

# Henkin on Completeness

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# Reading Completeness

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- 3 The set of logical truths is included in the set of theorems of  $S$ .
- 4 The set of logical truths is recursively enumerated (by a calculus  $S$ ).

# Completeness for PL

Some facts from History:

- 1 Bernays, 1918: "Beiträge zur axiomatischen Behandlung des LogikalKalküs".
- 2 Post, 1921: "Introduction to a general theory of elementary propositions".
- 3 Behmann, 1922: "Beiträge zur Algebra der Logik, insbesondere zum Entscheidungsproblem".
- 4 Bernays, 1926: "Axiomatische Untersuchungen des Aussagen-Kalkuls der "Principia Mathematica" ".
- 5 Quine, 1938: "Completeness of the Propositional Calculus".

## Post's interpretation of the proof

*"Our most important theorem gives a uniform method for testing the truth of any proposition of the system; and by means of this theorem it becomes possible to exhibit certain general relations which exists between these propositions. [...] ...this general procedure might be extended to other portions of "Principia", and we hope at some future time to present the begining of such an attempt." [Post 1921, p.164]*

*"We thus see that given any function the theorem gives a direct method for testing whether that function can or cannot be asserted; and if the test shows that the function can be asserted the above proof will give us an actual method for immediately writting down a formal derivation of its assertion by means of the postulates of Principia." [Post 1921, p.171]*



# Gödel's completeness proof for FOL

## Normal forms

- It is a typical proof based on a critical use of "**normal forms**".
- A K-formula has the following aspect:  $(U_n)(E_n)\dots(U_0)(E_0)A$ . That is, a **K-formula** is a formula with a quantificational prefix formed by alternations of universal quantifiers followed by existential quantifiers.
- The **degree of a K-formula** is defined as the number of such alternations. A K-formula of degree 1 corresponds to  $(U_0)(E_0)A$
- The set of  **$\mathcal{K}$ -expressions** is formed by the K-formulas of the language.

# Gödel's completeness proof for FOL

## Normalization strategy

### Normalization process

- ① **Theorem 3:** If every  $\mathcal{R}$ -expression is either refutable or satisfiable, so is every expression.
- ② **Theorem 4:** If every expression of degree  $k$  is either satisfiable or refutable, so is every expression of degree  $k+1$ .
- ③ **Theorem 5:** Every formula of degree 1 is either satisfiable or refutable.

# Gödel's completeness proof for FOL

## The inductive list of formulas

### Introduction of an inductive list of formulas in a propositional language

$$\neg(P)A =_{\text{def}} (U_0)(E_0)A$$

$$\neg(\forall x_0^1 x_1^1 \dots x_i^1)(\exists y_0 y_1 \dots y_j)A -$$

$$A_1 = A(x_0^1 x_1^1 \dots x_i^1, y_0 y_1 \dots y_j)$$

$$A_2 = A(x_0^2 x_1^2 \dots x_i^2, y_{j+1} y_{j+2} \dots y_{2j}) \& A_1$$

.....

$$A_n = A(x_0^n x_1^n \dots x_i^n, y_{(n-1)j+1} y_{(n-1)j+2} \dots y_{nj}) \& A_{n-1}$$

# Gödel's completeness proof for FOL

## Connecting with PL

### Some basic facts and the propositional translation

- $(P_n)A_n = (\exists x_0 x_1 \dots x_n)A_n$
- **Theorem 6:**  $(P)A \rightarrow (P_n)A_n$ , for every  $n$ .
- $B_n$ : for each  $A_n$  we obtain a corresponding propositional formula  $B_n$  by replacing the elementary constituents of  $A_n$  by different propositional variables.
- A basic fact from PL: each  $B_n$  is either satisfiable or refutable (completeness for PL)

# Gödel's completeness proof for FOL

## Satisfying systems

- **Two cases:** at least one  $B_n$  is refutable or no  $B_n$  is refutable.
- **Case 1:** At least one  $B_n$  is refutable, then  $(P_n)A_n$  is refutable too, and by  $(P)A \rightarrow (P_n)A_n$ ,  $(P)A$  is refutable
- **Case 2:** No  $B_n$  is refutable, i.e. every  $B_n$  is satisfiable. Now we obtain satisfying systems  $S_i$  of every level such that each one contains the preceeding systems. From  $S_1...S_i...$  we obtain a system  $S$  as the sum of  $S_1...S_i...$  which satisfies  $(P)A$ .
- **Theorem (completeness): Every formula either is refutable or satisfiable**
- Compactness is obtained as a corollary from the proof.

# Gödel's completeness proof for FOL

## Interpretations

*Whitehead and Russell, as is well known, constructed logic and mathematics...[...] in a purely formal way (that is, without making further use of the meaning of symbols).*

*Let us note that the equivalence now proved, "valid=provable", entails, for the decision problem, a reduction of the nondenumerable to the denumerable, since "valid" refers to the totality of functions, while "provable" presupposes only the denumerable totality of formal proofs.*

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  - 2 **Second order calculus is incomplete**, 1931

*"... no matter what (recursive) set of axioms are chosen, the system will contain a formula which is valid but not a formal theorem."*

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  - ② **Second order calculus is incomplete**, 1931
    - "... no matter what (recursive) set of axioms are chosen, the system will contain a formula which is valid but not a formal theorem."*
- The *standard semantics* is being determined by structures  
 $\mathfrak{D} = \langle \langle D_\alpha \rangle_{\alpha \in TS}, \dots \rangle$  where  
 $D_0 = \{T, F\}$ ,  $D_1 \neq \emptyset$ ,  $D_{(0,1)} = \wp(D_1)$ , etc.

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## Theorem

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- So Henkin defines what he calls *General models* and proves

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- the new method of proof can be generalized

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- An interpretation is build on top of this set using **the set of individuals constants**.

# The completeness of FOL in Henkin's course

## Herbrand's Theorem

### Theorem (Extended Herbrand's)

*For any set of sentences  $\Gamma \cup \{A\} \subseteq \text{Sent}(L)$  we have:  $\Gamma \vdash A$  iff  $\Gamma \cup \Delta \vdash_{PL} A$ , where  $\Delta \subseteq \text{Sent}(L')$  effectively given.  $L' = L \cup \mathcal{C}$  (new individual constants).*

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  - ③ Thus,  $\Gamma \not\vdash A$  (soundness  $FOL$ )

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Predicate logic: Reduction to sentential logic

- We effectively reduce the completeness problem for first order logic to that of sentential logic.

## Theorem (Completeness of FOL)

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- Therefore,  $\Gamma \models A$  implies  $\Gamma \vdash A$ , which is completeness for first order logic.

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## An Extension of the Craig-Lyndon Interpolation Theorem

- Craig had shown the following theorem:

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*If  $A$  and  $C$  are any formulas of predicate logic such that  $A \vdash C$ , then there is a formula  $B$  such that (i)  $A \vdash B$  and  $B \vdash C$ , and (ii) each predicate symbol occurring in  $B$  occurs both in  $A$  and in  $C$ .*

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- Henkin's idea was to obtain completeness from a slightly modified version of Craig's theorem.

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## An Extension of the Craig-Lyndon Interpolation Theorem

- Craig had shown the following theorem:

### Theorem

*If  $A$  and  $C$  are any formulas of predicate logic such that  $A \vdash C$ , then there is a formula  $B$  such that (i)  $A \vdash B$  and  $B \vdash C$ , and (ii) each predicate symbol occurring in  $B$  occurs both in  $A$  and in  $C$ .*

- Henkin's idea was to obtain completeness from a slightly modified version of Craig's theorem.
- 'Notice, however, that if we alter Craig's theorem by replacing the symbol " $\vdash$ " with " $\models$ " in the hypothesis, but leaving " $\vdash$ " unchanged in condition (i) of the conclusion, then the resulting proposition yields the completeness theorem as an immediate corollary.'

# The completeness of FOL in Henkin's course

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- The main theorem to be proven is:

### Theorem

*Let  $\Gamma$  and  $\Delta$  any sets of nnf's (negation normal formula) such that  $\Gamma \models \Delta$ . There is a nnf  $B$  such that (i)  $\Gamma \vdash B$  and  $B \vdash \Delta$ , and (ii) any predicate symbol with a positive or negative occurrence in  $B$  has an occurrence of the same sign in some formula of  $\Gamma$  and in some formula of  $\Delta$ .*

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- The strong completeness theorem is implied by the previous one. The proof of the theorem is done by contraposition.

Thank you very much