



A characterization of spaces l -equivalent to the unit interval[☆]

Tamás Mátrai

*Department of Applied Analysis, Eötvös Loránd University, Pázmány Péter sétány 1/C,
1117 Budapest, Hungary*

Received 19 December 2002; received in revised form 17 April 2003

Abstract

Two topological spaces X and Y are said to be l -equivalent if there is a linear homeomorphism between $C_p(X)$ and $C_p(Y)$, the spaces of continuous functions on X and Y endowed with the pointwise convergence topology. We prove a necessary condition on a topological space to be l -equivalent to the n -dimensional cube. This, in particular, combined with a recent result of R. Górák, characterizes the topological spaces l -equivalent to the compact interval.

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MSC: 54C35; 46E10

Keywords: Function spaces; Pointwise convergence topology; Linear homeomorphisms

1. Introduction

Let X be a topological space. We denote by $C_p(X)$ the linear space of continuous functions on X with the pointwise convergence topology. If for two topological spaces X and Y there is a linear (uniform) homeomorphism between $C_p(X)$ and $C_p(Y)$, then X and Y are said to be l -equivalent (u -equivalent).

It is natural to ask the question which topological properties are preserved by l -equivalence or u -equivalence, that is for which topological properties P is it true that if the spaces X and Y are l -equivalent (u -equivalent), then X has property P if and only if Y has property P (see [1, Chapter 0] for a detailed study). Among others, it has been proven

[☆] This research was carried out while the author has been visiting the Department of Mathematics of University College London under Marie Curie Training Sites Fellowships, Contract No. HPMT-CT-2000-00037.

E-mail address: matrait@renyi.hu (T. Mátrai).

by Arkhangel'skiĭ [2] that the class of compact metrizable spaces is preserved by linear equivalence, while Pestov [9] obtained in a very general setting that dimension is preserved by linear equivalence. The analogous results for uniform equivalence have been proven by Uspenskiĭ [10] and Gul'ko [7], while a much finer classification in case of certain types of zero-dimensional spaces has been carried out by Baars, de Groot, van Mill and Pelant (see [3] and [4]).

Motivated by a question of Arkhangel'skiĭ, in this paper we focus on the problem of characterizing the topological spaces l -equivalent to I^n , the n -dimensional cube for $n \geq 1$ (see [8] for a comprehensive overview on this question and other related problems and results). For uniform equivalence, this characterization was recently given by Górak [6, Main Theorem 1] as follows.

Theorem 1. *For every positive integer n , a space X is u -equivalent to I^n if and only if*

- (1) X is n -dimensional compact metrizable;
- (2) every nonempty closed subset of X contains a relatively open nonempty subset which can be embedded into I^n .

He also obtained the following result on linear equivalence [6, Theorem 4.2]. For the definition of the n -dimensional embedding derivative $X^{[i,n]}$ see Definition 5.

Theorem 2. *For a space X such that $X^{[i,n]} = X^{[i+1,n]}$ for some $n > 0$ and $i \in \mathbb{N}$ the following conditions are equivalent:*

- (1) X is l -equivalent to I^n ;
- (2) X is n -dimensional compact metrizable and $X^{[i,n]} = \emptyset$.

This result inspired the conjecture [6, Hypothesis 4.3] that X is l -equivalent to I^n if and only if X is n -dimensional compact metrizable and $X^{[i,n]} = \emptyset$ for some $i \in \mathbb{N}$. Among others, we prove this conjecture for $n = 1$ (Corollary 21), or equivalently, with the formulation introduced above, we show the property of having empty i th one-dimensional embedding derivative for some finite i is preserved by l -equivalence (Corollary 22).

2. Preliminaries

From this point, ind indicates always small inductive dimension. Let X be a topological space. We denote by $C_p(X)$ and $C_p^*(X)$ the linear space of continuous and bounded continuous functions on X with the pointwise convergence topology, while $C(X)$ stands for the Banach space of bounded continuous functions on X with the usual $\|\cdot\|_\infty$ norm.

Let X, Y be topological spaces, and consider a linear homeomorphism

$$H : C_p^*(X) \rightarrow C_p^*(Y).$$

Then the graph of H ,

$$\Gamma(H) \subset C_p^*(X) \times C_p^*(Y)$$

is closed. Since the norm topology $C(X)$ is finer than the pointwise convergence topology $C_p(X)$, this implies that

$$\Gamma(H) \subset C(X) \times C(Y)$$

is also closed, so by the Closed Graph Theorem the operator

$$H : C(X) \rightarrow C(Y)$$

is bounded. By symmetry, we have that its inverse is also bounded, which makes possible the following definition.

Definition 3. For a given linear homeomorphism $H : C_p^*(X) \rightarrow C_p^*(Y)$ we set

$$L(H) = \max\{\|H\|, \|H^{-1}\|\}. \tag{1}$$

Now let $H : C_p(X) \rightarrow C_p(Y)$ be a linear homeomorphism. Since for every $y \in Y$ the linear functional

$$\begin{aligned} v(y) : C_p(X) &\rightarrow \mathbb{R}, \\ v(y)f &= (Hf)(y) \end{aligned}$$

is continuous, it can be identified with an element of

$$C_p(X)^* = \left\{ \sum_{x \in D} \alpha_x \delta_x : D \subset X, \text{ card } D < \infty, \alpha_x \in \mathbb{R} \right\},$$

where δ_x stands for the Dirac-measure at x . The coefficient of δ_x in $v(y)$ will be denoted by $v_x(y)$, that is

$$v(y) = \sum_{x \in X} v_x(y) \delta_x.$$

Moreover, since for every continuous function $f \in C_p(X)$ the image function $Hf \in C_p(Y)$ is also continuous, we have that $v : Y \rightarrow C_p(X)^*$ is also continuous (where $C_p(X)^*$ is always endowed with the weak* topology). The continuous mapping $\mu : X \rightarrow C_p(Y)^*$ and coefficients $\mu_y(x)$ are defined analogously. The support of a measure $\mu(x)$ will be denoted by $\text{supp } \mu(x)$.

We note that by (1), for the total variation of $v(y)$, $\mu(x)$ we have

$$\begin{aligned} \|v(y)\| &= \sum_{x \in X} |v_x(y)| \leq L(H), \quad \forall y \in Y, \\ \|\mu(x)\| &= \sum_{y \in Y} |\mu_y(x)| \leq L(H), \quad \forall x \in X. \end{aligned}$$

We introduce the two different notions of embedding derivatives.

Definition 4. We say that a topological space X is *n-dimensional everywhere*, if for every open set $U \subset X$, $\text{ind } U = n$.

Definition 5. Let X be a topological space. For an $n \in \mathbb{N}$, let

$$I_n(X) = \bigcup_{s \in S} U_s, \quad J_n(X) = \bigcup_{t \in T} V_t,$$

where $\{U_s: s \in S\}$ is the family of all open subsets of X which can be embedded into I^n and $\{V_t: t \in T\}$ is the family of all open subsets of X which are at most $(n - 1)$ -dimensional.

With this notation, for an ordinal α , the α th n -dimensional embedding derivative $X^{[\alpha, n]}$ is defined on the following way:

$$\begin{aligned} X^{[0, n]} &= X; \\ X^{[\alpha+1, n]} &= X^{[\alpha, n]} \setminus I_n(X^{[\alpha, n]}); \\ X^{[\alpha, n]} &= \bigcap_{\beta < \alpha} X^{[\beta, n]} \quad \text{if } \alpha \text{ is limit.} \end{aligned}$$

Similarly, we define the α th n -dimensional strong embedding derivative $X^{[[\alpha, n]]}$ by

$$\begin{aligned} X^{[[0, n]]} &= X \setminus J_n(X); \\ X^{[[\alpha+1, n]]} &= X^{[[\alpha, n]]} \setminus (I_n(X^{[[\alpha, n]]}) \cup J_n(X^{[[\alpha, n]]} \setminus I_n(X^{[[\alpha, n]]}))); \\ X^{[[\alpha, n]]} &= \bigcap_{\beta < \alpha} X^{[[\beta, n]]} \setminus J_n\left(\bigcap_{\beta < \alpha} X^{[[\beta, n]]}\right) \quad \text{if } \alpha \text{ is limit.} \end{aligned}$$

That is, in the case of the n -dimensional embedding derivative, one derivation step throws away every open set which can be embedded into the n -dimensional cube, while the n -dimensional strong embedding derivative throws away every lower dimensional open subset as well. In particular, as we shall see later, every n -dimensional strong embedding derivative is n -dimensional everywhere or empty (Lemma 13).

We note that every embedding or strong embedding derivative of a compact metric space is itself compact metric. We will show that for $n = 1$, $X^{[i, 1]} = \emptyset$ for some $i \in \mathbb{N}$ if and only if $X^{[[j, 1]]} = \emptyset$ for some $j \in \mathbb{N}$ (Lemma 15). On the other hand, for $n \geq 2$, any universal $(n - 1)$ -dimensional compact metric space shows that the analogous statement does not hold. This is the reason why our result cannot be applied for the characterization of spaces l -equivalent to two or higher dimensional cubes.

With these notions our main result can be stated as follows.

Theorem 6. *Let X be a topological space. If X is l -equivalent to the n -dimensional cube, then $X^{[[m, n]]} = \emptyset$ for some $m \in \mathbb{N}$.*

For $n = 1$ and one-dimensional compact metrizable X , this condition is sufficient.

We shall prove the first part in Section 4 in a quantitative form (Theorem 18 and Corollary 19), while one-dimensional case will be proven in Section 5 (Corollary 21).

From now on we consider only *compact* topological spaces, for which the function spaces $C_p^*(X)$ and $C_p(X)$ coincide. To avoid the use of multiple stars, we will use the notation $C_p(X)$ even if we work with the notions introduced for $C_p^*(X)$.

3. Basic properties

We will use the following classical results of dimension theory. For the proofs, see, e.g., [5, Corollary 1.8.11, p. 76] [5, Theorem 1.11.7, p. 126] and [5, Theorem 1.5.3, p. 42].

Theorem 7. *A compact subset of I^n is n -dimensional if and only if it has nonempty interior.*

Theorem 8. *Every zero-dimensional separable metric space can be embedded into I^1 .*

Theorem 9. *Let X be a separable metric space. If X is a countable union of n -dimensional closed subspaces then X is n -dimensional.*

In the following lemmas we prove some properties of continuous mapping into $C_p(Y)^*$. A detailed study of the techniques and the proof of our first lemma can be found in [3, Lemma 1.2.7, p. 21].

Lemma 10. *Let X, Y be metric spaces and let*

$$\mu : X \rightarrow C_p(Y)^*$$

be a continuous function. Then for every open set $B \subset Y$ and for every $n \in \mathbb{N}$, the set

$$\{x \in X : \text{card}(\text{supp } \mu(x) \cap B) \geq n\}$$

is open.

Lemma 11. *Let X, Y be metric spaces, $s \in \mathbb{N}$ and let*

$$\mu : X \rightarrow C_p(Y)^*$$

be a continuous function with $\text{card } \text{supp } \mu(x) = s, \forall x \in X$. Then for every $x \in X$ there exist an open neighborhood $N_x \subset X$ of x and continuous functions

$$\mu_1, \mu_2, \dots, \mu_s : N_x \rightarrow Y,$$

$$\alpha_1, \alpha_2, \dots, \alpha_s : N_x \rightarrow \mathbb{R}$$

such that

$$\mu(x) = \sum_{i=1}^s \alpha_i(x) \delta_{\mu_i(x)}.$$

The functions $\mu_i, 1 \leq i \leq s$ will be called the support functions of μ .

Proof. Let $x \in X$ be arbitrary and let $\text{supp } \mu(x) = \{y_1, \dots, y_s\}$. We can choose pairwise disjoint open sets B_x^1, \dots, B_x^s such that $y_i \in B_x^i, 1 \leq i \leq s$. By Lemma 10, there is an open set $N_x \subset X$ such that $\text{card}(\text{supp } \mu(z) \cap B_x^i) = 1$ for every $z \in N_x, 1 \leq i \leq s$. Let

$$\mu_i(z) = \text{supp } \mu(z) \cap B_x^i, \quad \alpha_i(z) = \mu_{\mu_i(z)}(z)$$

for $z \in N_x, 1 \leq i \leq s$. Again by Lemma 10, the functions μ_i are continuous on N_x , and it is easy to see that the continuity of μ implies the continuity of the coefficients α_i , which proves the lemma. \square

Lemma 12. Let X be a compact metric space and let X' be its compact subset. Let

$$\mu: X \rightarrow C_p(I^n)^*, \quad \nu: I^n \rightarrow C_p(X)^*$$

be continuous functions. Suppose that for an open set $U \subset X$, a number $l \in \mathbb{N}$, a sequence of numbers $s_1, s_2, \dots, s_l \in \mathbb{N}$ and sequence of pairwise disjoint open balls $B_1, \dots, B_l \subset I^n$ we have that the set

$$U_1 = \{x \in X' \cap U: \text{card supp } \mu(x) = l, \text{ card}(\text{supp } \mu(x) \cap B_i) = 1, \\ \text{card}(\text{supp } \nu(\text{supp } \mu(x) \cap B_i)) = s_i, 1 \leq i \leq l\}$$

is of second category in X' . Then U_1 has nonempty interior in X' .

Proof. From Lemma 10 we have that the sets

$$\{x \in X' \cap U: \text{card}(\text{supp } \mu(x) \cap B_i) \geq 1\}, \quad 1 \leq i \leq l,$$

are open in X' , that is the set

$$U_{1,0} = \{x \in X' \cap U: \text{card supp } \mu(x) = l, \text{ card}(\text{supp } \mu(x) \cap B_i) = 1, 1 \leq i \leq l\}$$

is the intersection of a finite number of relatively open and closed sets in X' . Again by Lemma 10, the sets

$$\{x \in U_{1,0}: \text{card}(\text{supp } \nu(\text{supp } \mu(x) \cap B_i)) \geq s_i\}, \quad 1 \leq i \leq l,$$

are open in $U_{1,0}$, so U_1 is the intersection of a finite number of relatively open and closed sets in $U_{1,0}$, and thus in X' , as well. Hence, since X' is compact metric, U_1 is of second category in X' if and only if it has nonempty interior in X' . This completes the proof. \square

Finally we prove three lemmas on the embedding derivatives. The first is an obvious corollary of Theorem 9.

Lemma 13. For any compact metric space X and ordinal α , $X^{[[\alpha, n]]}$ is empty or n -dimensional everywhere.

Lemma 14. Let X be a compact metric space such that $X^{[[m, n]]} \neq \emptyset$ for some $m \in \mathbb{N}$, and every nonempty closed subset of X contains a nonempty relatively open subset which can be embedded into I^n .

Then there is a sequence X_1, X_2, \dots, X_m of subsets of X with the following properties:

- (1) X_i is locally compact and n -dimensional everywhere, $i = 1, \dots, m$;
- (2) $X_j \subset \text{cl } X_i$, $1 \leq i < j \leq m$;
- (3) $X_i \cap \text{cl } X_j = \emptyset$, $1 \leq i < j \leq m$.

Proof. Let

$$X_i = I_n(X^{[[i-1, n]]}), \quad i = 1, \dots, m.$$

Since $X^{\llbracket i-1, n \rrbracket} \subset X$ is nonempty and closed, we have that X_i is a dense open subset of $X^{\llbracket i-1, n \rrbracket}$, so specially it is nonempty, locally compact and Lemma 13 implies that it is n -dimensional everywhere. Moreover, whenever $1 \leq i < j \leq m$,

$$X_j = I_n(X^{\llbracket j-1, n \rrbracket}) \subset X^{\llbracket j-1, n \rrbracket} \subset X^{\llbracket i, n \rrbracket} = \text{cl } X_i, \tag{2}$$

which proves property (1) and (2).

As (2) shows, $X^{\llbracket i, n \rrbracket}$, a compact subset of $X^{\llbracket i-1, n \rrbracket}$, contains X_j whenever $1 \leq i < j \leq m$, so we have

$$X_i \cap \text{cl } X_j \subset X_i \cap X^{\llbracket i, n \rrbracket} = I_n(X^{\llbracket i-1, n \rrbracket}) \cap X^{\llbracket i, n \rrbracket} = \emptyset, \quad 1 \leq i < j \leq m.$$

This proves property (3) and completes the proof. \square

Lemma 15. For every compact metric space X and $m \in \mathbb{N}$,

$$X^{\llbracket 2m+1, 1 \rrbracket} \subset X^{\llbracket m, 1 \rrbracket} \subset X^{\llbracket m, 1 \rrbracket}.$$

Proof. Before starting the proof, we note that if X, Y are arbitrary topological spaces, then $X \subset Y$ implies $X \setminus I_1(X) \subset Y \setminus I_1(Y)$, since if a set $U \subset Y$ can be embedded into I^1 then $U \cap X$ can be embedded into I^1 , as well. From Theorem 8 we also know that for every compact metric space X we have $J_1(X) \subset I_1(X)$.

It is obvious that $X^{\llbracket m, 1 \rrbracket} \subset X^{\llbracket m, 1 \rrbracket}$ for every $m \in \mathbb{N}$, so we prove only the first inclusion, by induction on m .

Let now $m = 0$. Then

$$X^{\llbracket 1, 1 \rrbracket} = X \setminus I_1(X) \subset X \setminus J_1(X) = X^{\llbracket 0, 1 \rrbracket},$$

as stated.

If we already know $X^{\llbracket 2m-1, 1 \rrbracket} \subset X^{\llbracket m-1, 1 \rrbracket}$ for some $m \in \mathbb{N}$, then

$$X^{\llbracket 2m, 1 \rrbracket} = X^{\llbracket 2m-1, 1 \rrbracket} \setminus I_1(X^{\llbracket 2m-1, 1 \rrbracket}) \subset X^{\llbracket m-1, 1 \rrbracket} \setminus I_1(X^{\llbracket m-1, 1 \rrbracket}),$$

hence

$$\begin{aligned} X^{\llbracket 2m+1, 1 \rrbracket} &= X^{\llbracket 2m, 1 \rrbracket} \setminus I_1(X^{\llbracket 2m, 1 \rrbracket}) \\ &\subset (X^{\llbracket m-1, 1 \rrbracket} \setminus I_1(X^{\llbracket m-1, 1 \rrbracket})) \setminus I_1(X^{\llbracket m-1, 1 \rrbracket} \setminus I_1(X^{\llbracket m-1, 1 \rrbracket})). \end{aligned} \tag{3}$$

Since

$$J_1(X^{\llbracket m-1, 1 \rrbracket} \setminus I_1(X^{\llbracket m-1, 1 \rrbracket})) \subset I_1(X^{\llbracket m-1, 1 \rrbracket} \setminus I_1(X^{\llbracket m-1, 1 \rrbracket})),$$

from (3) we get

$$\begin{aligned} X^{\llbracket 2m+1, 1 \rrbracket} &\subset (X^{\llbracket m-1, 1 \rrbracket} \setminus I_1(X^{\llbracket m-1, 1 \rrbracket})) \setminus J_1(X^{\llbracket m-1, 1 \rrbracket} \setminus I_1(X^{\llbracket m-1, 1 \rrbracket})) \\ &= X^{\llbracket m, 1 \rrbracket}. \end{aligned}$$

This proves the induction step and finishes the proof. \square

4. Spaces not l -equivalent to the n -dimensional cube

In this section we prove Theorem 18, a quantitative version of the first part of Theorem 6. The proof, in spirit, is very similar to the proof of Theorem 2.5 in [4].

First we prove a lemma which is essential for the recursive step in the proof of the theorem, and which fails to work if we replace strong embedding derivative with embedding derivative.

Lemma 16. *Let X be a compact metric space and let X' be its compact subset which is n -dimensional everywhere. Let*

$$\mu: X \rightarrow C_p(I^n)^*, \quad \nu: I^n \rightarrow C_p(X)^*$$

be continuous functions. Then for every $\varepsilon > 0$, every open set $U \subset X$ with $U \cap X' \neq \emptyset$ there is an open set $V \subset \text{cl}V \subset U$, integers $0 \leq k \leq l$, a sequence of numbers $s_1, s_2, \dots, s_l \in \mathbb{N}$ and a sequence of open sets $G_1, G_2, \dots, G_l \subset I^n$ such that

- (1) $V \cap X' \neq \emptyset$;
- (2) $G_i \cap G_j = \emptyset$, $1 \leq i < j \leq l$;
- (3) $\text{card supp } \mu(x) = l$ for every $x \in V \cap X'$;
- (4) $\text{card}(\text{supp } \mu(x) \cap G_i) = 1$ for every $x \in V \cap X'$, $1 \leq i \leq l$;
- (5) $\text{card}(\text{supp } \nu(\text{supp } \mu(x) \cap G_i)) = s_i$ for every $x \in V \cap X'$, $1 \leq i \leq l$;
- (6) for every $x \in V \cap X'$ and $y \in \text{supp } \mu(x)$, $\text{supp } \nu(y) \cap V \neq \emptyset$ implies that $y \in G_i$ for some $1 \leq i \leq k$ and $\text{supp } \nu(y) \cap V = \{x\}$;
- (7) for every $1 \leq i \leq k$ and $y \in G_i$, $\text{supp } \nu(y) \cap V \neq \emptyset$ implies

$$\text{supp } \nu(y) \cap V \subset X';$$

- (8) for every $1 \leq i \leq k$, $u \in V$ and $u' \in V \cap X'$,

$$\sum_{y \in \text{supp } \mu(u) \cap G_i} |\mu_y(u)| \geq |\mu_{\text{supp } \mu(u') \cap G_i}(u')| - \frac{\varepsilon}{k};$$

- (9) for every $1 \leq i \leq k$ and nonempty open set $W \subset V \cap X'$,

$$\bigcup_{x \in W} \text{supp } \mu(x) \cap G_i$$

is n -dimensional.

Proof. Let $S \subset I^n$ be a dense countable set and consider the following subsets of $X' \cap U$. For a given $l \in \mathbb{N}$, sequence of numbers $s_1, s_2, \dots, s_l \in \mathbb{N}$ and sequence of pairwise disjoint open balls $B_1, \dots, B_l \subset I^n$ centered at S having rational radius let

$$U_{s_1, s_2, \dots, s_l}^{B_1, \dots, B_l} = \{x \in X' \cap U: \text{card supp } \mu(x) = l, \text{ card}(\text{supp } \mu(x) \cap B_i) = 1, \\ \text{card}(\text{supp } \nu(\text{supp } \mu(x) \cap B_i)) = s_i, 1 \leq i \leq l\}.$$

Since $\{U_{s_1, s_2, \dots, s_l}^{B_1, \dots, B_l}\}$ is a countable cover of the locally compact metric space $X' \cap U$, some

$$U_1 = U_{s_1, s_2, \dots, s_l}^{B_1, \dots, B_l}$$

is of second category. By Lemma 12 we have that U_1 has nonempty interior in X' .

We show that by passing to open subsets of U_1 , we can find an open set $U_2 \subset X'$ such that

(1) for every fixed i , either

$$D_i = \bigcup_{x \in U_2} \text{supp } \mu(x) \cap B_i$$

is at most $(n - 1)$ -dimensional, or for every nonempty open set $W \subset U_2$,

$$\bigcup_{x \in W} \text{supp } \mu(x) \cap B_i$$

is n -dimensional;

(2) μ on U_2 and ν on the corresponding D_i , $1 \leq i \leq l$ are of the form as in the conclusion of Lemma 11;

(3) for every fixed i , the function $\text{card}(\text{supp } \nu(y) \cap X')$ is constant on D_i ;

(4) for every fixed i and support function ν_i^j , $1 \leq j \leq s_i$ of $\nu|_{D_i}$, either

$$\nu_i^j(\text{supp } \mu(x) \cap D_i) \cap \text{cl } U_2 = \emptyset, \quad \forall x \in U_2 \quad \text{or}$$

$$\nu_i^j(\text{supp } \mu(x) \cap D_i) \cap U_2 = \{x\}, \quad \forall x \in U_2.$$

Note that the sets D_i change as U_2 decreases.

To have property (1), it is enough to reduce U_2 whenever

$$\bigcup_{x \in W} \text{supp } \mu(x) \cap B_i$$

is at most $(n - 1)$ -dimensional for some nonempty open set $W \subset U_2$ and $1 \leq i \leq l$.

It is clear that we can get property (2) by a successive Lemma 11.

Now we obtain property (3). Let

$$d_i = \min_{y \in D_i} (\text{card } \text{supp } \nu(y) \cap X').$$

By property (2), the set

$$\{y \in D_i: \text{card}(\text{supp } \nu(y) \cap X') = d_i\}$$

is nonempty and open in D_i . So by passing, for every $1 \leq i \leq l$ successively, to a nonempty open subset we have that $\text{card}(\text{supp } \nu(y) \cap X')$ is constant on D_i , $1 \leq i \leq l$.

Finally we show how to get property (4). If

$$x_0 \neq \nu_i^j(\text{supp } \mu(x_0) \cap D_i) \cap U_2$$

for some $x_0 \in U_2$, then by property (2),

$$N_{x_0} \cap \bigcup_{x \in N_{x_0}} \nu_i^j(\text{supp } \mu(x_0) \cap D_i) \cap \text{cl } U_2 = \emptyset$$

holds for a sufficiently small open neighborhood $N_{x_0} \subset U_2$ of x_0 . By passing to such a neighborhood whenever it is possible, we obtain property (4).

We can suppose that D_1, \dots, D_k are those sets which are n -dimensional.

We choose an open set $V_1 \subset X$ with $\text{cl } V_1 \subset U$ such that $V_1 \cap X' \neq \emptyset$, $\text{cl } V_1 \cap X' \subset U_2$.

Let

$$W_i = \bigcup_{x \in (\text{cl } V_1) \cap X'} \text{supp } \mu(x) \cap B_i, \quad 1 \leq i \leq l.$$

By the property (2), these sets are continuous images of a compact set, so they are compact. For the same reason, for every $i = 1, \dots, l$, the sets

$$Z_i = \bigcup_{y \in W_i} \text{supp } \nu(y)$$

are the union of s_i compact sets,

$$Z_i^j = \bigcup_{y \in W_i} \nu_i^j(y), \quad 1 \leq j \leq s_i,$$

respectively. As a weakening reformulation of property (4) of U_2 , we have that for every $1 \leq i \leq l$, $1 \leq j \leq s_i$ either $Z_i^j = (\text{cl } V_1) \cap X'$ or $Z_i^j \cap \text{cl } U_2 = \emptyset$. Since the sets Z_i^j and $\text{cl } U_2$ are all compact, we can find, by separating all the nonintersecting Z_i^j sets from $\text{cl } U_2$, an open set $V_2 \subset \text{cl } V_2 \subset V_1$ in X such that $V_2 \cap X' \neq \emptyset$ and $V_2 \cap Z_i^j = V_2 \cap Z_i = V_2 \cap X'$ for every $1 \leq i \leq l$, $1 \leq j \leq s_i$. Now let

$$G_j = \text{int} \bigcup_{x \in (\text{cl } V_2) \cap X'} \text{supp } \mu(x) \cap B_j$$

for $1 \leq j \leq k$ and $G_j = B_j$ for $k+1 \leq j \leq l$. We define the open set $V \subset V_2$ by

$$V = \{x \in V_2 : \text{supp } \mu(x) \cap G_j \neq \emptyset, 1 \leq j \leq k\} \quad (4)$$

if $k \neq 0$, while for $k = 0$ we set $V = V_2$.

First we show that the sets G_j and $V \cap X'$ are nonempty. It is clear for $k+1 \leq j \leq l$. For $1 \leq j \leq k$, we know from Theorem 7 that the set

$$\left(\bigcup_{x \in (\text{cl } V_2) \cap X'} \text{supp } \mu(x) \cap B_j \right) \setminus G_j,$$

as a compact subset of I^n with empty interior, is at most $(n-1)$ -dimensional, so by and property (1) and (2) of U_2 we have that G_j is dense in

$$\bigcup_{x \in (\text{cl } V_2) \cap X'} \text{supp } \mu(x) \cap B_j.$$

So again by property (1) and (2) of U_2 , the sets

$$\{x \in V_2 \cap X' : \text{supp } \mu(x) \cap G_j \neq \emptyset\}$$

are also dense open in $V_2 \cap X'$, so we have that $V \cap X' \neq \emptyset$. For $k = 0$ the statements are obvious, which proves conclusion (1).

By the continuity of μ , we can suppose in addition that conclusion (8) holds for V .

Conclusion (2), (3) and (5) hold since even the balls B_1, \dots, B_l has been chosen to satisfy them.

U_1 was defined to satisfy conclusion (4) for the balls B_1, \dots, B_l , so conclusion (4) holds for $k + 1 \leq i \leq l$, while $\text{card}(\text{supp } \mu(x) \cap G_i) \leq 1$ holds whenever $x \in V \cap X', 1 \leq i \leq k$. Taking into consideration (4), the definition of V guarantees that conclusion (4) holds.

To prove conclusion (6), let $x \in V \cap X', y \in \text{supp } \mu(x) \cap G_i$ and suppose that $\text{supp } \nu(y) \cap V \neq \emptyset$. Since $V \subset V_2$, we have

$$\begin{aligned} \text{supp } \nu(y) \cap V &\subset \text{supp } \nu(y) \cap V_2 \\ &= \text{supp } \nu(y) \cap V_2 \cap X' \subset \text{supp } \nu(y) \cap U_2 \neq \emptyset, \end{aligned}$$

so from property (4) of U_2 we have $\text{supp } \nu(y) \cap V = \{x\}$. Again by property (4) of U_2 ,

$$\text{supp } \nu(\text{supp } \mu(x) \cap G_i) \cap V = \{x\}$$

holds for every $x \in V \cap X'$, that is the function

$$f: V \cap X' \rightarrow \bigcup_{x \in V \cap X'} \text{supp } \mu(x) \cap G_i, \quad f(x) = \text{supp } \mu(x) \cap G_i$$

has a continuous inverse

$$g: \bigcup_{x \in V \cap X'} \text{supp } \mu(x) \cap G_i \rightarrow V \cap X', \quad g(y) = \text{supp } \nu(y) \cap V.$$

Since $V \cap X'$ is n -dimensional and homeomorphism preserves dimension, we get from the definition of k that $i \leq k, y \in \text{supp } \mu(x) \cap G_i$ and $\text{supp } \nu(y) \cap V = \{x\}$. This proves conclusion (6).

By the definition of G_i , for every $1 \leq i \leq k$ and $y \in G_i$ there exists an $x \in (\text{cl } V_2) \cap X'$ for which $y = \text{supp } \mu(x) \cap G_i$. Since $V \subset V_2, \text{supp } \nu(y) \cap V \neq \emptyset$ implies $\text{supp } \nu(y) \cap V \subset X'$, which proves conclusions (7).

Conclusion (9) follows immediately from the definition of k , so the proof is complete. \square

Corollary 17. *Let X, X', U, V, k, l and G_1, \dots, G_l be as in Lemma 16. Then for any open set $B \subset I^n$ we can choose V and $G_j, 1 \leq j \leq k$ such that for every fixed j either $G_j \subset B$ or $G_j \cap B = \emptyset$.*

Proof. Let $B_0 = B, B_1 = I^n \setminus \text{cl } B$. For a $\sigma \in \{0, 1\}^k$, let

$$C_\sigma = \{x \in V \cap X': \text{supp } \mu(x) \cap G_j \in B_{\sigma(j)}, 1 \leq j \leq k\}.$$

The sets C_σ are open in X' . Since by Theorem 7 the boundary of B is at most $(n - 1)$ -dimensional, from conclusion (9) of Lemma 16 we have that

$$\bigcup_{\sigma \in \{0,1\}^k} C_\sigma$$

is dense in $V \cap X'$, in particular $C_\sigma \cap X'$ is nonempty for some $\sigma \in \{0, 1\}^k$. We apply again Lemma 16 for an open set $U \subset V$ with $U \cap X' \neq \emptyset, U \cap X' \subset C_\sigma$. The resulting sets V and $G_j, 1 \leq j \leq k$ obviously can be chosen to fulfill the requirements. \square

Theorem 18. Let X be an n -dimensional compact metric space, and let

$$H : C_p(X) \rightarrow C_p(I^n)$$

be a linear homeomorphism. If $X^{[[m,n]]} \neq \emptyset$ for some $m \in \mathbb{N}$, then $L(H) \geq \sqrt{m}$.

Proof. Fix an $\eta > 0$. From Theorem 1 we know that every nonempty closed subset of X contains a relatively open nonempty subset which can be embedded into I^n , so we can apply Lemma 14 for X . Let X_1, X_2, \dots, X_m be the resulting sequence. We will define recursively a sequence of nonempty open sets

$$V_1 \subset \dots \subset V_s \subset \dots \subset V_m \subset X \quad (5)$$

and a sequence of finite families of open sets

$$\{G_i^j \subset I^n : 1 \leq i \leq q_j\}, \quad 1 \leq j \leq m,$$

such that

$$V_j \cap X_{m-j+1} \neq \emptyset, \quad 1 \leq j \leq m, \quad (6)$$

$$G_i^j \cap G_{i'}^{j'} = \emptyset \quad (7)$$

whenever $(i, j) \neq (i', j')$, and for every $1 \leq t \leq j \leq m$ and $x \in V_j$,

$$\sum_{i=1}^{q_t} \sum_{y \in G_i^t} |\mu_y(x)| \geq \frac{1}{L(H)} - \frac{\eta}{2^t}. \quad (8)$$

Once this done, using (7) and (8) we have

$$\|\mu(x)\| \geq \sum_{t=1}^m \sum_{i=1}^{q_t} \sum_{y \in G_i^t} |\mu_y(x)| \geq \frac{m}{L(H)} - \sum_{t=1}^m \frac{\eta}{2^t}$$

for every $x \in V_m$, so

$$\frac{m}{L(H)} - \eta \leq \|\mu(x)\| \leq L(H),$$

which, by taking $\eta \rightarrow 0$, implies $L(H) \geq \sqrt{m}$ and completes the proof.

Let $N_1 \subset X_m$ be open with compact closure. Now we apply Lemma 16 for $X' = \text{cl } N_1$, $U = U_1 = X$ and $\varepsilon = \eta/2$. Let V_1 denote the resulting open set, k_1, l_1 be the resulting integers and G_1, G_2, \dots, G_{l_1} denote the resulting sequence of open sets. We set $q_1 = k_1$, $G_j^1 = G_j$, $1 \leq j \leq q_1$, and let

$$G^1 = \bigcup_{i=1}^{q_1} G_i^1.$$

Then (5) and (7) holds for $s = 1$ and $j = j' = 1$, $1 \leq i, i' \leq q_1$.

By conclusion (1) of Lemma 16 we have $V_1 \cap \text{cl } N_1 \neq \emptyset$, so (6) holds for $j = 1$ and we can have an $x_1 \in V_1 \cap \text{cl } N_1$. We show that

$$\sum_{y \in G^1} |\mu_y(x_1)| \geq \frac{1}{L(H)}. \quad (9)$$

By the Urysohn lemma, there is a function $f \in C_p(X)$ with

$$\|f\|_\infty = f(x_1) = 1$$

such that $\text{supp } f \subset V_1$. From conclusion (6) of Lemma 16 we have

$$1 = f(x_1) = \sum_{y \in I^n} \mu_y(x_1)(Hf)(y) = \sum_{y \in G^1} \mu_y(x_1)(Hf)(y), \tag{10}$$

so from $\|Hf\|_\infty \leq L(H)$ we have (9).

Let $x \in V_1$ be arbitrary. Conclusion (8) of Lemma 16 for $u = x$, $u' = x_1$ implies that

$$\sum_{y \in G_i^1} |\mu_y(x)| \geq |\mu_{[\text{supp } \mu(x_1)] \cap G_i^1}(x_1)| - \frac{\eta}{2q_1}, \quad 1 \leq i \leq q_1. \tag{11}$$

Thus from (9) we get that

$$\sum_{i=1}^{q_1} \sum_{y \in G_i^1} |\mu_y(x)| \geq \frac{1}{L(H)} - \frac{\eta}{2},$$

that is (8) holds for $t = 1$ whenever $x \in V_1$.

Suppose that V_1, \dots, V_{s-1} is already defined with the corresponding open sets G_i^j , $1 \leq i \leq q_j$, $1 \leq j \leq s - 1$ satisfying (5), (6), (7) and (8). We define V_s and $G_1^s, \dots, G_{q_s}^s$ with the required properties.

Since V_{s-1} is open, from conclusion (2) of Lemma 14 and from (6) with $j = s - 1$ we have that

$$\text{cl } X_{m-s+1} \cap V_{s-1} \neq \emptyset,$$

thus also

$$X_{m-s+1} \cap V_{s-1} \neq \emptyset.$$

So by conclusion (1) of Lemma 14 we can find an open subset N_s of the set $V_{s-1} \cap X_{m-s+1}$ with compact closure in $V_{s-1} \cap X_{m-s+1}$. We apply Lemma 16 for $X' = \text{cl } N_s$ and $U = U_s = V_{s-1} \setminus \text{cl } X_{m-s+2}$, $\varepsilon = \eta/2^s$. This can be done, since from conclusion (3) of Lemma 14 with $i = m - s + 1$, $j = m - s + 2$ we know that

$$\text{cl } N_s \subset V_{s-1} \cap X_{m-s+1} \subset V_{s-1} \setminus \text{cl } X_{m-s+2} = U_s,$$

in particular $U_s \cap \text{cl } N_s$ is nonempty.

Let V_s denote the resulting open set, k_s, l_s be the resulting integers,

$$G_1, G_2, \dots, G_{l_s}$$

denote the resulting sequence of open sets. By applying Corollary 17 with $B = G_i^t$ for every $1 \leq t \leq s - 1$, $1 \leq i \leq q_t$ separately, V_s and G_j , $1 \leq j \leq k_s$ can be chosen such that for every $1 \leq t \leq s - 1$, $1 \leq i \leq q_t$ and $1 \leq j \leq k_s$ either $G_j \subset G_i^t$ or $G_j \cap G_i^t = \emptyset$. Let $G_1^s, \dots, G_{q_s}^s$ be the subsequence of G_1, \dots, G_{k_s} consisting of the sets disjoint of $G^1 \cup \dots \cup G^{s-1}$, and let

$$G^s = \bigcup_{i=1}^{q_s} G_i^s.$$

We have that (5), (6) and (7) hold.

Let $x_s \in V_s \cap \text{cl } N_s$. We prove that

$$\sum_{y \in G^s} |\mu_y(x_s)| \geq \frac{1}{L(H)}. \quad (12)$$

Consider a function $f \in C_p(X)$ satisfying $\|f\|_\infty = f(x_s) = 1$ and $\text{supp } f \subset V_s$. From conclusion (6) of Lemma 16, we have

$$\begin{aligned} 1 = f(x_s) &= \sum_{y \in I^n} \mu_y(x_s)(Hf)(y) = \sum_{y \in G^1 \cup \dots \cup G^s} \mu_y(x_s)(Hf)(y) \\ &\leq \left| \sum_{y \in G^1 \cup \dots \cup G^{s-1}} \mu_y(x_s)(Hf)(y) \right| + \left| \sum_{y \in G^s} \mu_y(x_s)(Hf)(y) \right|. \end{aligned} \quad (13)$$

From $V_s \subset V_{s-1} \subset \dots \subset V_1$ and conclusion (7) of Lemma 16 we know that if $y \in G^j$ for some $1 \leq j \leq s-1$, then

$$\text{supp } \nu(y) \cap V_s \subset \text{supp } \nu(y) \cap V_j \subset \text{cl } N_j \subset \text{cl } X_{m-j+1}.$$

Since by Lemma 14(2) we have $\text{cl } X_{m-j+1} \subset \text{cl } X_{m-s+2}$, and

$$V_s \subset U_s = V_{s-1} \setminus \text{cl } X_{m-s+2},$$

we get $\text{supp } \nu(y) \cap V_s = \emptyset$ whenever $y \in G^1 \cup \dots \cup G^{s-1}$. Thus $(Hf)(y) = 0$ for every $y \in G^1 \cup \dots \cup G^{s-1}$. This, $\|Hf\|_\infty \leq L(H)$ and (13) imply

$$\sum_{y \in G^s} |\mu_y(x_s)| \geq \frac{1}{L(H)}.$$

Just as in the case of V_1 , conclusion (8) of Lemma 16 and $q_s \leq k_s$ imply that for every $x \in V_s$,

$$\sum_{y \in G_i^s} |\mu_y(x)| \geq \mu_{[\text{supp } \mu(x_s)] \cap G_i^s}(x_s) - \frac{\eta}{2^s q_s}, \quad 1 \leq i \leq q_s. \quad (14)$$

Thus from (12) we get that

$$\sum_{i=1}^{q_s} \sum_{y \in G_i^s} |\mu_y(x)| \geq \frac{1}{L(H)} - \frac{\eta}{2^s}.$$

Since $V_s \subset V_j$ whenever $1 \leq j \leq s-1$, we have that (8) holds for $1 \leq t \leq s$ whenever $x \in V_s$. This proves the recursive step and completes the proof. \square

We immediately get the first part of Theorem 6 as a corollary.

Corollary 19. *Suppose that the topological space X is l -equivalent to I^n . Then $X^{[l,m,n]} = \emptyset$ for some $m \in \mathbb{N}$.*

Proof. If

$$H : C_p(X) \rightarrow C_p(I^n)$$

is a linear homeomorphism, then by Theorem 18, any m with $m > L(H)^2$ fulfills the requirements. \square

5. Conclusions

Combining the results stated in the introduction and proved here we obtain the following corollaries. As usual, ω denotes the first infinite ordinal.

Corollary 20. *Let X be a topological space. If X is l -equivalent to I^n , then*

- (1) X is compact, metrizable and n -dimensional;
- (2) every nonempty closed subset of X contains a relatively open nonempty subset which can be embedded into I^n ;
- (3) $X^{[m,n]} = \emptyset$ for some $m < \omega$.

Proof. The statement immediately follows from Theorem 1 and the first part of Theorem 6. \square

The following corollary contains the second part of Theorem 6.

Corollary 21. *A topological space X is l -equivalent to I^1 if and only if*

- (1) X is one-dimensional, compact, metrizable;
- (2) $X^{[m,1]} = \emptyset$ for some $m < \omega$.

Proof. Since the class of compact metrizable spaces and dimension are preserved under l -equivalence, from Theorem 18 we get that the conditions are necessary, while Lemma 15 and Theorem 2 prove sufficiency. \square

This corollary immediately implies that for compact metric spaces, the property of having empty m th one-dimensional strong embedding derivative for some finite m is preserved by l -equivalence.

Corollary 22. *Let X and Y be l -equivalent compact metric spaces. Then $X^{[m,1]} = \emptyset$ for some $m \in \mathbb{N}$ if and only if $Y^{[m',1]} = \emptyset$ for some $m' \in \mathbb{N}$.*

Theorem 6 also answers Problem 4.6. of [6].

Corollary 23. *For an ordinal α , the space $I^n \times [1, \alpha]$ is l -equivalent to I^n if and only if $\alpha < \omega^\omega$.*

As mentioned above, for $n \geq 2$ there are compact metric spaces which have empty m th n -dimensional strong embedding derivative for some finite m such that they do not vanish after taking a finite number of n -dimensional embedding derivatives. For these spaces our theorems do not work. As a typical representative of the problems this generates, we ask the following question. M_k^n denotes the k -dimensional Menger sponge in I^n (for the definition see [5, p. 121]).

Problem 24. Let $n \geq 2$ and consider the space

$$X = M_{n-1}^n \times [1, \omega^\omega] \cup I^n \times \{\omega^\omega + 1\}.$$

Is X l -equivalent to I^n ?

Acknowledgement

The author would like to thank Rafał Górak for giving the background of the topic, and for his constant interest.

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