{split} 5\times 19 &\to 13, \\ 7\times 19 &\to 13, 37, 73, \\ 13\times 19 &\to 3, 7, 73, 127, \\ 181 &\to 5, 37\,. \end{split}$$

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• We end up with only possible cases for (a, n) such that

$$n \equiv 0 \pmod{3 \cdot 5 \cdot \cdot \cdot 43 \cdot 181 \cdot 353},$$

if $an > 2 \cdot 3315$ (Jacobi symbol condition).

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• We end up with only possible cases for (a, n) such that

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• This contradicts Theorem L. Therefore an < 2.3315.

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Effective Lower Bounds for h(d)

• Siegel's theorem is ineffective.

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- We find an effective lower bound for a subfamily of $d = n^2 + 4$. It is interesting having in mind that even the class number two problem for $d = n^2 + 4$ is not yet solved without GRH.

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Theorem (L., 2012)

Let
$$n = m(m^2 - 3 \cdot 136)$$
 for a positive odd integer m, and

$$N = 2^2 \cdot 3^3 \cdot 7 \cdot 43 \cdot 61 \cdot 137$$
. If $d = n^2 + 4$ is square-free and (

 $N = 2^2 \cdot 3^3 \cdot 7 \cdot 43 \cdot 61 \cdot 137$. If $d = n^2 + 4$ is square-free and $\left(\frac{d}{N}\right) = -1$, then for every $\epsilon > 0$ there exists an effective computable constant $c_{\epsilon} > 0$, depending only on ϵ , such that

$$h(d) = h(n^2 + 4) > c_{\epsilon} (\log d)^{1-\epsilon}$$

Theorem (Goldfeld, 1976)

Let d be a fundamental discriminant of a real quadratic field. If there exists an elliptic curve E over \mathbb{Q} such that $L(E, s)L(E^d, s)$ has a zero of order ≥ 5 at s = 1, then for any $\epsilon > 0$ there is an effective computable constant $c_{\epsilon}(E) > 0$, such that

 $h(d)\log\epsilon_d > c_\epsilon(E)(\log d)^{2-\epsilon}$.

- Goldfeld's method uses *L*-functions of elliptic curves.
- Without Birch-Swinnerton-Dyer conjecture for general d > 0 only h(d) > (log d)^{-ε}.

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- Goldfeld's method uses *L*-functions of elliptic curves.
- Without Birch-Swinnerton-Dyer conjecture for general d > 0 only $h(d) > (\log d)^{-\epsilon}$.
- Are there modular or automorphic forms whose L-functions have high-order zeroes at the central point (≥ 3) ?

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Thank you for your attention!

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