

# Finite substructures of uncountable graphs and hypergraphs

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# Pál Erdős (1913–1996)

A *graph* is a pair  $(V, X)$  where  $V$  (the vertices) is an arbitrary set,  $X$  (the vertices) is some set of 2-element subsets of  $V$  (the edges).  $(V, X)$  is sometimes shortened to  $X$

The cardinalities (=sizes) of infinite sets are  $\aleph_0 < \aleph_1 < \aleph_2 < \dots$ .

“Independence raised its ugly head.”

(Blanche Descartes, aka W. T. Tutte) For every finite  $n$  there is a triangle-free,  $n$ -chromatic (finite) graph.

The chromatic number of a finite graph is the least number of colors in a *good coloring*: the vertices are colored  $f : V \rightarrow C$  such that if  $\{x, y\}$  is an edge then  $f(x) \neq f(y)$ .

Erdős: can we generalize this to infinite graphs?

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Notation:  $\text{Chr}(X)$

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(Erdős–de Bruijn) If  $n$  is a natural number then an infinite graph  $X$  has chromatic number at most  $n$  iff this holds for all finite subgraphs of  $X$ .



(Erdős–Rado) If  $\kappa$  is an infinite cardinal, then there is a triangle-free graph  $X$  of cardinality  $2^\kappa$  with  $\text{Chr}(X) > \kappa$ .  $|X| = \kappa^+$  is also possible.

(Erdős) If  $n, k$  are natural numbers, then there is a (finite) graph  $X$  with  $\text{Chr}(X) > n$  that does not contain  $C_3, C_4, \dots, C_k$ .

(Erdős–Hajnal) If the graph  $X$  does not contain a  $C_4$  (or any circuit of even length), then  $\text{Chr}(X) \leq \aleph_0$ .

(Erdős–Hajnal) If  $\kappa$  is an infinite cardinal,  $n$  is a natural number, then there is a graph  $X$ , omitting  $C_3, C_5, \dots, C_{2n+1}$  with  $\text{Chr}(X) > \kappa$ .

(Erdős-Hajnal) The obligatory finite graphs for uncountable chromatic number are exactly the bipartite graphs.

Same for  $\text{Chr}(X) > \kappa$ , any infinite  $\kappa$ .

(Erdős–Hajnal) If  $(V, X)$  is a graph, then its *coloring number*,  $\text{Col}(X)$  is the least cardinal  $\mu$  such that the following holds:  $V$  has a well ordering  $<$  such that every vertex is joined into less than  $\mu$  smaller vertices.

Then  $V$  can be good colored with  $\mu$  colors with transfinite recursion by  $<$ , consequently  $\text{Chr}(X) \leq \text{Col}(X)$ .

(Erdős–Hajnal) If  $\text{Col}(X) > \aleph_0$ , then  $X$  contains  $C_4$ , even every  $C_{2k}$ , even every  $K_{n, \aleph_1}$ .

Obligatory graph: occurs in every  $X$  with  $\text{Col}(X) > \aleph_0$ . What are the obligatory graphs?

(K) There are a countable graph  $\Delta$  and a graph  $\Gamma$  of cardinality  $\aleph_1$  such that  $\Delta$  is the largest countable obligatory graph and  $\Gamma$  is the largest obligatory graph (for the coloring number).

(Erdős–Hajnal) Is it true that every graph with uncountable chromatic number contains an infinitely connected (countable) subgraph?

(Erdős–Hajnal–Szemerédi) If  $\text{Chr}(X) > \aleph_0$  let  $f_X$  be the following function.  $f_X(n)$  is the largest chromatic number of an  $n$ -vertex subgraph of  $X$ .  $f_X(n) \rightarrow \infty$  by Erdős-de Bruijn.

(Erdős–Hajnal–Szemerédi) Can  $f_X$  converge to infinity arbitrarily slowly?

(Shelah) It is consistent that for every divergent function  $f : \mathbb{N} \rightarrow \mathbb{N}$  with  $f(n) \geq 2$  ( $n \in \mathbb{N}$ ) there is a graph  $X$  with  $\text{Chr}(X) = \aleph_1$  and  $f_X(n) \leq f(n)$ .



**Taylor conjecture** (Taylor, Erdős–Hajnal–Shelah)

If  $X$  is a graph with  $\text{Chr}(X) > \aleph_0$ , then for every cardinal  $\lambda$  there is a graph  $Y$  with the same finite subgraphs and  $\text{Chr}(Y) > \lambda$ .

(K) There consistently exists a graph  $X$  with  $|X| = \text{Chr}(X) = \aleph_1$  and if  $Y$  is a graph all whose finite subgraphs occur in  $X$ , then  $\text{Chr}(Y) \leq \aleph_2$ .

(K) The following is consistent: if  $X$  is a graph with  $\text{Chr}(X) \geq \aleph_2$ , then there are arbitrarily large chromatic graphs with the same finite subgraphs as  $X$ .

The *list-chromatic number* of a graph  $(V, X)$  is the least cardinal  $\mu$  such that if  $F(v)$  is an arbitrary set with  $|F(v)| = \mu$  ( $v \in V$ ) then there is a good coloring  $f$  such that  $f(v) \in F(v)$  ( $v \in V$ ).

For every graph  $X$  we have the inequality

$$\text{Chr}(X) \leq \text{List}(X) \leq \text{Col}(X)$$

.

(K) It is consistent that for every graph  $X$  of cardinality  $\aleph_1$

$$\text{List}(X) = \aleph_1 \iff \text{Chr}(X) = \aleph_1.$$

(K) It is consistent that for every graph with  $\text{Col}(X)$  infinite,  $\text{List}(X) = \text{Col}(X)$  holds.

(Erdős–Hajnal) What is the situation for hypergraphs?

A hypergraph is  $(V, \mathcal{H})$  where  $\mathcal{H}$  consists of finite subsets of  $V$ .

The *chromatic number* of  $(V, \mathcal{H})$  is the least number of colors required to color  $V$  with no member of  $\mathcal{H}$  monocolored.

Restrict to triple systems.

Project: describe obligatory triple systems for uncountable chromatic number as was done for graphs.

(Erdős–Hajnal) If  $(V, \mathcal{H})$  is a triple system with  $|V| \leq \aleph_1$  and  $|A \cap B| \leq 1$  for  $A, B \in \mathcal{H}$ , then  $\text{Chr}(\mathcal{H}) \leq \aleph_0$ .

(Erdős–Hajnal–Rothschild) There is a triple system  $(V, \mathcal{H})$  of cardinality  $c^+$  with  $\text{Chr}(\mathcal{H}) > \aleph_0$  with no  $A, B \in \mathcal{H}$  s.t.  $|A \cap B| = 2$ .

P. Erdős, F. Galvin, A. Hajnal: On set systems having large chromatic numbers and not containing prescribed subsystems, *Coll. Math. Soc. J. Bolyai*, **10**, Infinite and Finite Sets, Keszthely (Hungary), 1973, 425–513.



1. Describe all finite obligatory triple systems.
2. Is it true that if  $\mathcal{S}_0$  and  $\mathcal{S}_1$  can be separately omitted then they can be simultaneously omitted. (True for the graph case.)
3. When does  $\aleph_1 \rightarrow (\aleph_1, \mathcal{S})^3$  hold? (Read: if  $\mathcal{H}$  is a triple system on a set of size  $\aleph_1$  with no independent set of cardinality  $\aleph_1$ , then  $\mathcal{S} \leq \mathcal{H}$ .)

(K) A finite triple system is obligatory iff all its 2-connected components are.

(K) Every obligatory finite triple systems is tripartite but not vice versa.

Let  $\mathcal{T}_0$  denote the triple system  $\{A, B\}$  with  $|A \cap B| = 2$ .

(Hajnal–K) If  $\text{Chr}(\mathcal{H}) > \aleph_0$ ,  $\mathcal{T}_0 \not\leq \mathcal{H}$  then  $\mathcal{C}_7, \mathcal{C}_9, \mathcal{C}_{11}, \dots \leq \mathcal{H}$ .

(K) It is consistent that there is a triple system  $\mathcal{H}$  omitting  $\mathcal{T}_0$ ,  $\mathcal{C}_3$ , and  $\mathcal{C}_5$ , with  $\text{Chr}(\mathcal{H}) = \aleph_1$  (and  $|\mathcal{H}| = \aleph_2$ ).

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(Hajnal–K) It is consistent that there are finite triple systems  $\mathcal{S}_0, \mathcal{S}_1$  such that either can be omitted but not both.

(K) It is consistent that I can describe those triple systems  $\mathcal{S}$  for which  $\aleph_1 \rightarrow (\aleph_1, \mathcal{S})^3$  holds.

(K) If the coloring number of some set system  $\mathcal{H}$  is greater than  $\aleph_0$ , then  $\mathcal{H}$  contains...

(K) If  $V$  is a vector space over  $\mathbb{Q}$ , then there is, in  $V$ , a vector set  $A \subseteq V$ ,  $|A| = \aleph_2$ , which is not the union of countably many linearly independent sets, yet each  $B \subseteq A$ ,  $|B| \leq \aleph_1$ , is. (Question raised by Erdős.)

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Happy birthday, Uncle Paul!