

THE SUM-FREE SET CONSTANT IS $\frac{1}{3}$

Ben Green

Oxford

August 7, 2013

A set $A \subset \mathbb{N}$ is *sum-free* if there do not exist $x, y, z \in A$ with $x + y = z$.

THEOREM (ERDŐS, 1965)

Let A be a set of n positive integers. Then A contains a sum-free subset of size at least $n/3$.

Proof. For any $\theta \in \mathbb{R}/\mathbb{Z}$, the set $A'_\theta := \{a \in A : \theta a \pmod{1} \in [\frac{1}{3}, \frac{2}{3})\}$ is sum-free.

But if a is fixed and θ is selected uniformly at random then θa is uniformly distributed modulo 1, so the probability that $\theta a \pmod{1} \in [\frac{1}{3}, \frac{2}{3})$ is $\frac{1}{3}$.

Hence the expected size of A'_θ is $n/3$.

IMPROVING $n/3$.

Let A be a set of n natural numbers.

Erdős (1965): A contains a sum-free subset of size $\geq n/3$.

Alon and Kleitman (1990): A contains a sum-free subset of size $\geq (n+1)/3$.

Bourgain (1997): A contains a sum-free subset of size $\geq (n+2)/3$.

Open question: Does A contain a sum-free subset of size $\geq (n+1000)/3$, for large enough n ?

I believe the answer is **yes**.

THE INVERSE LITTLEWOOD PROBLEM

Let A be a set of n natural numbers.

Bourgain (1997): A contains a sum-free subset of size at least

$$\frac{n}{3} + \frac{c\|A\|}{\log n},$$

where

$$\|A\| := \int_0^1 \left| \sum_{a \in A} e^{2\pi i a \theta} \right| d\theta.$$

THEOREM (KONYAGIN, MCGEHEE-PIGNO-SMITH 1981)

$\|A\| \gg \log n$ for all sets A of n integers.

Open question: Suppose that $A \subseteq \mathbb{Z}$ is a set of size n and that $\|A\| \leq K \log n$. What structure does A have? Is A a union of $O_K(\log n)$ progressions*?

THEOREM (EBERHARD-G.-MANNERS, 2013)

Let $\epsilon > 0$ be arbitrary. Then there is a set A of n natural numbers which does not contain a sum-free set of size greater than $(\frac{1}{3} + \epsilon)n$.

Previously: $\frac{11}{28}n$ (Erdős, Lewko). Note $\frac{11}{28} \approx 0.393$.

$(\frac{11}{28} - 10^{-50000})n$ (Alon, unpublished).

The largest sum-free subset of $A = \{1, \dots, n\}$ has size essentially $n/2$: take $\{1, 3, 5, \dots\}$ or $\{a : n/2 < a \leq n\}$.

WAYS OF MAKING LARGE SUM-FREE SUBSETS

Let A be a set of n integers.

- (mod Q) constructions. The sets $\{a \in A : a \equiv 1 \pmod{2}\}$ and $\{a \in A : a \equiv 2, 3 \pmod{5}\}$ are always sum-free.
- \mathbb{R} -constructions. The set $A \cap (X, 2X]$ is sum-free for any X .

When $A = \{1, \dots, n\}$:

$$|\{a \in A : a \equiv 1 \pmod{2}\}| \sim n/2;$$

$$|\{a \in A : a \equiv 2, 3 \pmod{5}\}| \sim 2n/5;$$

$$|\{a \in A : a \equiv 3, 4, 5 \pmod{8}\}| \sim 3n/8;$$

$$|\{a \in A : n/2 < a \leq n\}| \sim n/2.$$

We must defeat all these “local” methods of construction.

THE LOCAL PROBLEM

DEFINITION

Let $Q \in \mathbb{N}$. Say that a probability measure ν on $\mathbb{Z}/Q\mathbb{Z} \times [0, 1]$ is δ -good if whenever $S \subset \mathbb{Z}/Q\mathbb{Z} \times [0, 1]$ is open and sum-free then $\nu(S) \leq \frac{1}{3} + \delta$.

PROPOSITION

There is a δ -good probability measure on $\mathbb{Z}/Q\mathbb{Z} \times [0, 1]$ for every $\delta > 0$. Furthermore $\nu(S) = \int_S w(x, y) dx dy$ for some $O_\delta(1)$ -Lipschitz weight function $w : \mathbb{Z}/Q\mathbb{Z} \times [0, 1] \rightarrow (0, \infty)$.

Local implies global: Let N be large, and choose a set $A \subset \{1, \dots, N\}$ at random by selecting a to lie in A with probability proportional to $w(a \pmod Q, a/N)$, where $Q = Q(\epsilon)$ is some highly composite number and w is associated to some $\epsilon/2$ -good measure.

The details of checking that such an A almost surely works are not trivial.

SOLVING THE LOCAL PROBLEM FOR $[0, 1]$

Say that a probability measure ν on $[0, 1]$ is δ -good if whenever $S \subset [0, 1]$ is open and sum-free then $\nu(S) \leq \frac{1}{3} + \delta$.

Crucial idea: open sum-free subsets of $[0, 1]$ with uniform measure $> \frac{1}{3}$ are “repelled from zero” and so we should choose ν to be concentrated near zero.

PROPOSITION (REPULSION FROM 0)

Suppose that $S \subset [0, 1]$ is open and sum-free and that $\mu(S) \geq \frac{1}{3} + \epsilon$. Then $S \cap [0, \epsilon'] = \emptyset$ for some $\epsilon' \gg_{\epsilon} 1$.

Easy observation: The uniform measure μ is $\frac{1}{6}$ -good.

CONSTRUCTING GOOD MEASURES ON $[0, 1]$

Let ν be a δ -good measure and set

$$\nu' = \frac{3}{4}\pi_*\nu + \frac{1}{4}\mu$$

where $\pi : [0, 1] \rightarrow [0, \epsilon']$ is the contraction map. ($\pi_*\nu(S) := \nu(\pi^{-1}(S))$).

We claim ν' is δ' -good, where $\delta' = \frac{3}{4}\delta + \frac{1}{4}\epsilon$. Let $S \subset [0, 1]$ be open and sum-free: we must show that $\nu'(S) \leq \frac{1}{3} + \delta'$.

Case 1. $\mu(S) \geq \frac{1}{3} + \epsilon$. Then S is repelled from 0, i.e. is disjoint from $[0, \epsilon']$. So $\pi_*\nu(S) = 0$, and $\nu'(S) = \frac{1}{4}\mu(S) < \frac{1}{3}$.

Case 2. $\mu(S) < \frac{1}{3} + \epsilon$. Then, since $\pi^{-1}(S)$ is sum-free, we have $\pi_*\nu(S) \leq \frac{1}{3} + \delta$. Thus

$$\nu'(S) \leq \frac{3}{4}\left(\frac{1}{3} + \delta\right) + \frac{1}{4}\left(\frac{1}{3} + \epsilon\right) = \frac{1}{3} + \delta',$$

as required.

Iterating, we can take δ as close to ϵ as we like.

PROPOSITION (REPULSION FROM 0)

Suppose that $S \subset [0, 1]$ is open, sum-free and $\mu(S) \geq \frac{1}{3} + \epsilon$. Then $S \cap [0, \epsilon'] = \emptyset$ for some $\epsilon' \gg_{\epsilon} 1$.

S is sum-free implies $(S - S) \cap S = (S - S) \cap (-S) = \emptyset$.

($S - S := \{s_1 - s_2 : s_1, s_2 \in S\}$.) Note $S - S \subset [-1, 1]$. Thus

$$\mu(S - S) \leq 2 - 2\mu(S) \leq \frac{4}{3} - 2\epsilon < (4 - \epsilon)\mu(S).$$

PROPOSITION (REPULSION FROM 0)

Suppose that $S \subset [0, 1]$ is open, sum-free and $\mu(S) \geq \frac{1}{3} + \epsilon$. Then $S \cap [0, \epsilon'] = \emptyset$ for some $\epsilon' \gg_{\epsilon} 1$.

S is sum-free implies $(S - S) \cap S = (S - S) \cap (-S) = \emptyset$.

$(S - S := \{s_1 - s_2 : s_1, s_2 \in S\}.)$ Note $S - S \subset [-1, 1]$. Thus

$$\mu(S - S) \leq 2 - 2\mu(S) \leq \frac{4}{3} - 2\epsilon < (4 - \epsilon)\mu(S).$$

PROPOSITION (REPULSION FROM 0)

Suppose that $S \subset [0, 1]$ is open, sum-free and $\mu(S) \geq \frac{1}{3} + \epsilon$. Then $S \cap [0, \epsilon'] = \emptyset$ for some $\epsilon' \gg_{\epsilon} 1$.

S is sum-free implies $(S - S) \cap S = (S - S) \cap (-S) = \emptyset$.

$(S - S := \{s_1 - s_2 : s_1, s_2 \in S\})$ Note $S - S \subset [-1, 1]$. Thus

$$\mu(S - S) \leq 2 - 2\mu(S) \leq \frac{4}{3} - 2\epsilon < (4 - \epsilon)\mu(S).$$

PROPOSITION (REPULSION FROM 0)

Suppose that $S \subset [0, 1]$ is open, sum-free and $\mu(S) \geq \frac{1}{3} + \epsilon$. Then $S \cap [0, \epsilon'] = \emptyset$ for some $\epsilon' \gg_{\epsilon} 1$.

S is sum-free implies $(S - S) \cap S = (S - S) \cap (-S) = \emptyset$.

$(S - S := \{s_1 - s_2 : s_1, s_2 \in S\})$ Note $S - S \subset [-1, 1]$. Thus

$$\mu(S - S) \leq 2 - 2\mu(S) \leq \frac{4}{3} - 2\epsilon < (4 - \epsilon)\mu(S).$$

PROPOSITION (REPULSION FROM 0)

Suppose that $S \subset [0, 1]$ is open, sum-free and $\mu(S) \geq \frac{1}{3} + \epsilon$. Then $S \cap [0, \epsilon'] = \emptyset$ for some $\epsilon' \gg_{\epsilon} 1$.

S is sum-free implies $(S - S) \cap S = (S - S) \cap (-S) = \emptyset$.

$(S - S := \{s_1 - s_2 : s_1, s_2 \in S\})$ Note $S - S \subset [-1, 1]$. Thus

$$\mu(S - S) \leq 2 - 2\mu(S) \leq \frac{4}{3} - 2\epsilon < (4 - \epsilon)\mu(S).$$

PROPOSITION (REPULSION FROM 0)

Suppose that $S \subset [0, 1]$ is open, sum-free and $\mu(S) \geq \frac{1}{3} + \epsilon$. Then $S \cap [0, \epsilon'] = \emptyset$ for some $\epsilon' \gg_{\epsilon} 1$.

S is sum-free implies $(S - S) \cap S = (S - S) \cap (-S) = \emptyset$.

$(S - S := \{s_1 - s_2 : s_1, s_2 \in S\})$ Note $S - S \subset [-1, 1]$. Thus

$$\mu(S - S) \leq 2 - 2\mu(S) \leq \frac{4}{3} - 2\epsilon < (4 - \epsilon)\mu(S).$$

PROPOSITION (REPULSION FROM 0)

Suppose that $S \subset [0, 1]$ is open, sum-free and $\mu(S) \geq \frac{1}{3} + \epsilon$. Then $S \cap [0, \epsilon'] = \emptyset$ for some $\epsilon' \gg_{\epsilon} 1$.

S is sum-free implies $(S - S) \cap S = (S - S) \cap (-S) = \emptyset$.

($S - S := \{s_1 - s_2 : s_1, s_2 \in S\}$.) Note $S - S \subset [-1, 1]$. Thus

$$\mu(S - S) \leq 2 - 2\mu(S) \leq \frac{4}{3} - 2\epsilon < (4 - \epsilon)\mu(S).$$

SETS OF DOUBLING LESS THAN 4

PROPOSITION (REPULSION FROM 0)

Suppose that $S \subset [0, 1]$ is open, sum-free and $\mu(S) \geq \frac{1}{3} + \epsilon$. Then $S \cap [0, \epsilon'] = \emptyset$ for some $\epsilon' \gg_{\epsilon} 1$.

S is sum-free implies $(S - S) \cap S = (S - S) \cap (-S) = \emptyset$.

($S - S := \{s_1 - s_2 : s_1, s_2 \in S\}$.) Note $S - S \subset [-1, 1]$. Thus

$$\mu(S - S) \leq 2 - 2\mu(S) \leq \frac{4}{3} - 2\epsilon < (4 - \epsilon)\mu(S).$$

THEOREM (SETS OF DOUBLING LESS THAN 4)

Suppose that $S \subset [0, 1]$ is open, $\mu(S) \geq \epsilon$ and $\mu(S - S) \leq (4 - \epsilon)\mu(S)$. Then $S - S$ contains $[0, \epsilon']$.

SETS OF DOUBLING LESS THAN 4

PROPOSITION (REPULSION FROM 0)

Suppose that $S \subset [0, 1]$ is open, sum-free and $\mu(S) \geq \frac{1}{3} + \epsilon$. Then $S \cap [0, \epsilon'] = \emptyset$ for some $\epsilon' \gg_{\epsilon} 1$.

S is sum-free implies $(S - S) \cap S = (S - S) \cap (-S) = \emptyset$.

($S - S := \{s_1 - s_2 : s_1, s_2 \in S\}$.) Note $S - S \subset [-1, 1]$. Thus

$$\mu(S - S) \leq 2 - 2\mu(S) \leq \frac{4}{3} - 2\epsilon < (4 - \epsilon)\mu(S).$$

THEOREM (SETS OF DOUBLING LESS THAN 4)

Suppose that $S \subset [0, 1]$ is open, $\mu(S) \geq \epsilon$ and $\mu(S - S) \leq (4 - \epsilon)\mu(S)$. Then S has density $> \frac{1}{2}$ on some interval of length at least ϵ' .

A REGULARITY LEMMA

Structure theorem for arbitrary open sets $S \subset [0, 1]$.

Rough definition: a set $B \subset [0, 1]$ is of *Bohr type* if there is a homomorphism $\pi : \mathbb{R} \rightarrow (\mathbb{R}/\mathbb{Z})^d$,

$$\pi(t) = (X_1 t, \dots, X_d t) \pmod{1}$$

with the X_i large and highly independent over \mathbb{Q} , and an M such that

$$B = \pi^{-1}(\Sigma_i) \quad \text{on} \quad \left[\frac{i}{M}, \frac{i+1}{M}\right), \quad i = 0, 1, \dots, M-1,$$

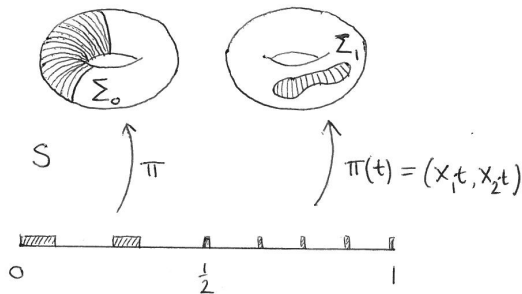
where $\Sigma_i \subset (\mathbb{R}/\mathbb{Z})^d$ is open.

An arbitrary open set $S \subset [0, 1]$ is, up to set of measure $< \epsilon$, extremely well-approximated by sets of Bohr type with d, M and the complexity of each open set Σ_i being $O_\epsilon(1)$.

SETS OF DOUBLING LESS THAN 4

Suppose that $S \subset [0, 1]$ is open, $\mu(S) \geq \epsilon$ and $\mu(S - S) \leq (4 - \epsilon)\mu(S)$. Then S has density $> \frac{1}{2}$ on some interval of length at least $\epsilon' \gg_{\epsilon} 1$.

Applying the regularity lemma, we may assume that S is of Bohr type.

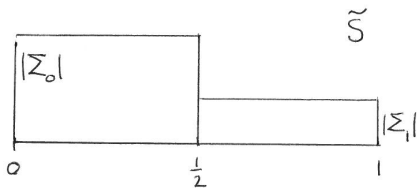


Density of S on $[\frac{i}{M}, \frac{i+1}{M})$ is $\approx |\Sigma_i|$.
 $M = 2$ in the picture.

If $|\Sigma_i| > \frac{1}{2}$ for any i then the theorem holds (with $\epsilon' = 1/M$).

THEOREM (MACBEATH, MATH. PROC. CAMB. PHIL. SOC. 1953)

Suppose that Σ_i, Σ_j are open subsets of a torus $(\mathbb{R}/\mathbb{Z})^d$ with $|\Sigma_i|, |\Sigma_j| \leq \frac{1}{2}$. Then $|\Sigma_i - \Sigma_j| \geq |\Sigma_i| + |\Sigma_j|$.



$\tilde{S} \subset \mathbb{R}^2$.

\tilde{S} is a **compression** of S .

$\mu(S) = \mu_{\mathbb{R}^2}(\tilde{S})$ and, by

Macbeath's Theorem,

$\mu(S - S) \geq \mu_{\mathbb{R}^2}(\tilde{S} - \tilde{S})$.

THEOREM (BRUNN-MINKOWSKI)

Let $X, Y \subset \mathbb{R}^D$. Then $\mu_{\mathbb{R}^D}(X + Y)^{1/D} \geq \mu_{\mathbb{R}^D}(X)^{1/D} + \mu_{\mathbb{R}^D}(Y)^{1/D}$.

With $X = \tilde{S}$, $Y = -\tilde{S}$ we get $\mu_{\mathbb{R}^2}(\tilde{S} - \tilde{S}) \geq 4\mu_{\mathbb{R}^2}(\tilde{S})$. Thus $\mu(S - S) \geq 4\mu(S)$, contrary to assumption.

PROBLEM

We showed that if $n > n_0(\epsilon)$ then there is a set of positive integers of size n with no sum-free subset of size $(\frac{1}{3} + \epsilon)n$. Find a reasonable dependence of $n_0(\epsilon)$ on n .

PROBLEM

Do sets like $A := \bigcup_{j=1}^J \{j!, 2j!, \dots, Nj!\}$ have sum-free subsets of density much more than $\frac{1}{3}$?

PROBLEM

Suppose that $A \subseteq [0, 1]$ is open. If $\mu(A) > \frac{1}{3}$, is it true that A has a solution to $xy = z$? Is the measure $\nu_N(S) := \int_S e^{-Nt} dt / \int_0^1 e^{-Nt} dt$ on $[0, 1]$ δ -good for sufficiently large N ?

SOME MORE OPEN PROBLEMS

PROBLEM

If G is a group, what is the largest product-free subset $A \subset G$?

$G = \text{Alt}(n)$. Edward Crane's example: A consists of all even permutations π of $\{1, \dots, n\}$ for which $\pi(1) \in \{2, \dots, m\}$ and $\pi(2), \dots, \pi(m) \in \{m+1, \dots, n\}$ with $m \sim \sqrt{n/2}$ optimised to make $|A| \sim (2en)^{-1/2}|G|$ as big as possible. Is this optimal for large n ?

Attack using representation theory and Gowers notion of quasirandomness (with Ellis, Menzies).

Kedlaya (1997) proved that every group G has a product-free subset of size at least $c|G|^{11/14}$. Can the constant $\frac{11}{14}$ be improved?

Question of Erdős and Moser (1965):

PROBLEM (SUM-AVOIDING SETS)

Let A be a set of n positive integers. What is the size of the largest $A' \subset A$ with no solutions to $x + y = z$ with $x, y \in A'$, $z \in A$?

Sudakov, Szemerédi, Vu (2005): at least $\log n(\log \log \log \log \log n)^{1-o(1)}$.

Jehanne Dousse (2012): at least $\log n(\log \log \log n)^c$.

Ruzsa (2005): need not be more than $e^{C\sqrt{\log n}}$.